

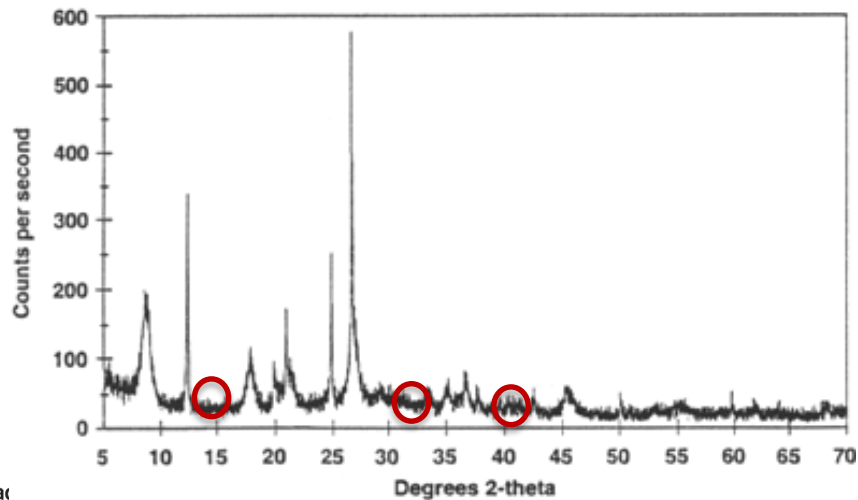
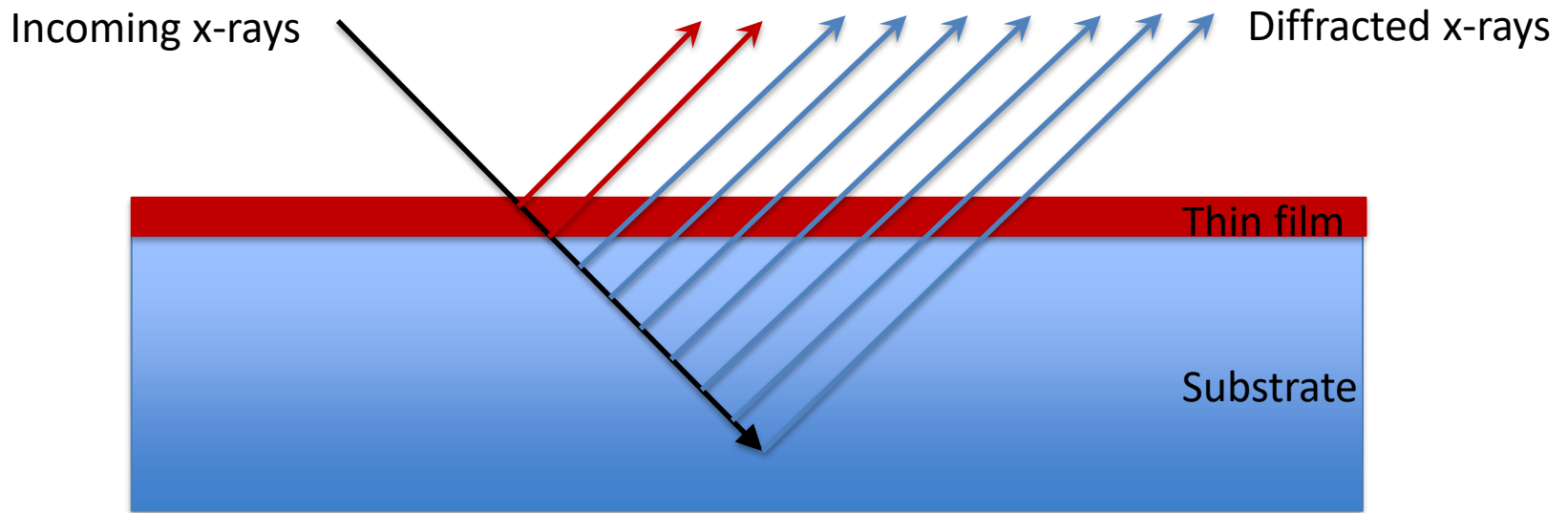
Grazing incidence X-Ray Diffraction and Scattering

(for thin film structural characterization)



beatriz.moreno@lightsource.ca

Using x-rays to investigate thin films



TOPICS

1. Motivation

2. Is the film thin?

3. X-ray Attenuation

Techniques:

a) GI-powder XRD

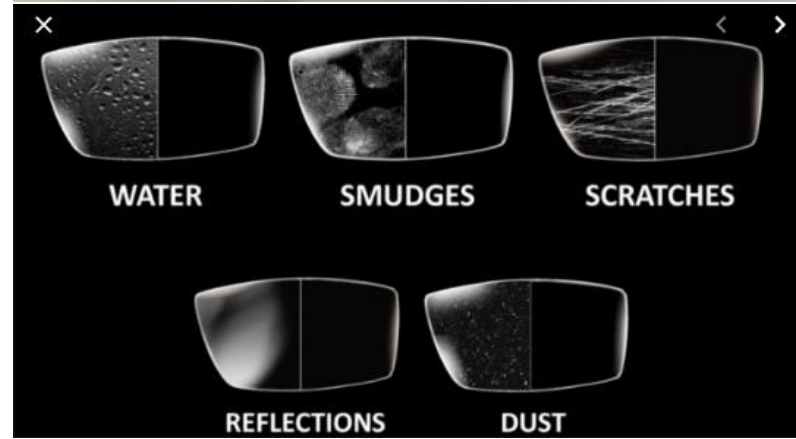
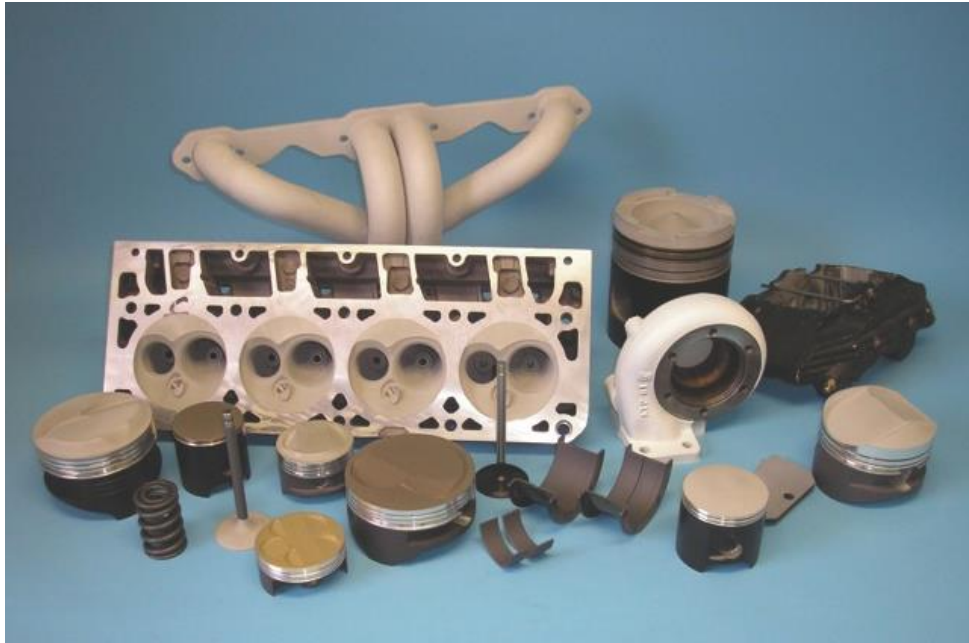
b) GI-single crystal XRD

c) Reflectivity

d) GISAXS



Motivation

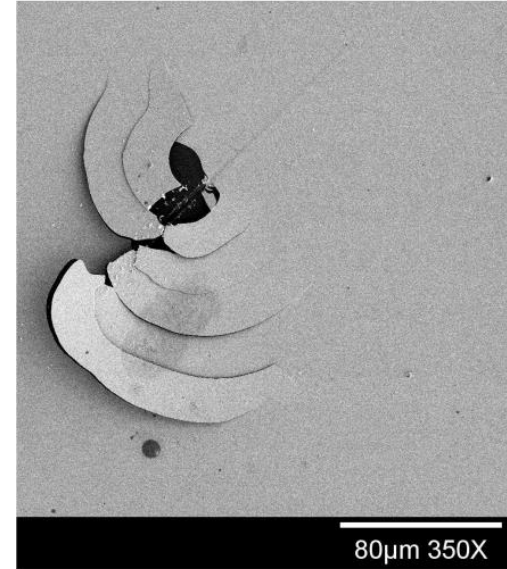
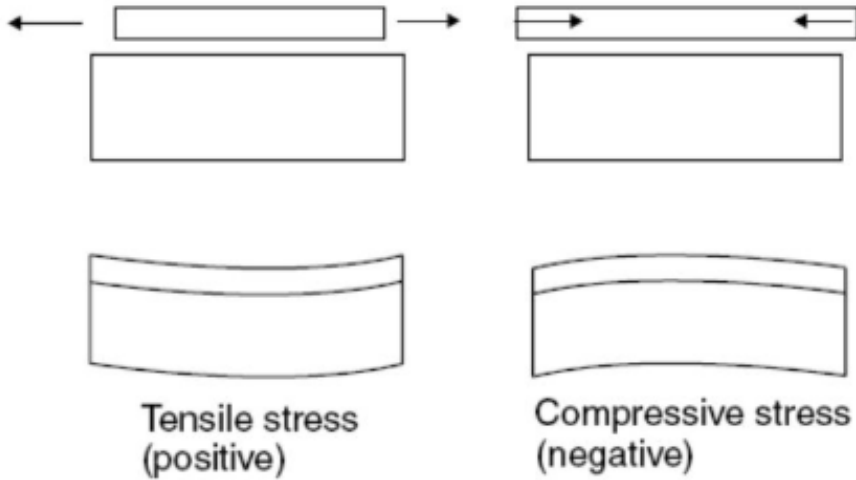


**Three Most Common
Issues Coatings
Address:**

- 1. Friction**
- 2. Heat**
- 3. Corrosion**



Structure Performance



Stress fracture patterns in ALD W/Si



What can we measure?

Small angle x-ray reflectivity

GI-SAXS

GI-WAXS -- GI -- PXRD
-- GI -- RSM

Reflectivity

XRD

Pole figures

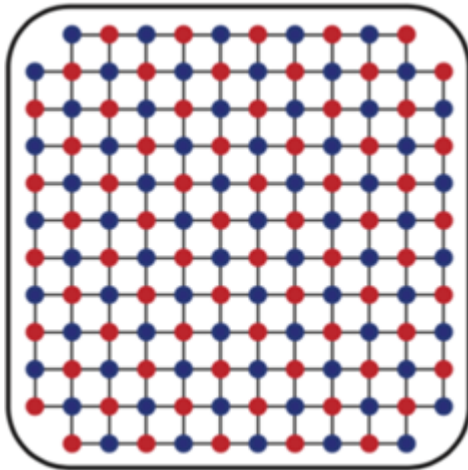
They yield information about:

- ✓ Film thickness, roughness, porosity
- ✓ Structure, stress, texture, defects
- ✓ Composition, interdiffusion, gradients
- ✓ Buried nanostructures, size, shape, ordering

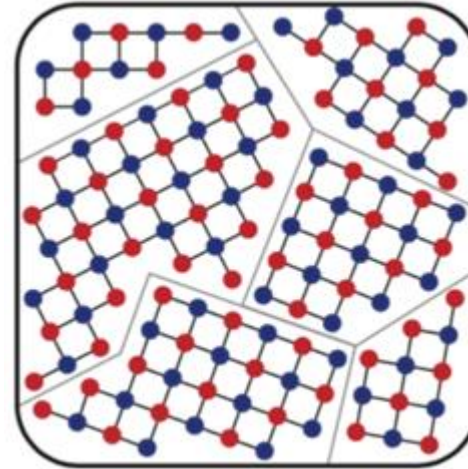


What kind of films can we measure?

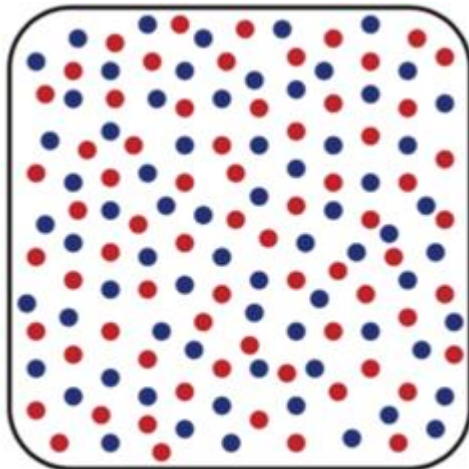
Single-crystal



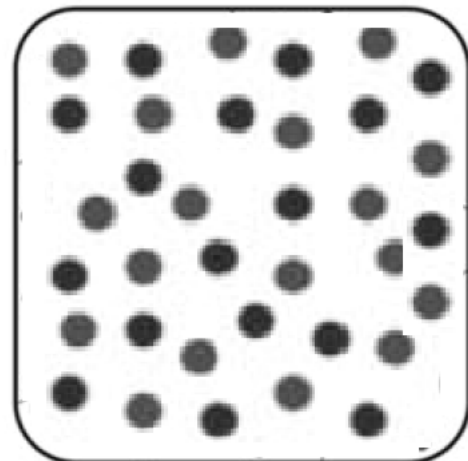
Poly-crystalline



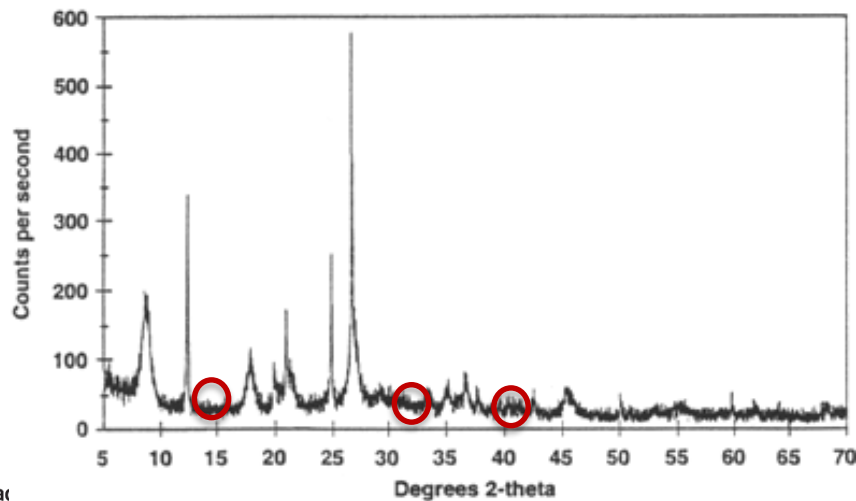
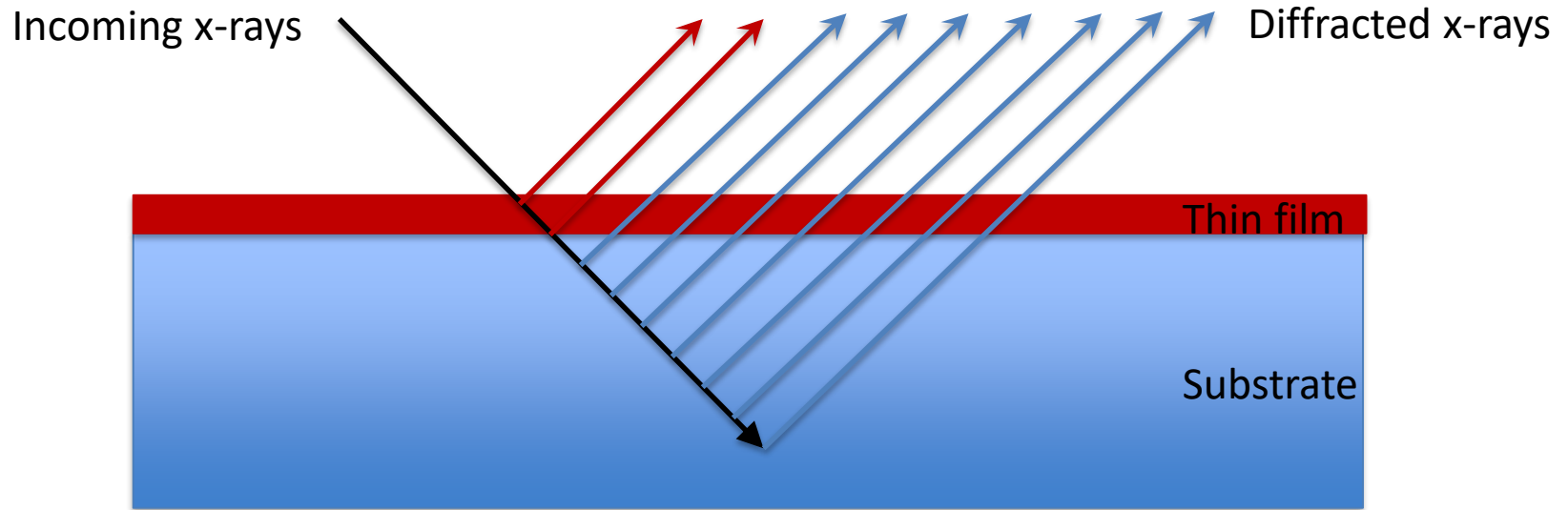
Amorphous



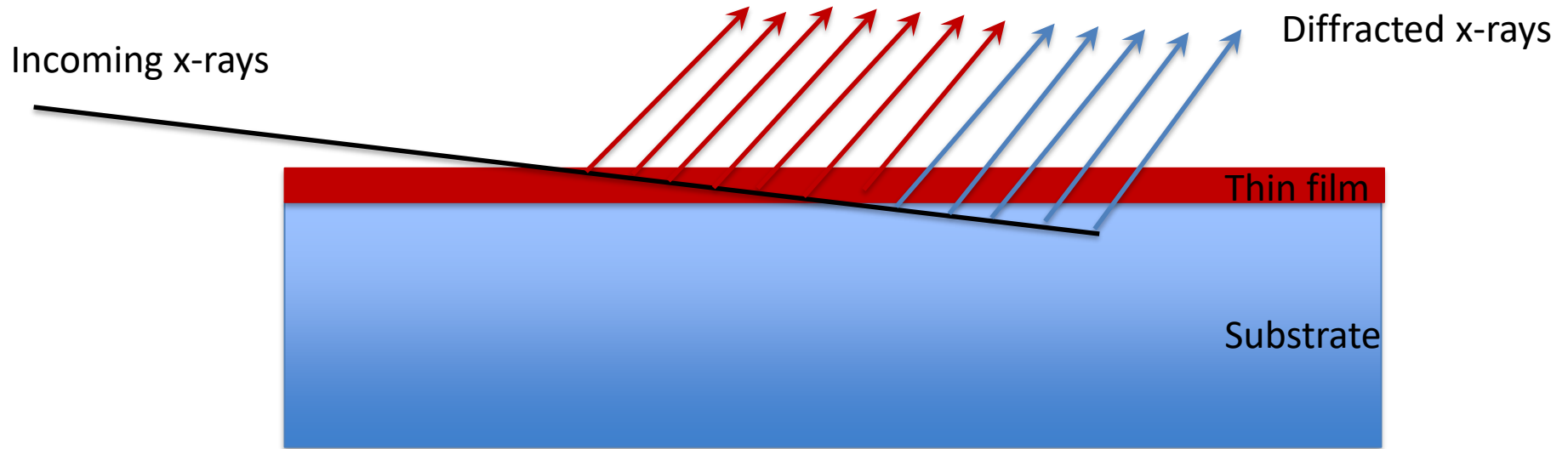
Nanostructures



Using X-Rays to investigate thin films



The grazing incidence geometry enhances the film signal relative to the substrate signal



X-Rays attenuation length

The **attenuation length** ε is the distance over which the x-ray beam intensity has dropped to $1/e$ of its incident intensity.

$$e = 2.718281828459045$$
$$1/e = 0.367879441171$$

Denser materials will have shorter attenuation lengths.

Higher energies will have longer attenuation lengths

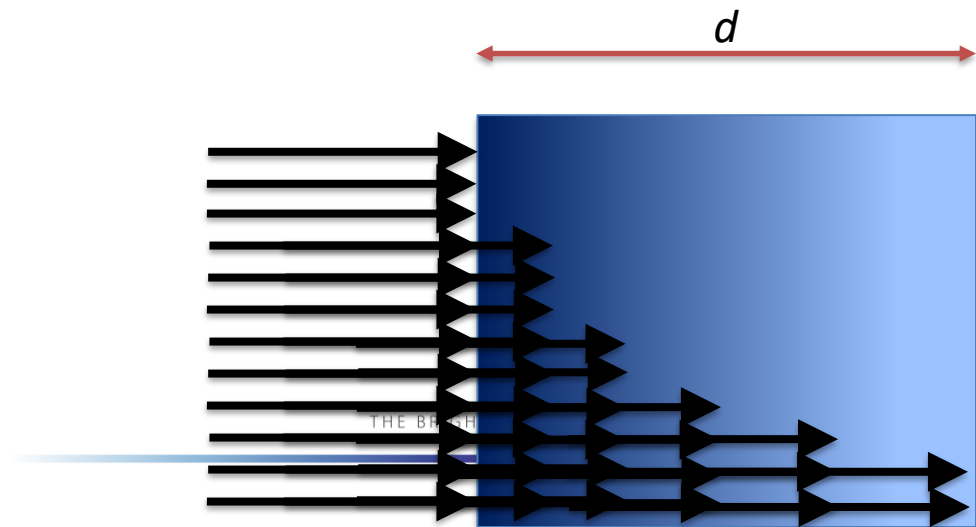
$$I = I_0 e^{-\frac{d}{\varepsilon}}$$

The **attenuation coefficient** μ is simply the inverse of the attenuation length

$$I = I_0 e^{-\mu \cdot d}$$

Where to find attenuation lengths of materials:

- CXRO
- XOP/XPOWER
- ...





X-Ray Database

Nanomagnetism

X-Ray Microscopy

EUV Lithography

EUV Mask Imaging

Reflectometry

Zoneplate Lenses

Coherent Optics

Nanofabrication

Optical Coatings

Engineering

Education

Publications

Contact



The Center for X-Ray Optics is a multi-disciplined research group within Lawrence Berkeley National Laboratory's (LBNL) Materials Sciences Division (MSD). [Notice to users.](#)

X-Ray attenuation length

X-Ray Interactions With Matter

Introduction

Access the [atomic scattering factor](#) files.

Look up [x-ray properties of the elements](#).

The [index of refraction](#) for a compound material.

The x-ray [attenuation length](#) of a solid.

X-ray transmission

- Of a [solid](#).
- Of a [gas](#).

X-ray reflectivity

- Of a [thick mirror](#).
- Of a [single layer](#).
- Of a [bilayer](#).
- Of a [multilayer](#).

The diffraction efficiency of a [transmission grating](#).

Related calculations:

- Synchrotron [bend magnet radiation](#).

[Other x-ray web resources.](#)

[X-ray Data Booklet](#)

X-Ray attenuation length

X-Ray Attenuation Length

- Choose from a list of common materials:
- Chemical Formula:
- Density: gm/cm³ (enter negative value to use tabulated values.)
- Scan from to in steps (< 500).
(NOTE: Energies must be in the range 30 eV < E < 30,000 eV, Wavelength between 0.041 nm < Wavelength < 41 nm)
- At fixed =

To request a press this button:

To reset to default values, press this button:



X-Ray attenuation length

X-Ray Attenuation

- Choose from a list of common materials:
- Chemical Formula:
- Density: gm/cm³ (enter negative)
- Scan from
(NOTE: Energies must be in the range 30 eV to 100,000 eV)
- At fixed =

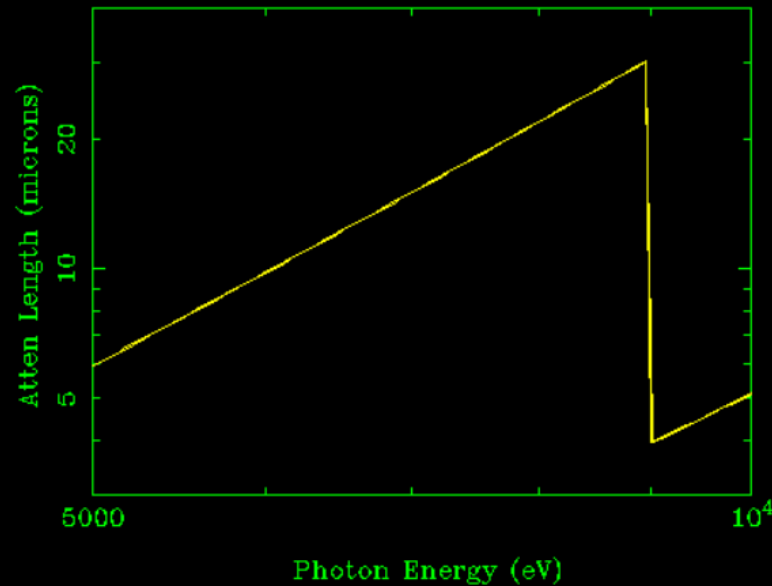
To request a press this button:

To reset to default values, press this button:

X-Ray Attenuation Length: [data file here](#)

Print

Cu Density=8.96, Angle=90.deg



length < 41





X-Ray Database



Zone Plate Education



X-Ray attenuation length

X-Ray Attenuation Length: [data file here](#)

Print

X-Ray Attenuation

Cu Density=8.96, Angle=90.deg

Photon Energy (eV), Atten Length (microns)

5000.00 5.96392

5034.78 6.07762

5069.80 6.19352

5105.06 6.31168

5140.57 6.43210

5176.32 6.55489

5212.33 6.68003

5248.58 6.80778

5285.09 6.93802

5321.85 7.07069

5358.87 7.20583

5396.14 7.34361

5433.67 7.48427

5471.47 7.62763

5509.53 7.77389

5547.85 7.92298

5586.44 8.07487

5625.29 8.22963

5664.42 8.38748

5703.82 8.54873

5743.49 8.71308

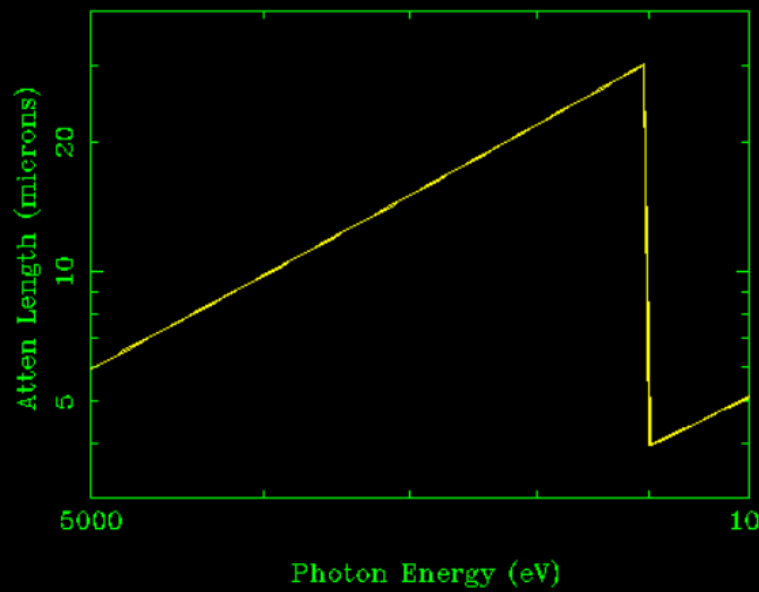
5783.44 8.88042

5823.67 9.05096

5864.17 9.22514

5904.88 9.40308

Cu Density=8.96, Angle=90.deg



length < 41

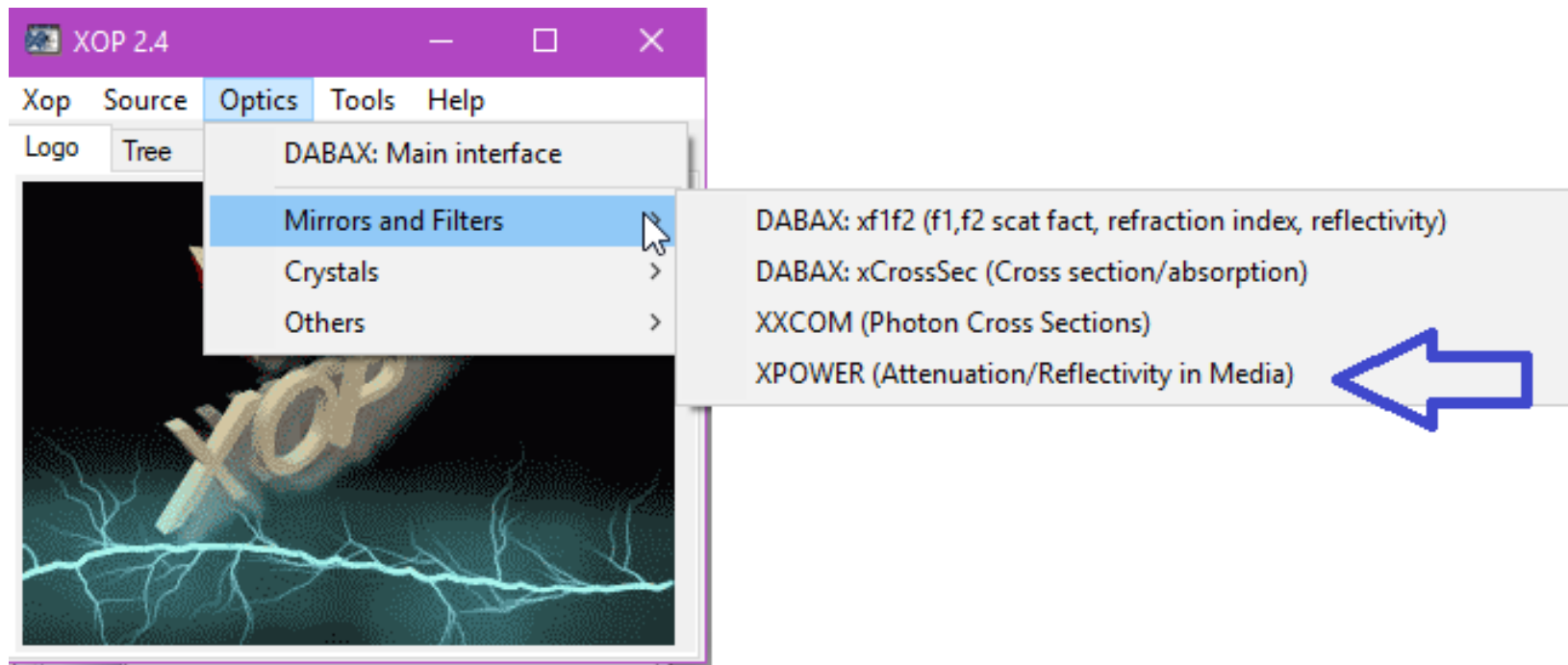
Element 29: Cu

Edge	keV
K	8.9789

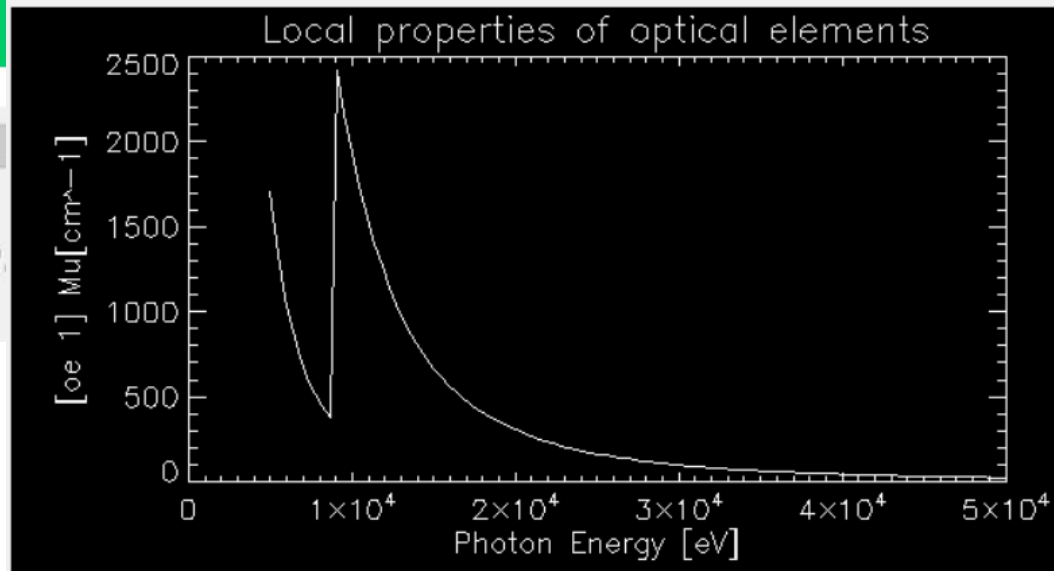
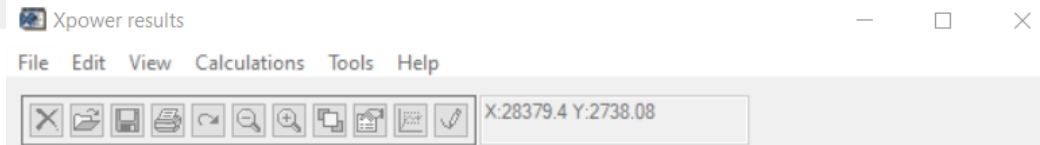
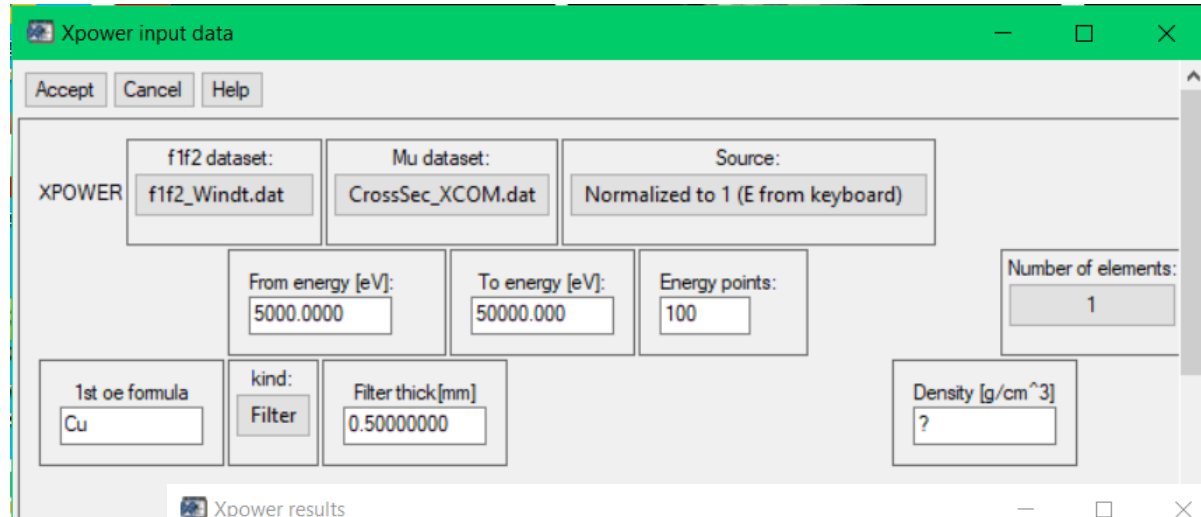
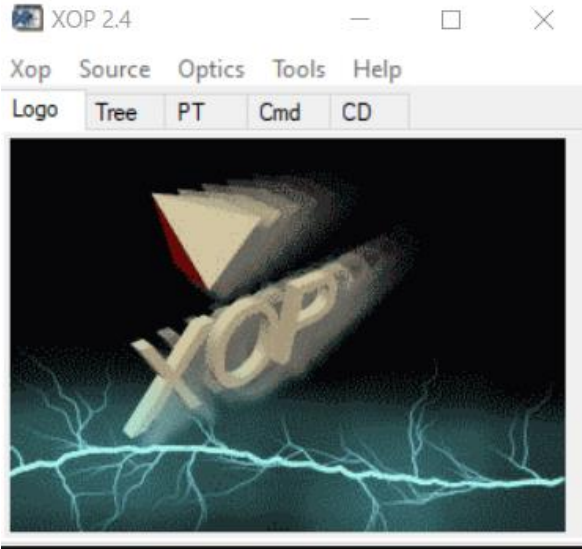
X-Ray attenuation length

XOP/XPOWER

<https://www.aps.anl.gov/Science/Scientific-Software/XOP>



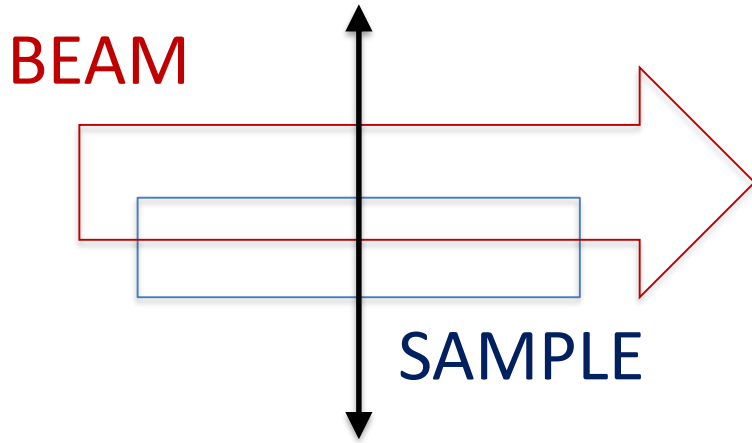
X-Ray attenuation length



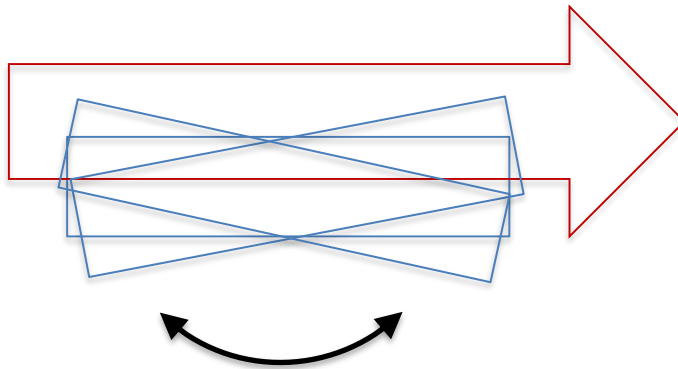
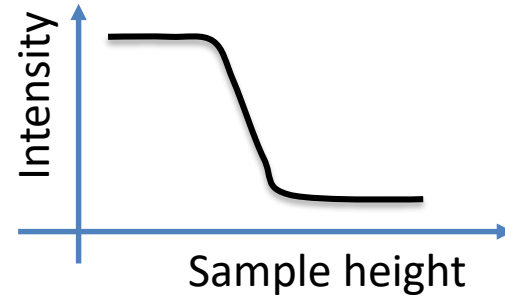
How do we measure?



Sample alignment



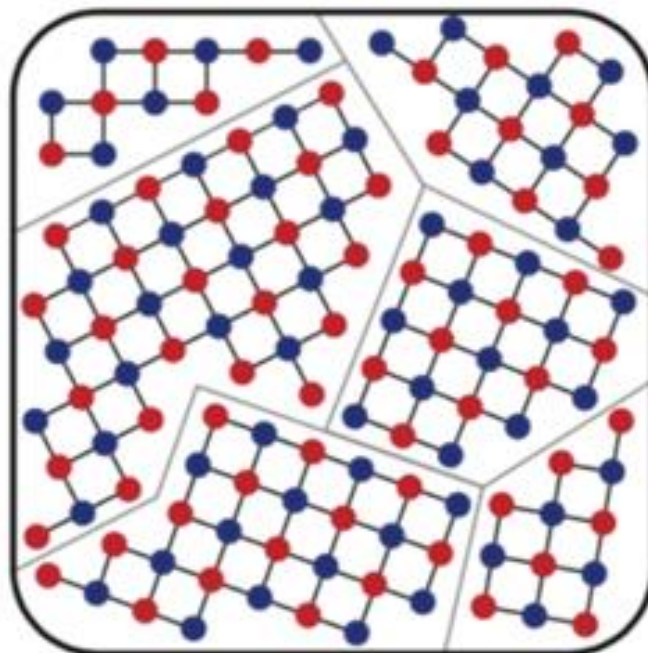
DETECTOR



Iterate!

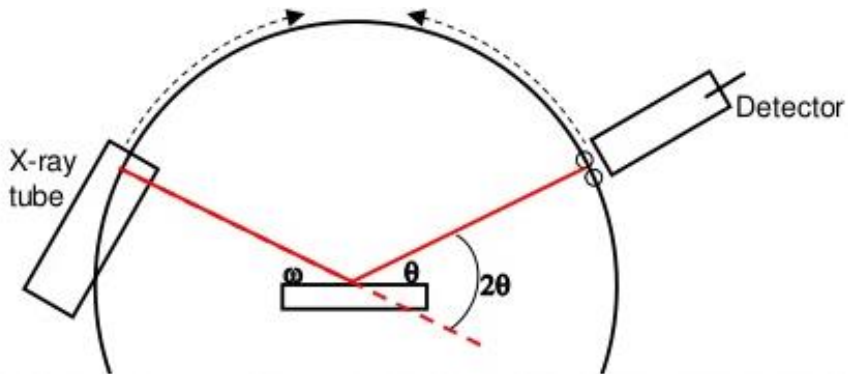


Grazing incidence diffraction applied to polycrystalline films

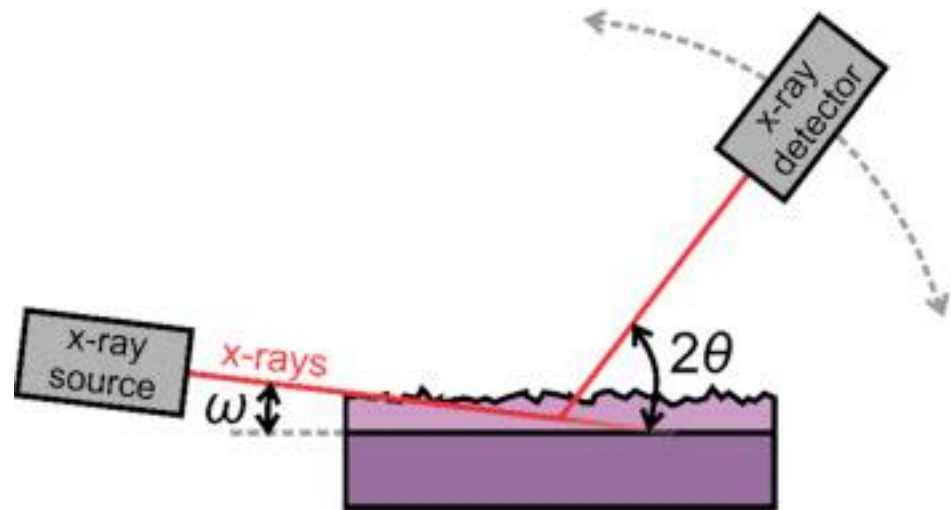


Grazing incidence diffraction

Regular specular geometry, $\omega = \theta$



Grazing incidence geometry

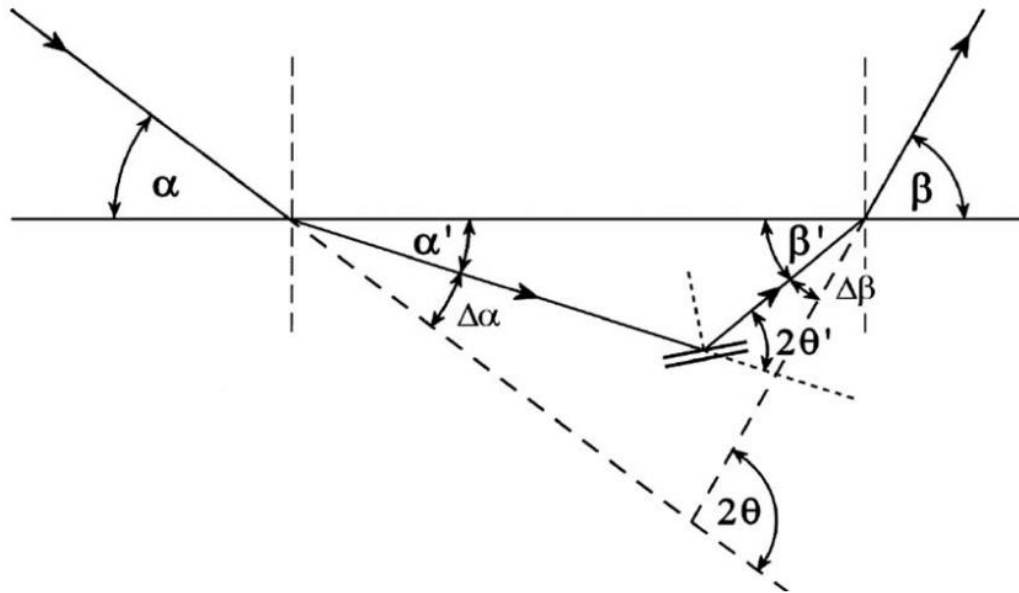


<https://www.sciencedirect.com/science/article/pii/S0022311517313946>



Grazing incidence diffraction

Refraction correction



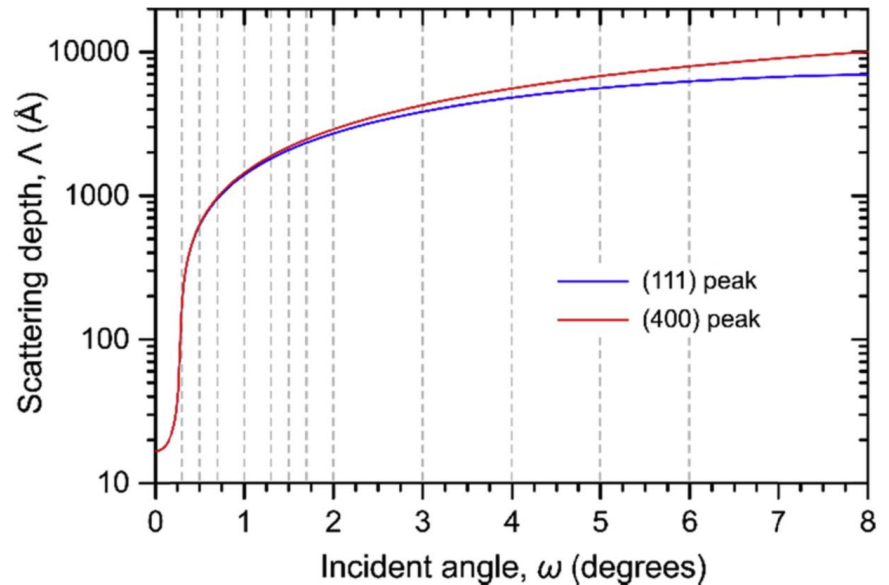
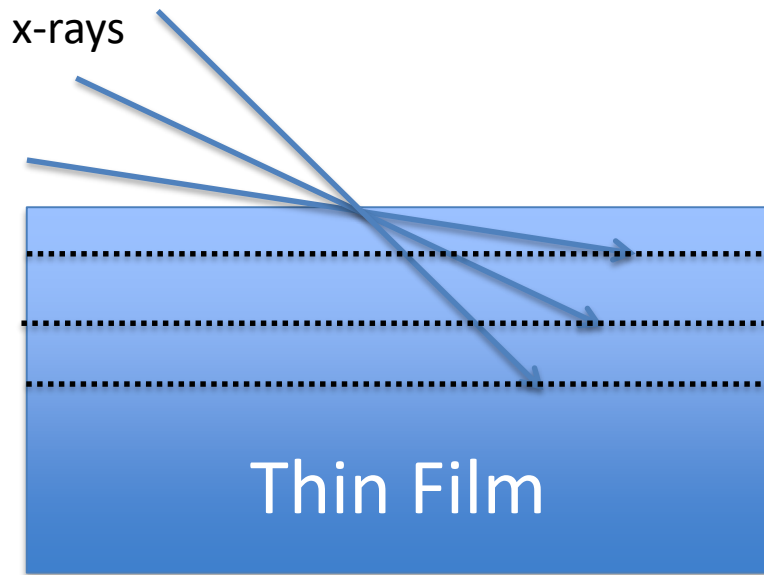
$$\Delta 2\theta = \delta [\cot \alpha + \cot(2\theta - \alpha) + 2 \tan \theta]$$

Powder Diffraction **24**(S1): S11-S15, 2012



Grazing incidence diffraction

Depth sensitivity

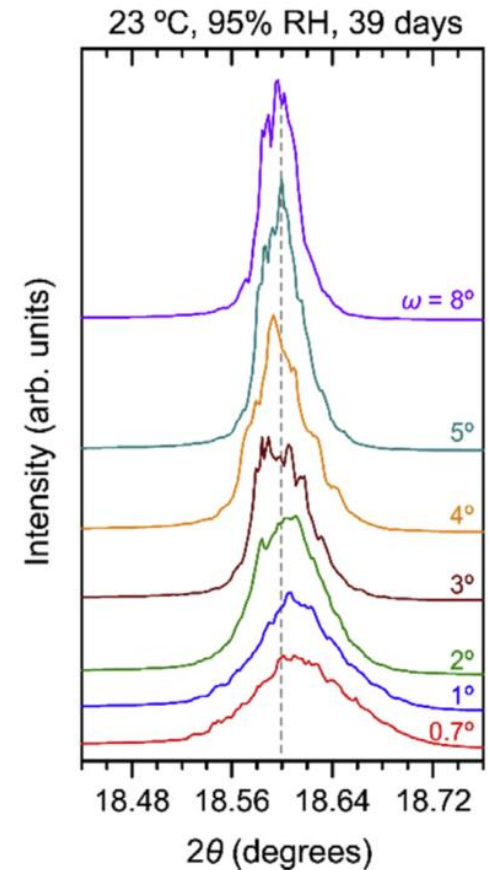
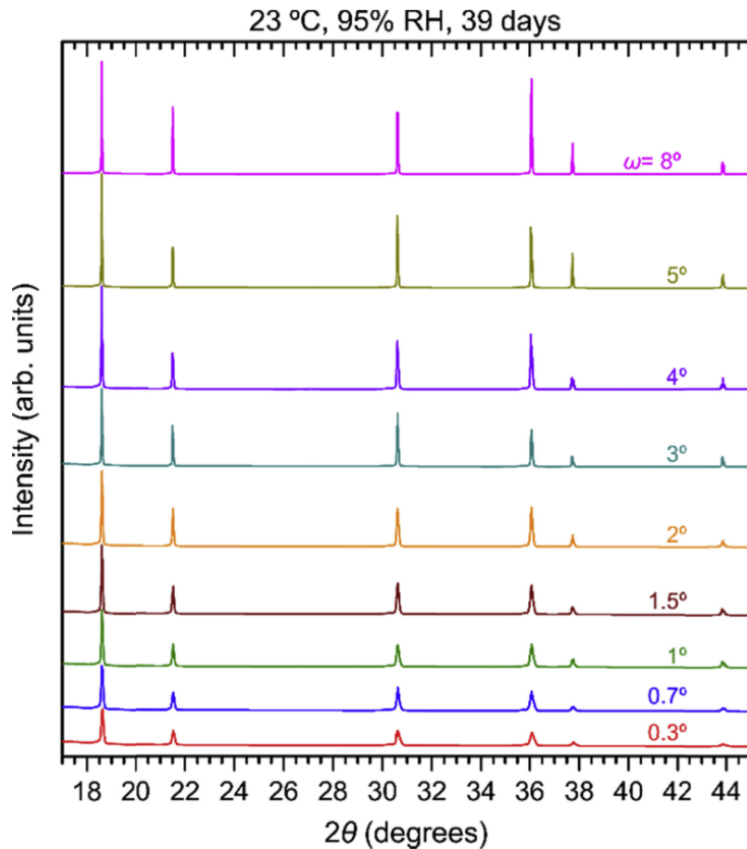


Journal of Nuclear Materials **502**: 68-75, 2018.



Grazing incidence diffraction

Uranium Oxide (UO_2) exposed to air



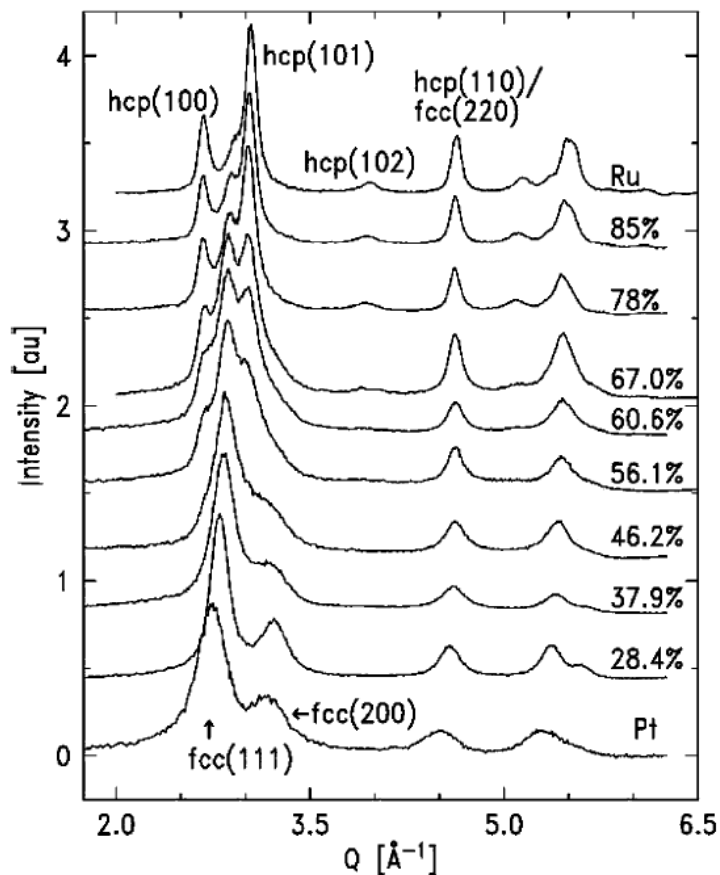
Journal of Nuclear Materials **502**: 68-75, 2018.



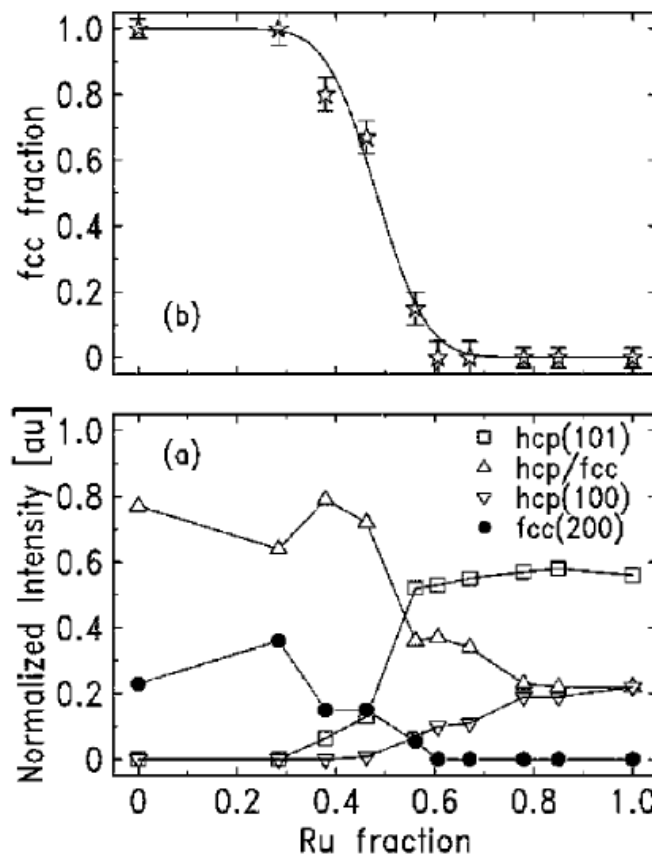
Grazing incidence diffraction

Structure and electrocatalysis of sputtered RuPt thin-film electrodes 130 Å thick

Grazing incidence x-ray diffraction

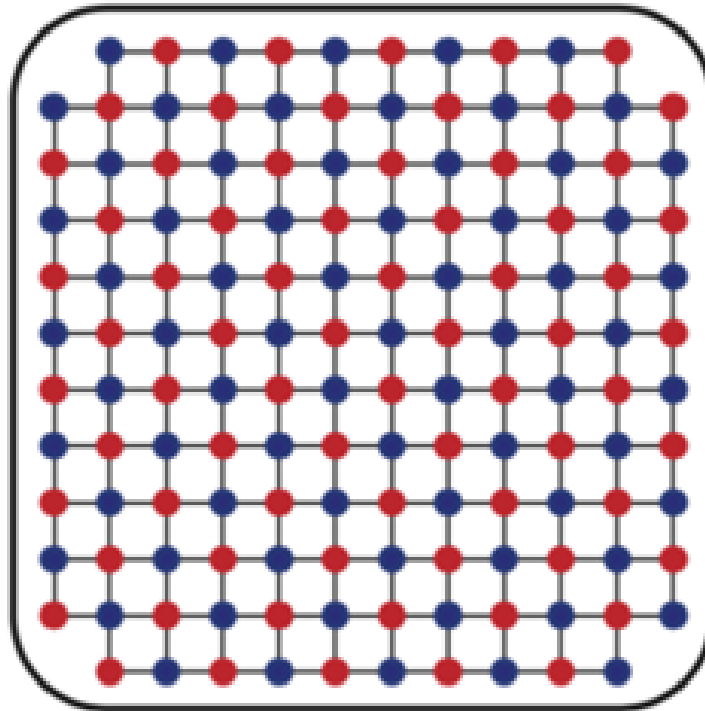


Phase diagram determination

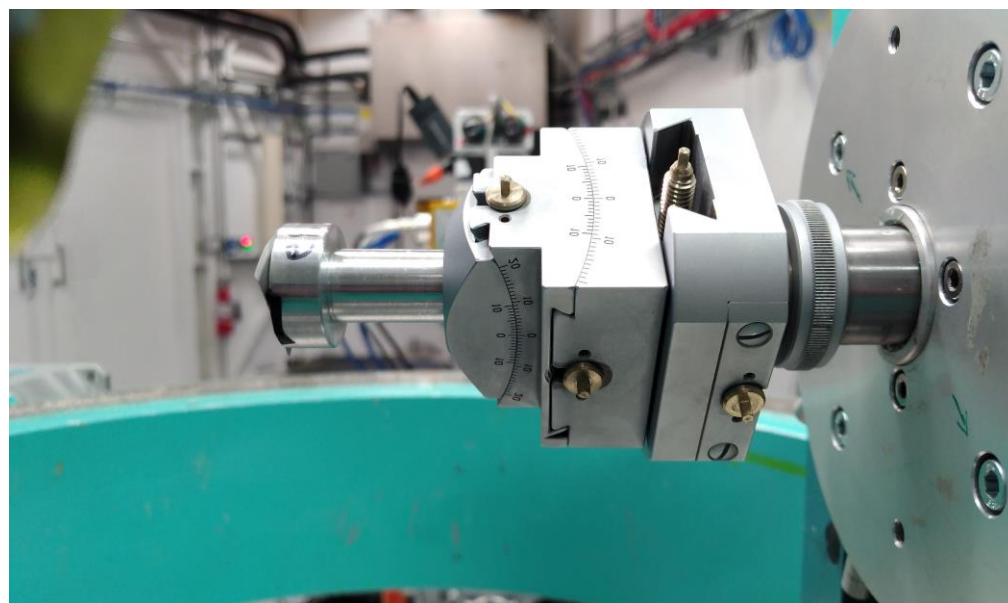
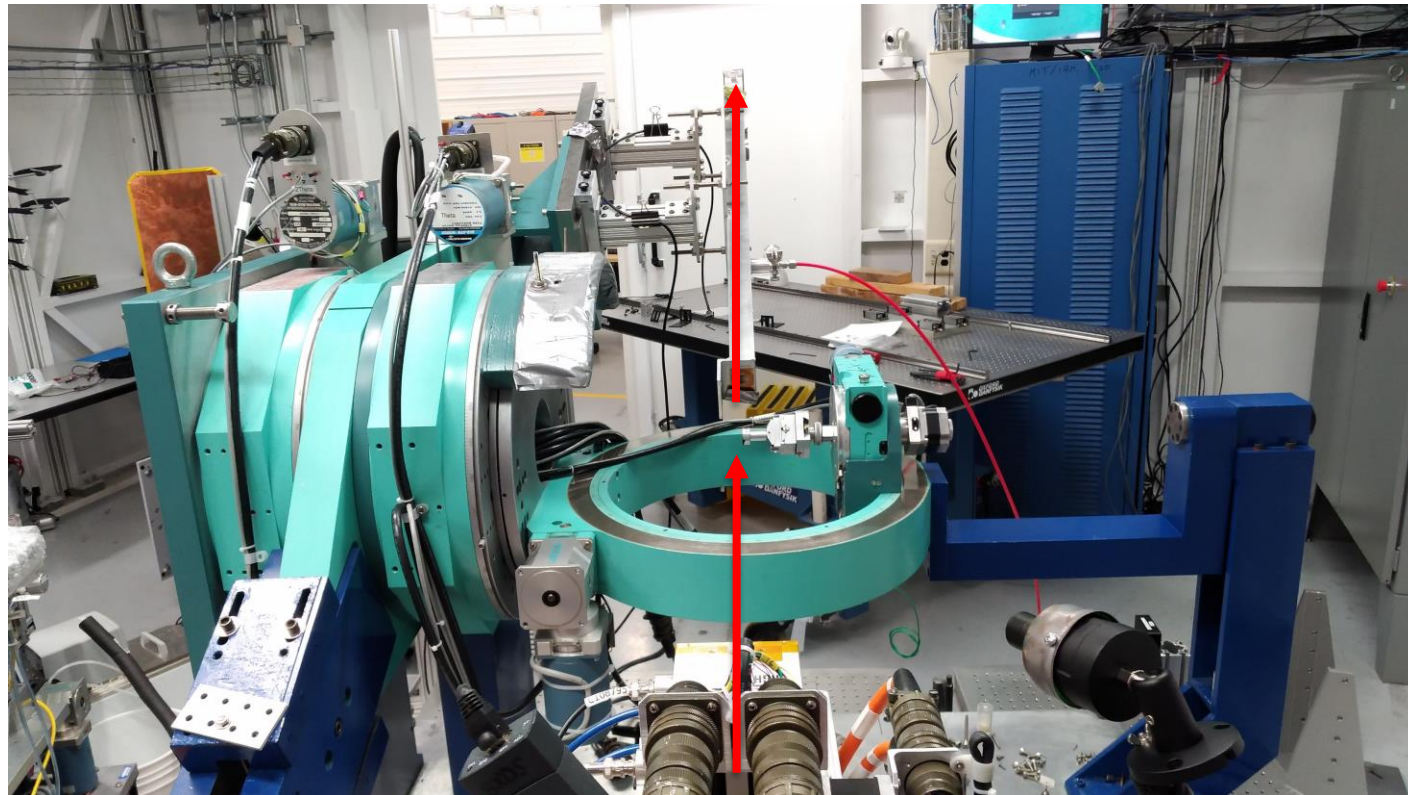


Grazing incidence diffraction

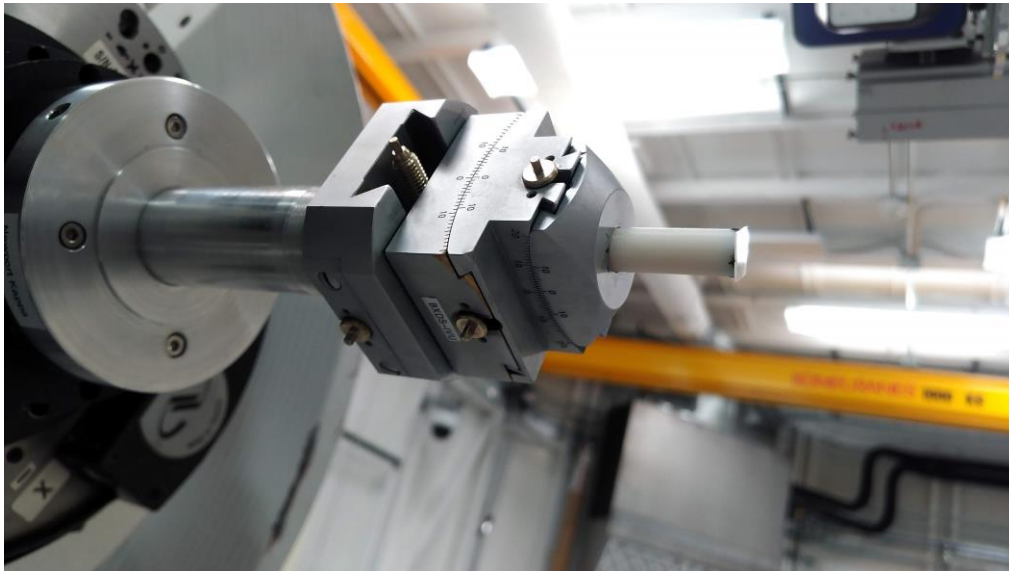
applied to
single crystal films



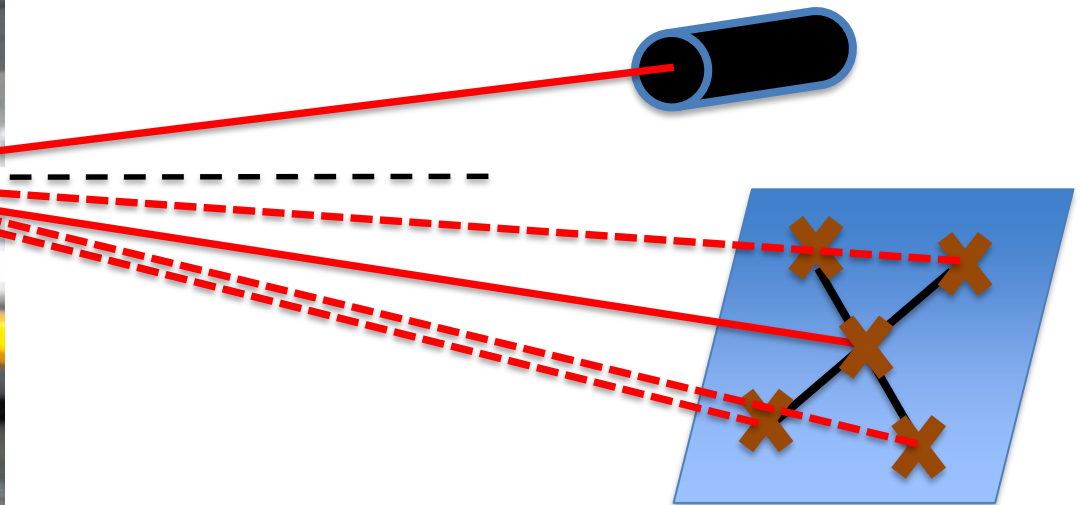
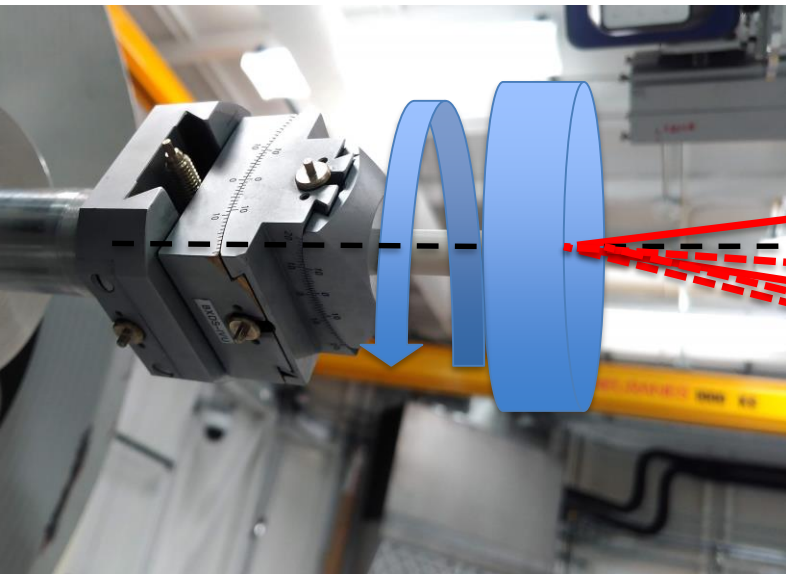
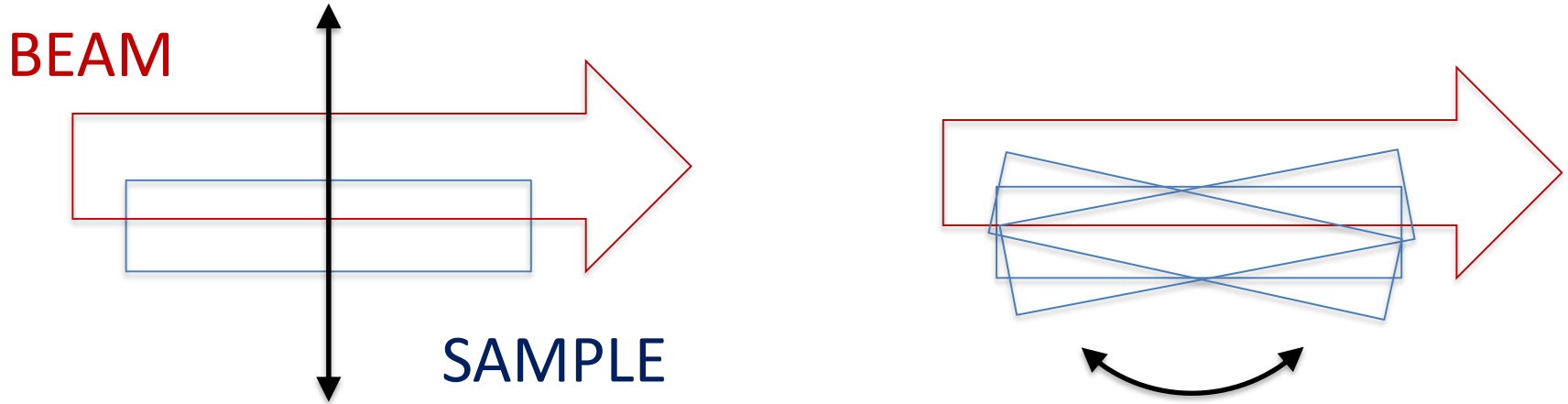
GID setup



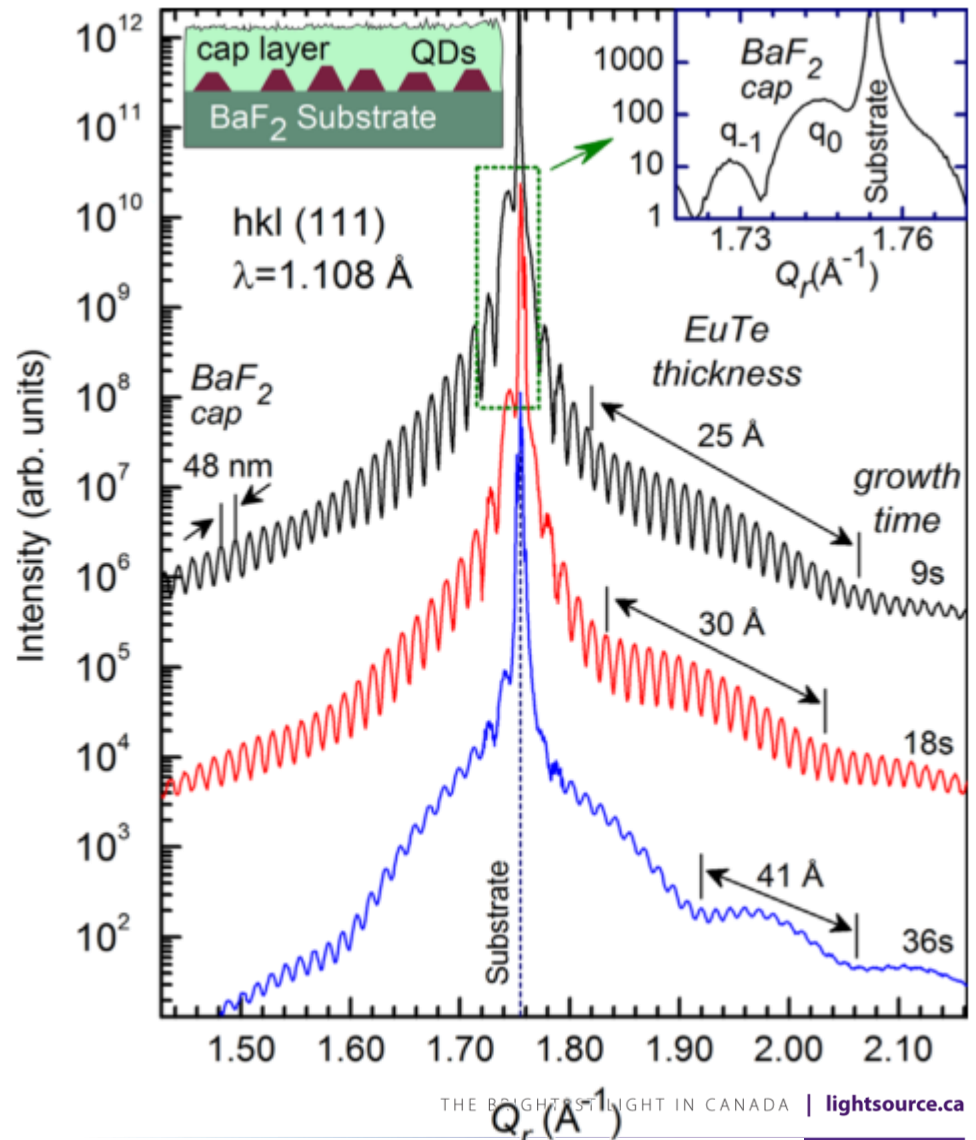
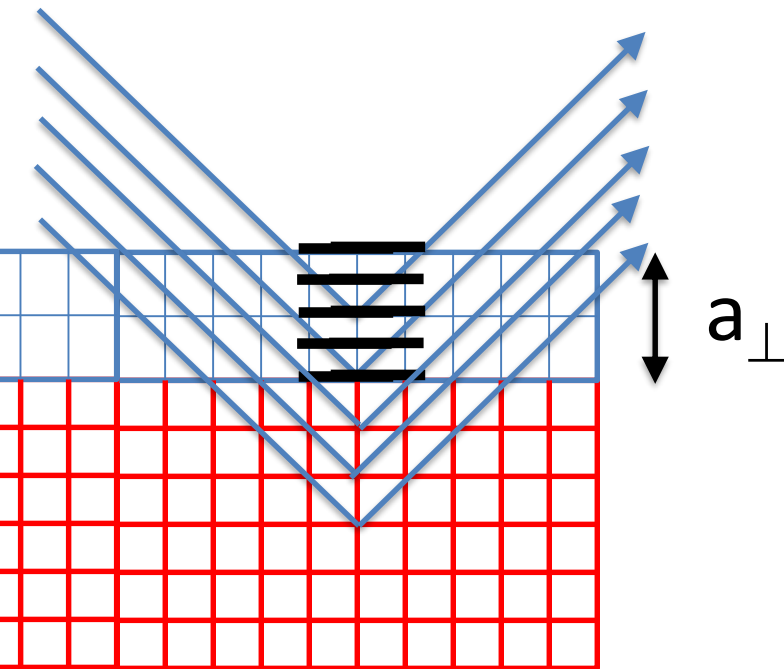
GID setup



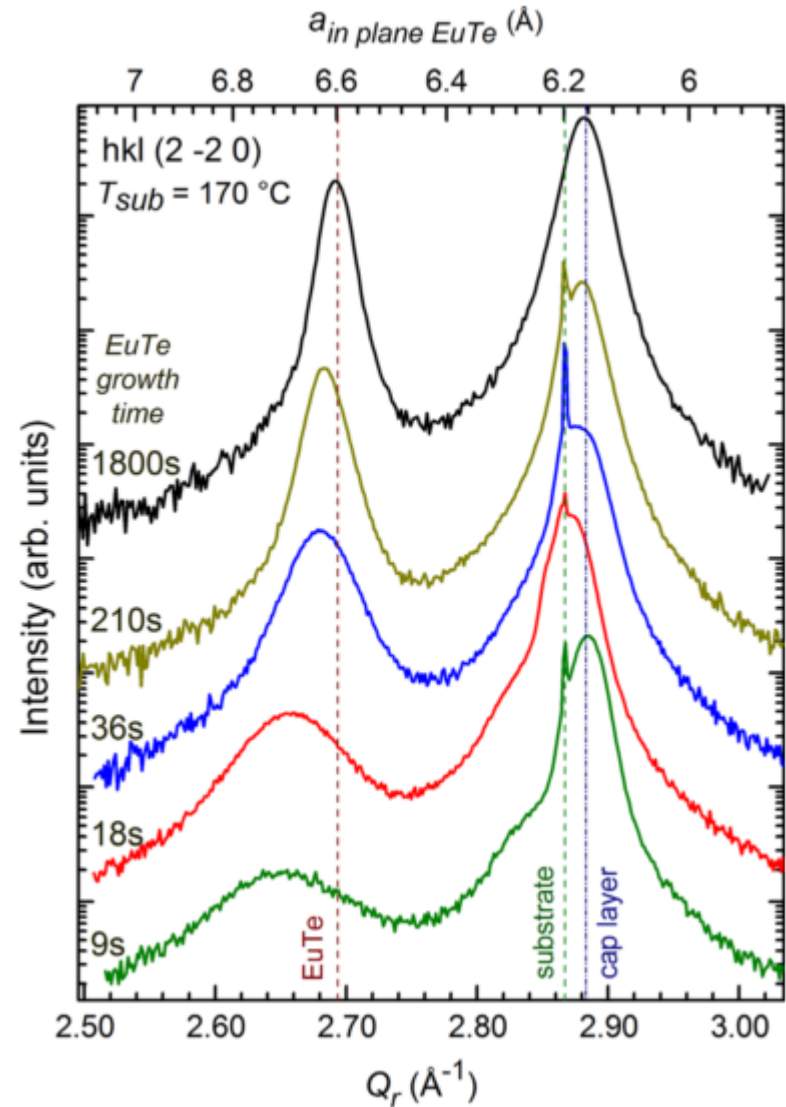
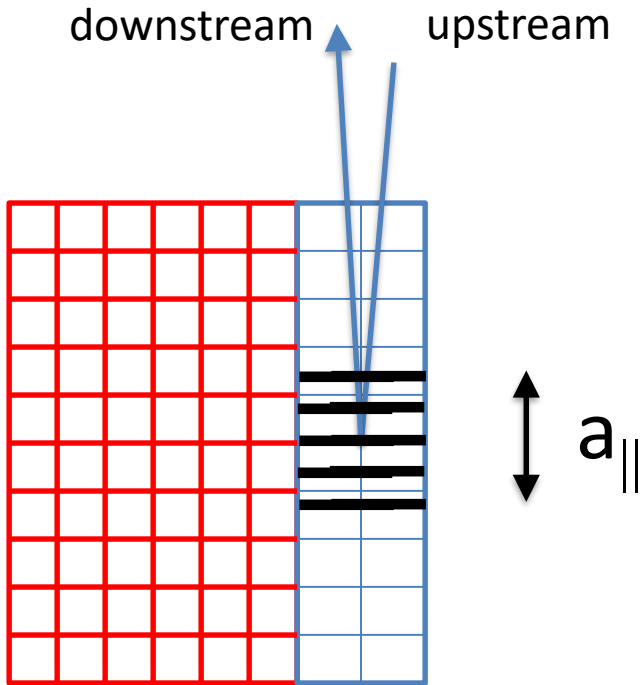
Sample alignment



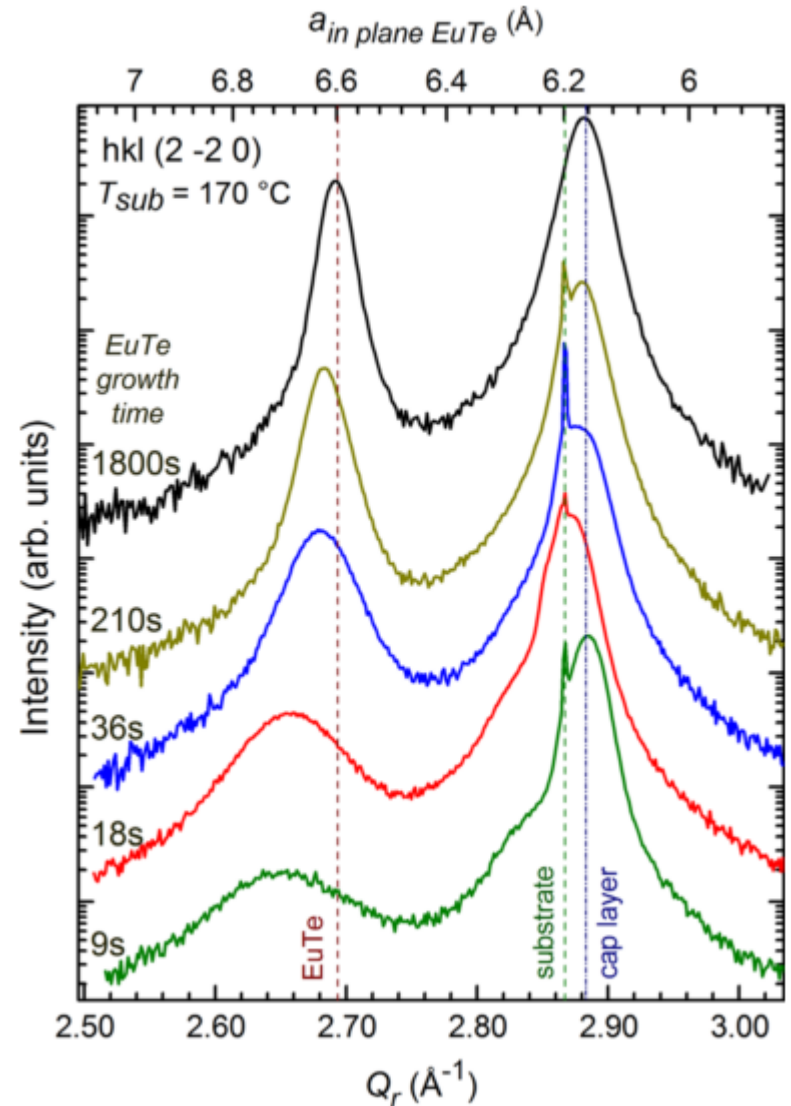
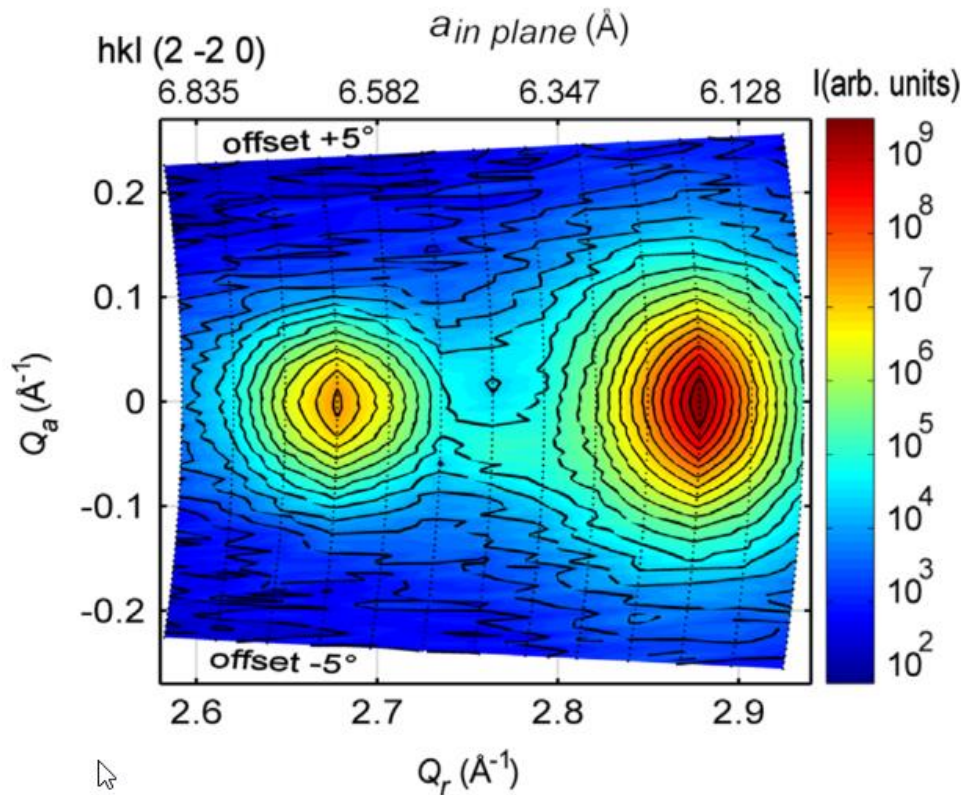
Not-Grazing incidence diffraction



Grazing incidence diffraction



Grazing incidence diffraction



Small angle x-ray reflectivity

Single crystal films

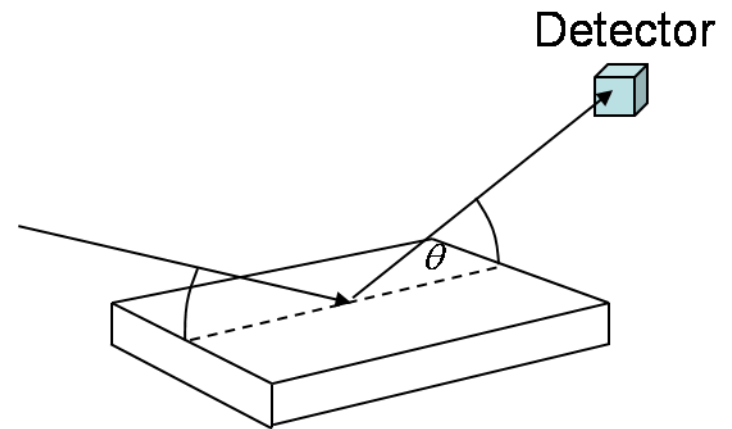
Polycrystalline films

Amorphous films



Small angle X-Ray reflectivity

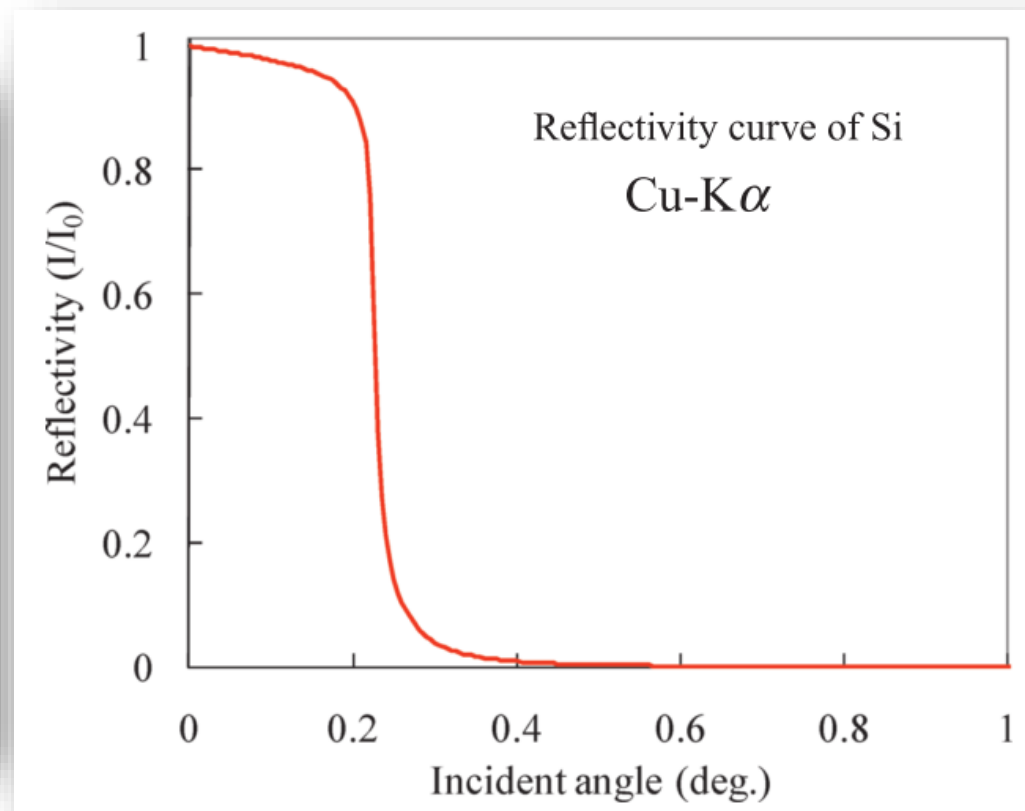
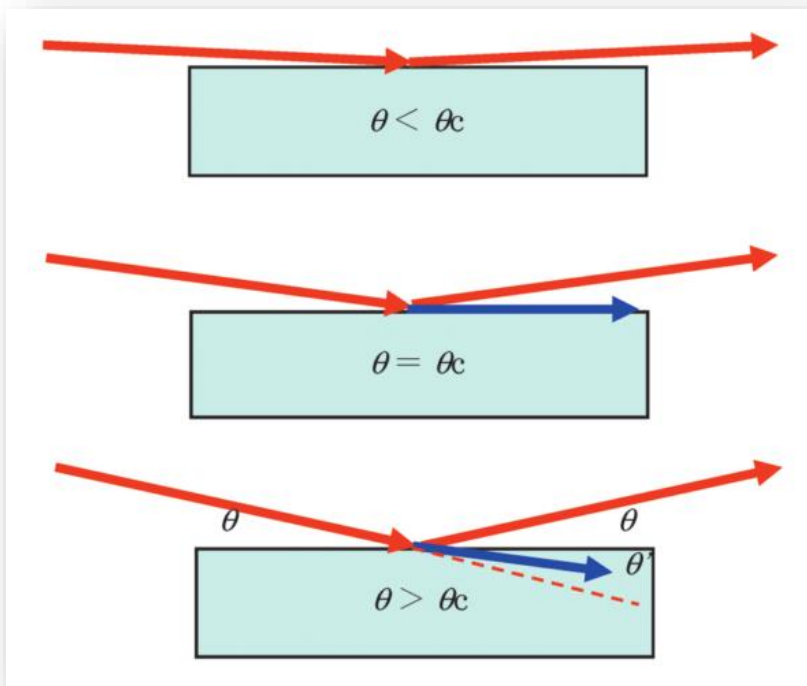
- Reflectivity yields information about the
 - Thicknesses
 - Density / porosity
 - Roughness of the interfaces
- Other names:
 - X-ray specular reflectivity
 - X-ray reflectometry
 - XRR



No diffraction!



Small angle X-Ray reflectivity



$$\theta_c \sim \lambda \sqrt{\rho}$$

The Rigaku Journal, **26(2)**, 2010

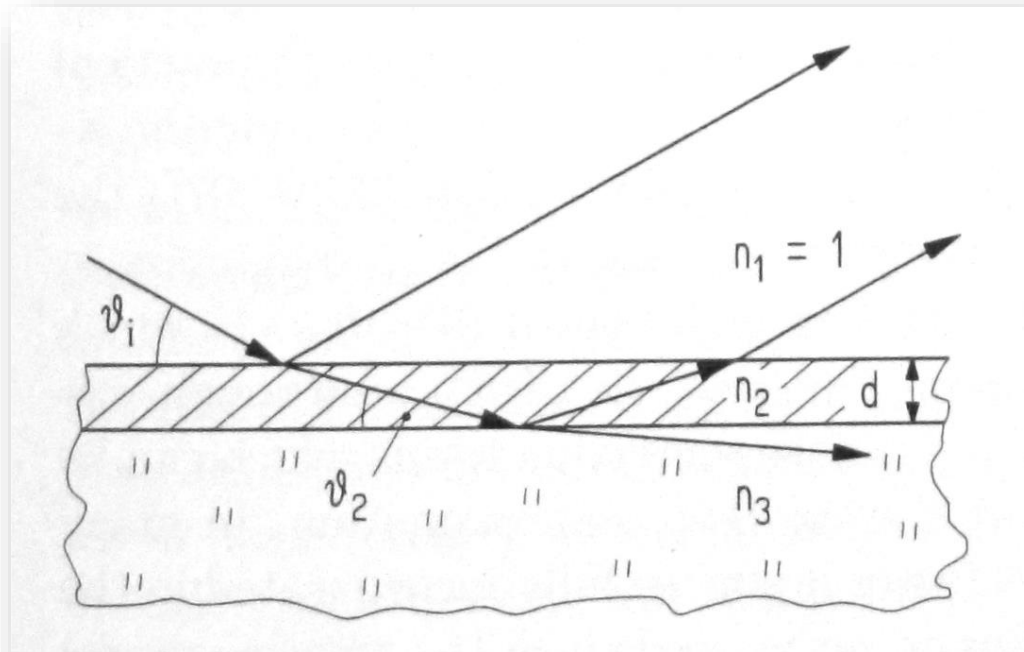


Canadian
Light
Source

Centre canadien
de rayonnement
synchrotron

THE BRIGHTEST LIGHT IN CANADA | lightsource.ca

Small angle X-Ray reflectivity



Snell's law

$$n_1 \cos \vartheta_1 = n_2 \cos \vartheta_2$$

$$n = 1 - \delta + i\beta$$

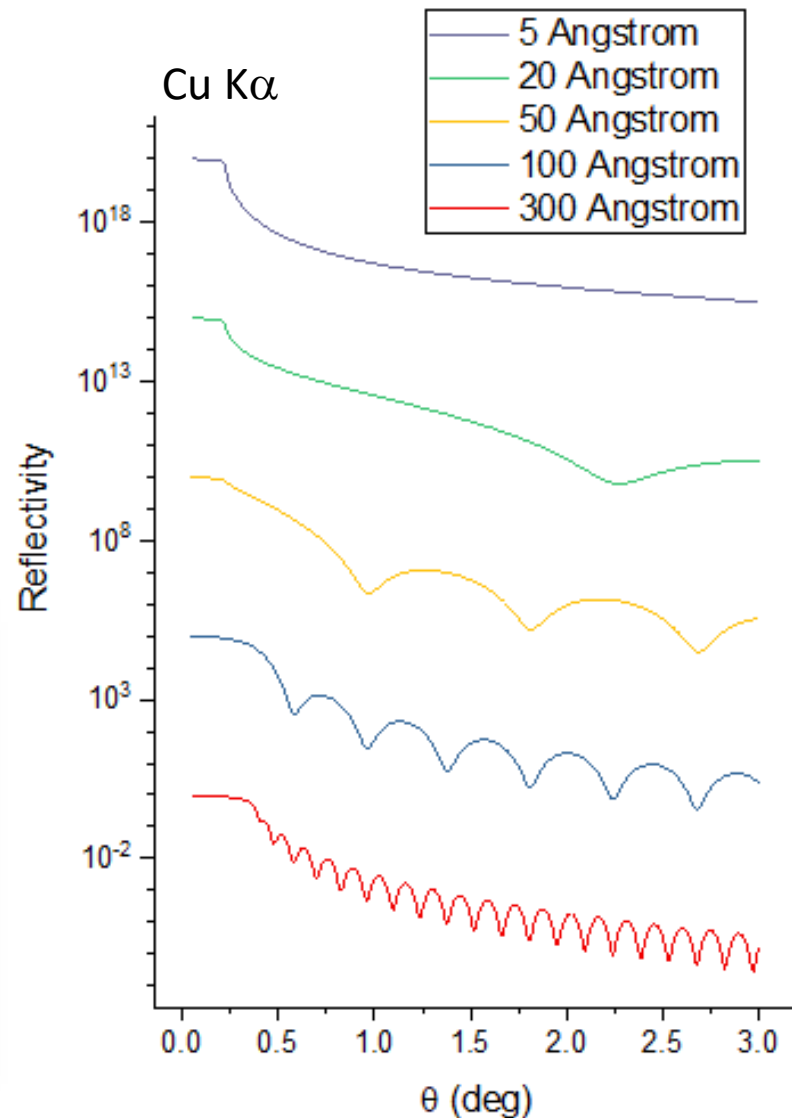
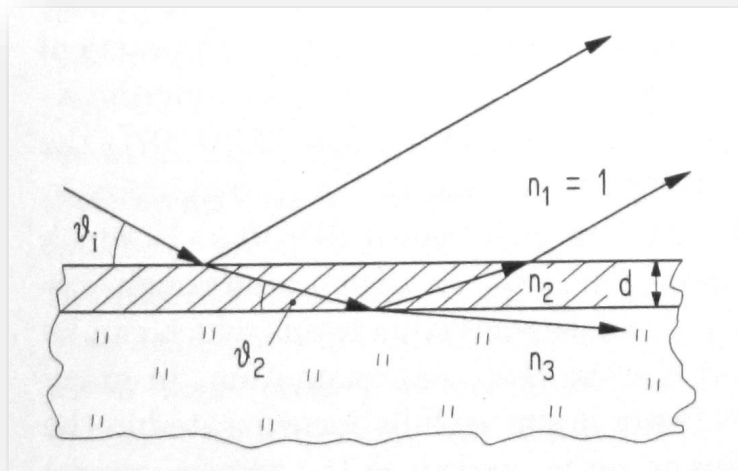


Small angle X-Ray reflectivity

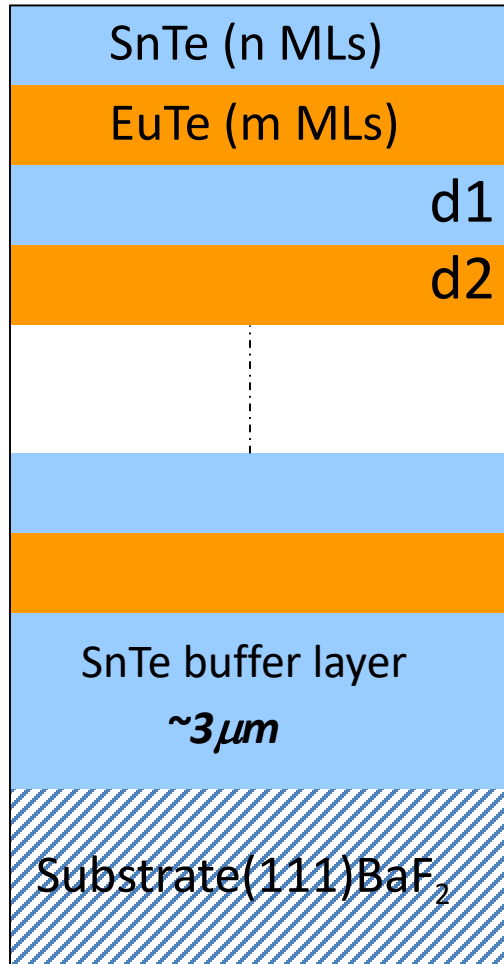
Reflectivity of a chromium film on top of silicon substrate, Cr/Si, for different thicknesses between 5 and 300 Å.

Kiessig fringes

$$d = \frac{\lambda}{2\Delta\theta_r}$$

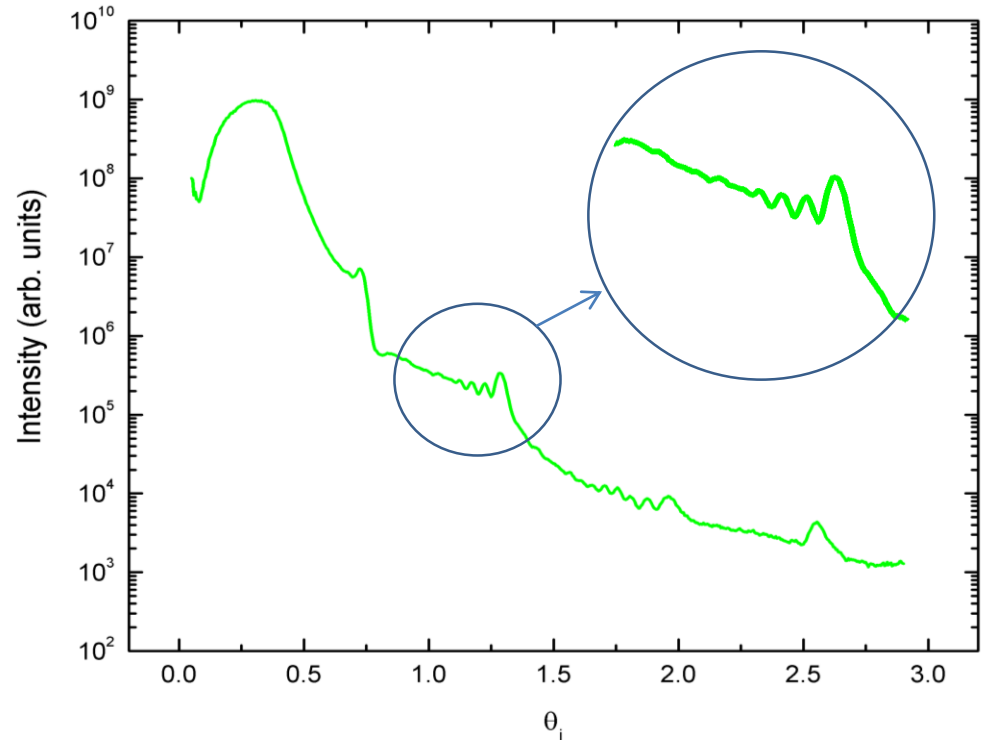


Small angle X-Ray reflectivity



$$D = d1 + d2$$

$$d = \frac{\lambda}{2\Delta\rho_i}$$



Kiessig fringes spacing:

0.61 deg ~ 83 Å (SL period)

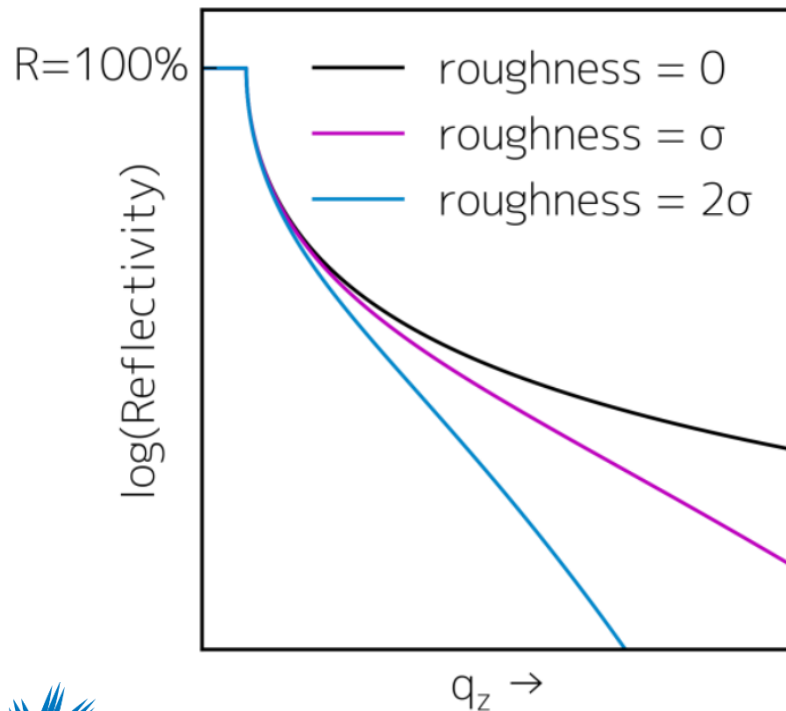
0.05 deg ~ 994.9 Å (Stack thickness)



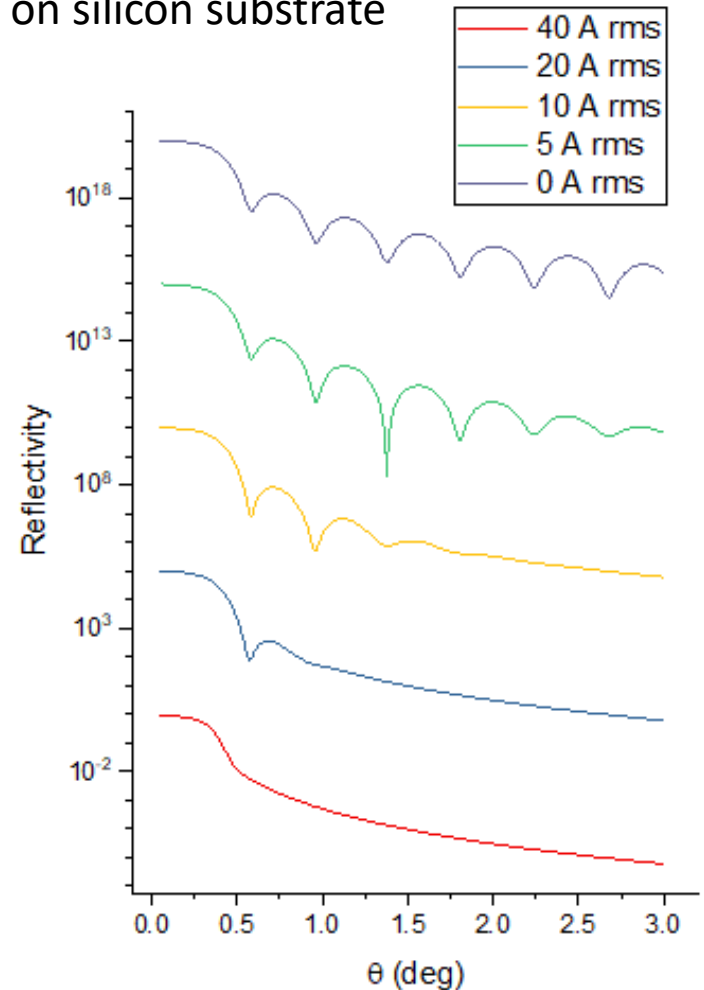
Small angle X-Ray reflectivity

Surface roughness

$$R_{rough} = R \cdot e^{-\frac{q_z^2 \sigma^2}{2}}$$



100 Angstrom chromium layer
on silicon substrate



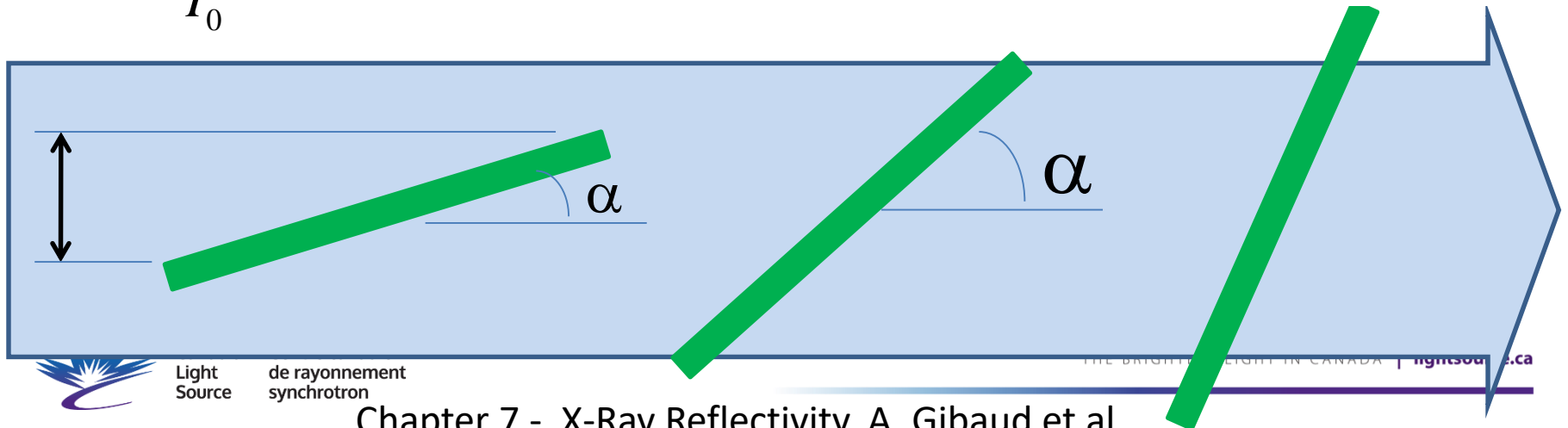
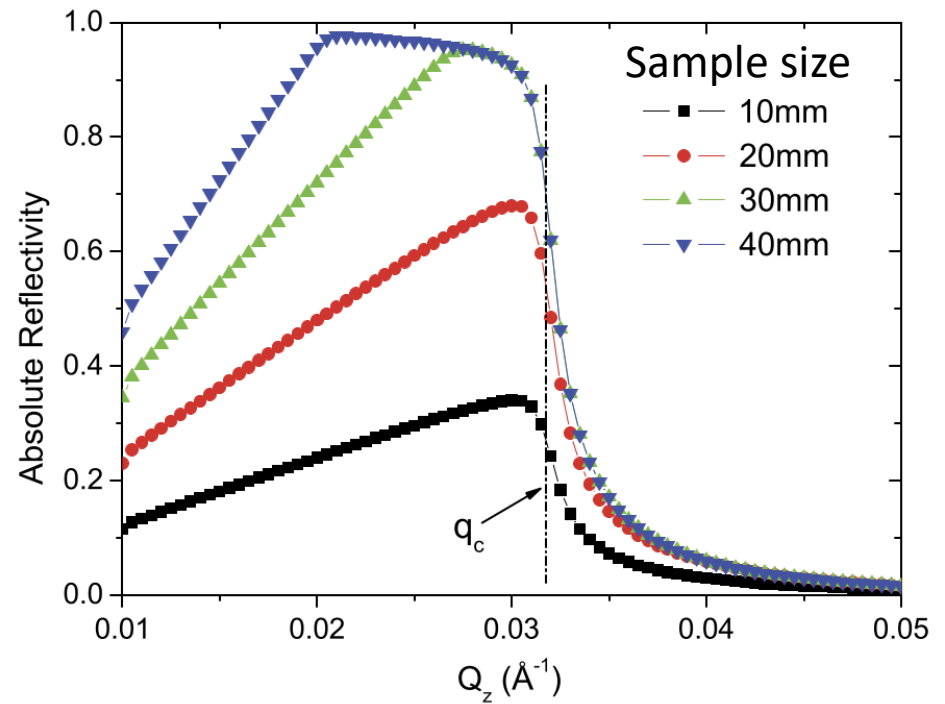
Small angle X-Ray reflectivity

Footprint correction

Beam footprint length:

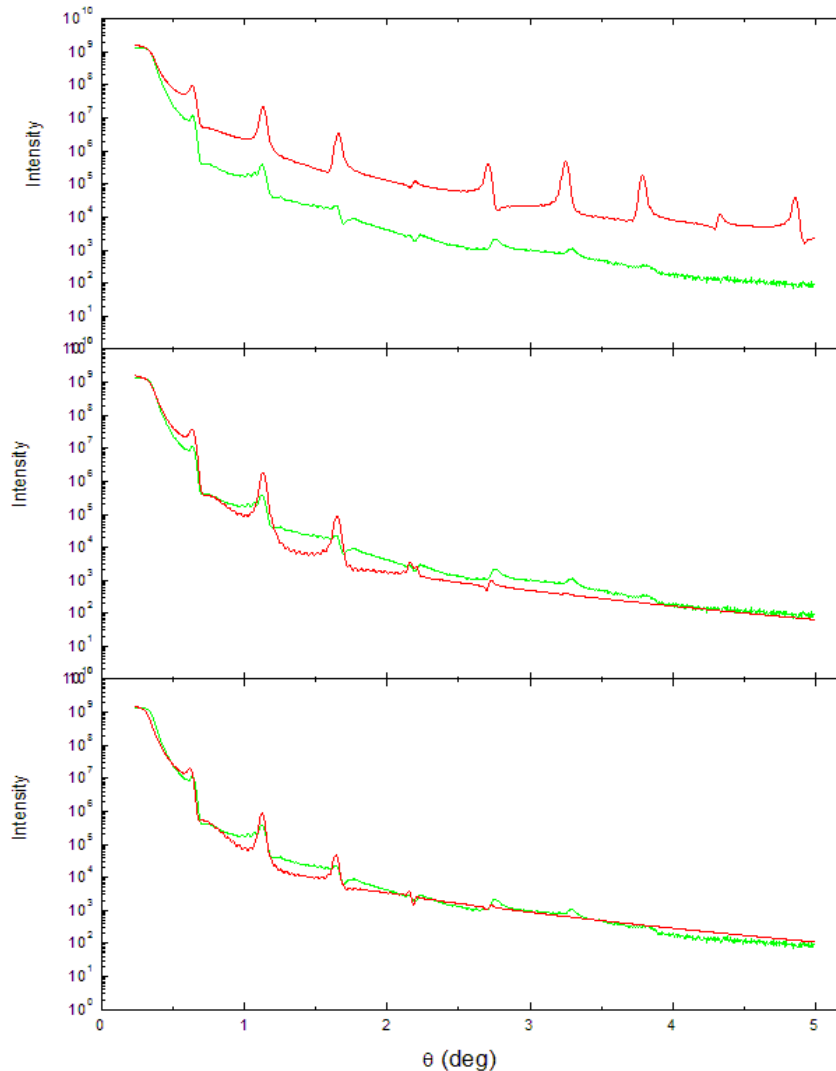
$$F = \frac{t}{\sin(\alpha)}$$

$$R = \frac{I}{I_0}$$



Small angle X-Ray reflectivity

Fits to the measurement



← Smooth interfaces

← Rough interfaces

← Lower densities
(porous sample?)

Oxide layer cap!
THE BRIGHTEST LIGHT IN CANADA | lightsource.ca

Programs for simulating and fitting reflectivity

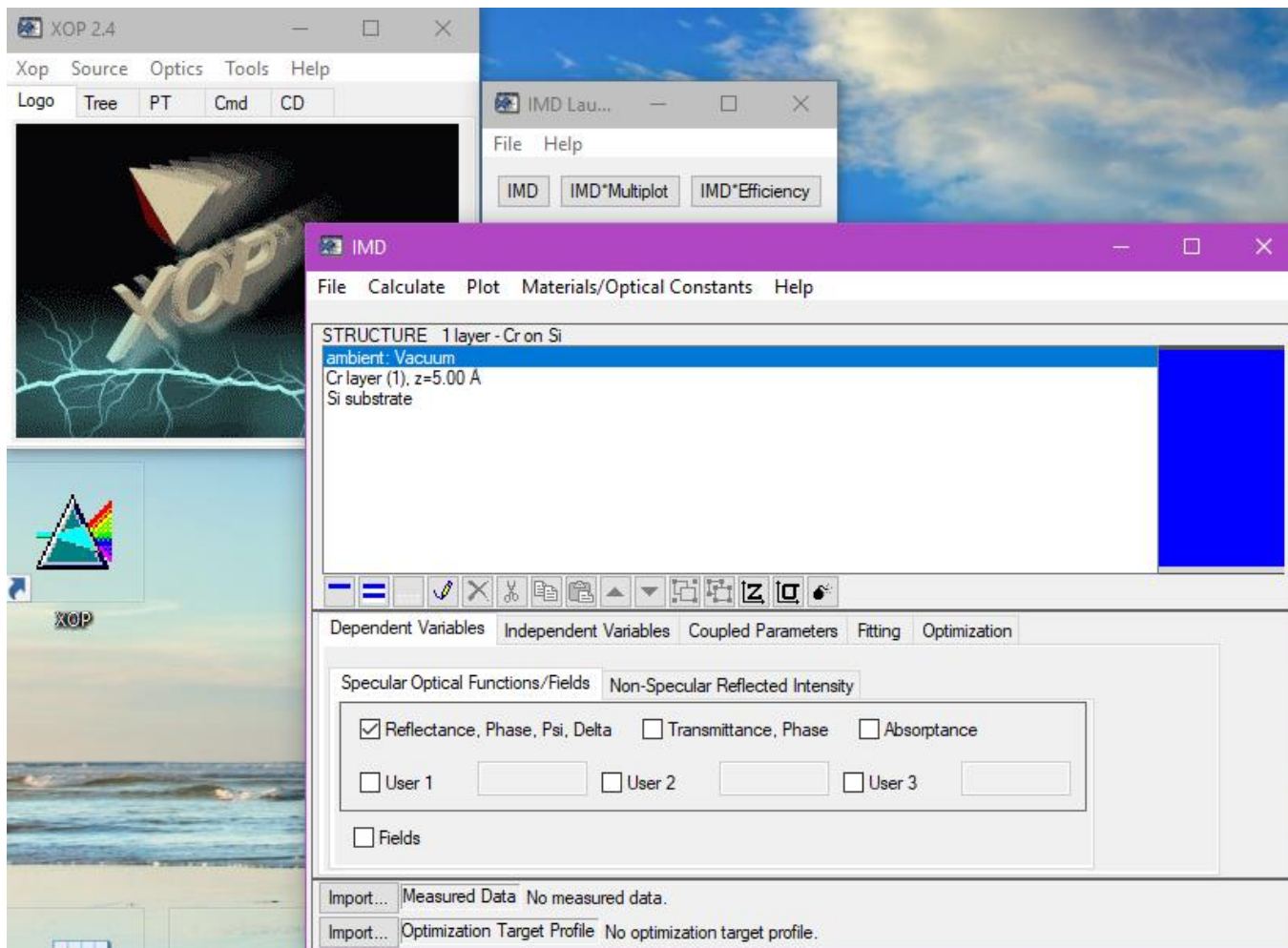
- GSAS II !
- Parratt 32
- RFit2000
- WinGixa (Panalytical)
- XOP / IMD

For more x-ray related softwares, consult website:

<http://gisaxs.com/index.php/Software#Crystallography>



IMD/XOP to simulate x-ray reflectivity



<https://www.aps.anl.gov/Science/Scientific-Software/XOP>



Canadian
Light
Source Centre canadien
de rayonnement
synchrotron

<http://www.rxollc.com/idl/IMD.pdf>

THE BRIGHTEST LIGHT IN CANADA | lightsource.ca

IMD/XOP to simulate x-ray reflectivity

The screenshot displays the XOP 2.4 software interface. The main window is titled "XOP 2.4" and features a menu bar with "Xop", "Source", "Optics", "Tools", and "Help". Below the menu bar is a toolbar with "Logo", "Tree", "PT", "Cmd", and "CD" buttons. The main workspace shows a 3D model of a layered structure with "XOP" text overlaid. A smaller window titled "IMD Lau..." is open, showing buttons for "IMD", "IMD*Multiplot", and "IMD*Efficiency". The "IMD" window is the primary focus, showing a menu bar with "File", "Calculate", "Plot", "Materials/Optical Constants", and "Help". The main area of the "IMD" window contains the following text:

Select independent variables to edit or remove.
STRUCTURE 1 layer - Cr on Si
ambient: Vacuum
Cr layer (1), z=5.00 Å
Si substrate

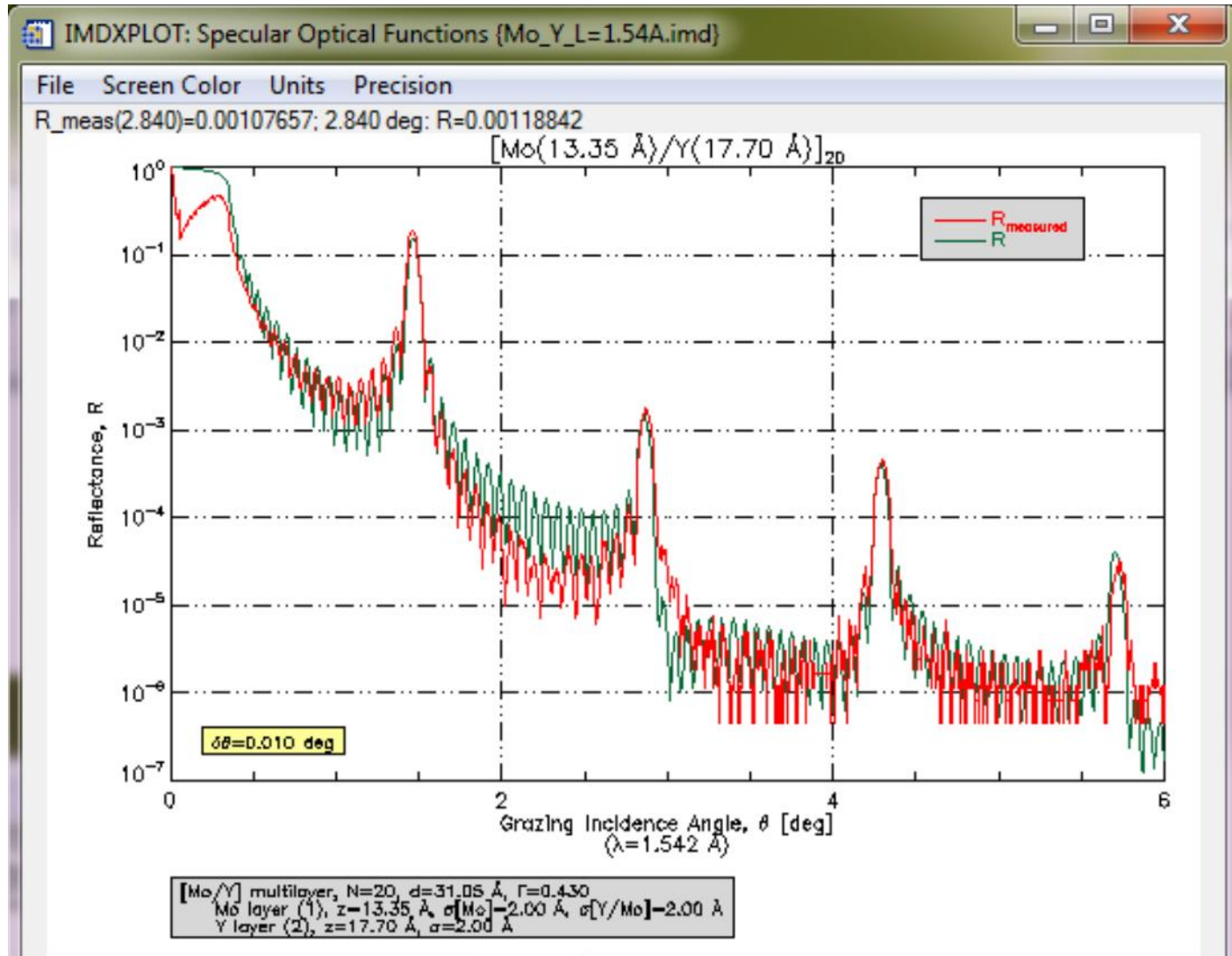
Below this text is a toolbar with various icons. The "Dependent Variables" tab is selected, showing a list of variables:

Grazing Incidence Angle, Theta [1000 values: 0.050-3.000 deg]
Wavelength, Lambda [1.540 Å]

At the bottom of the "IMD" window, there are two "Import..." buttons:

Import... Measured Data No measured data.
Import... Optimization Target Profile No optimization target profile.

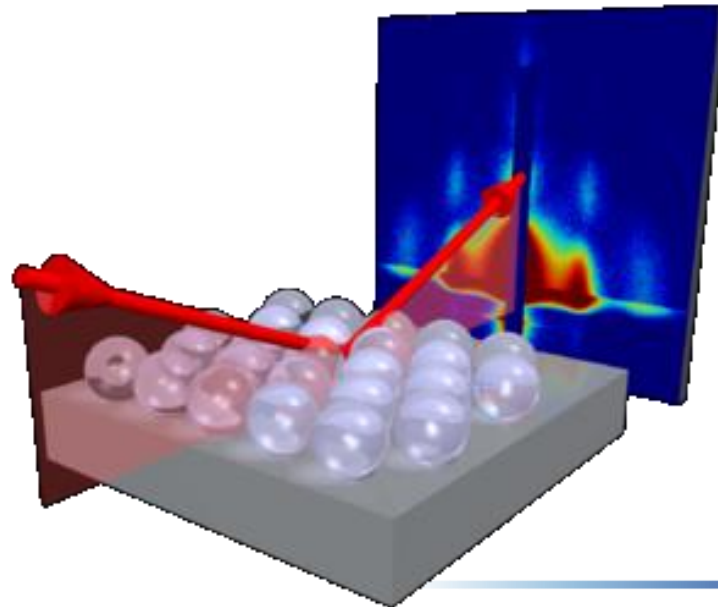
IMD/XOP to simulate x-ray reflectivity



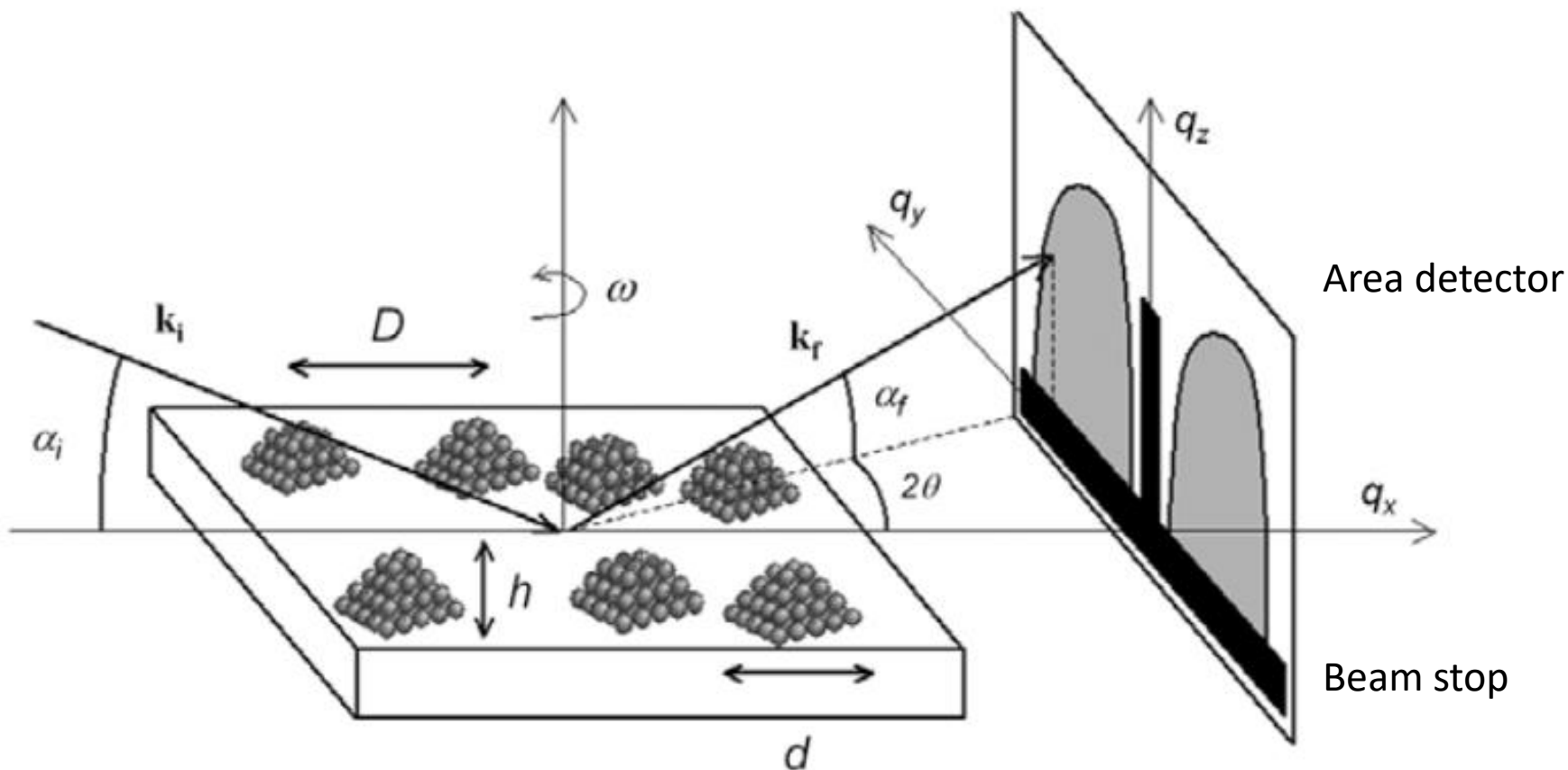
Grazing Incidence

Small Angle X-ray Scattering

GISAXS



GISAXS measurements

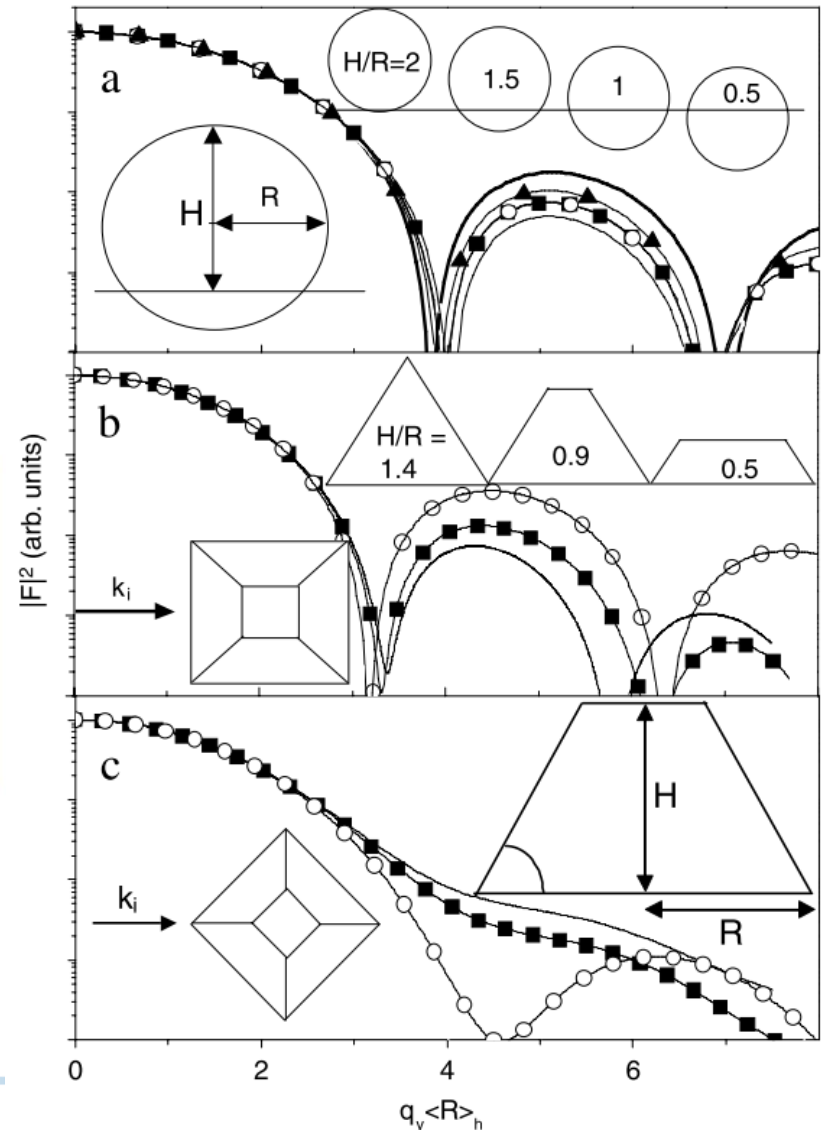
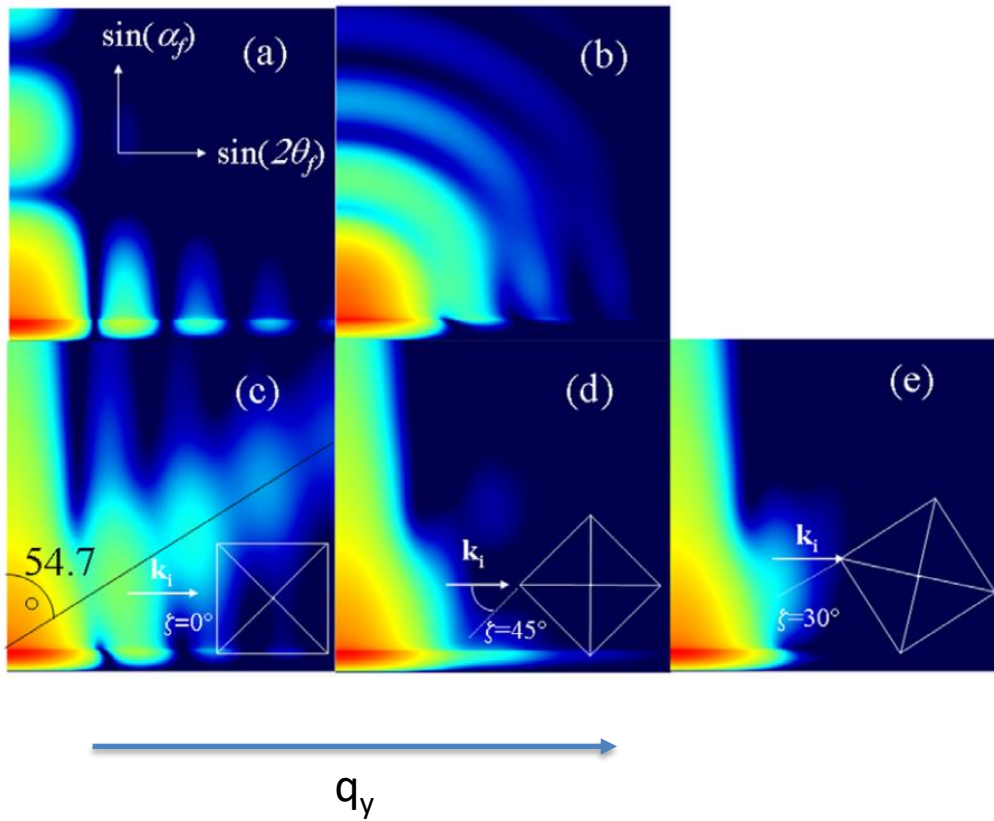


Surface Science Reports **64**(8): 255-380, 2009.



GISAXS:

Form factors of particles of different shapes



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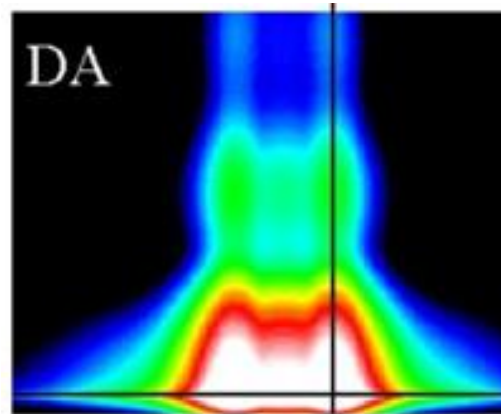
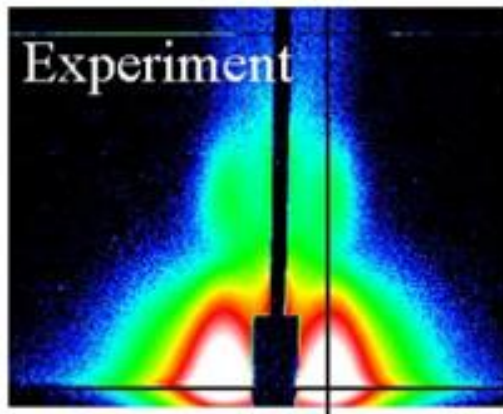
Modelling software

- R. Lazzari, IsGISAXS: A program for grazing-incidence small-angle X-ray scattering analysis of supported islands, J. Appl. Crystallogr. 35 (2002) 406–421.
- <http://www.insp.jussieu.fr/oxydes/IsGISAXS/isgisaxs.htm>
- Jiang, Z. (2015). "GIXSGUI: a MATLAB toolbox for grazing-incidence X-ray scattering data visualization and reduction, and indexing of buried three-dimensional periodic nanostructured films." Journal of Applied Crystallography **48**(3): 917-926.
- <https://www.aps.anl.gov/Science/Scientific-Software/GIXSGUI>
- FitGISAXS, BornAgain, HipGISAXS, NANOCELL, SimDiffraction,...

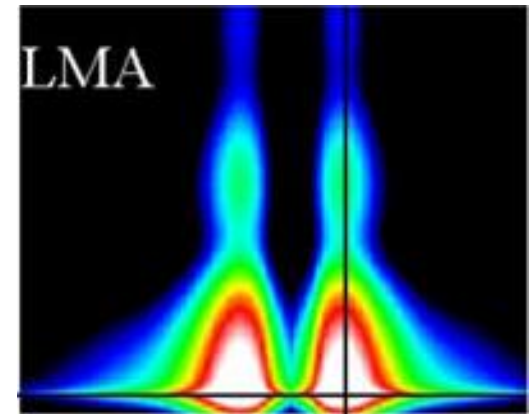


IsGISAXS

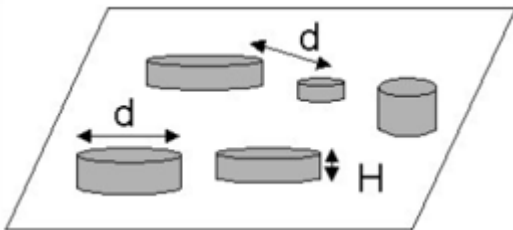
Pd islands on MgO(100)



Decoupling Approximation (DA)



Local Monodisperse Approximation (LMA)



PARAMETERS :

cylinder
 $D = 20.7 \text{ nm}$
 $d = 10.2 \text{ nm}$
 $H = 6.6 \text{ nm}$
 $\sigma_R = 1.3$
 $\sigma_H = 1.1$

- ✓ Shape
- ✓ Average size
- ✓ Size spread
- ✓ Distance among them



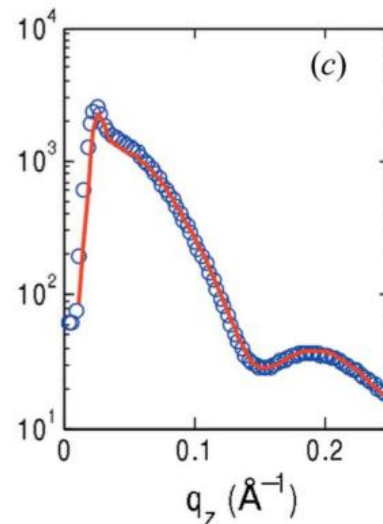
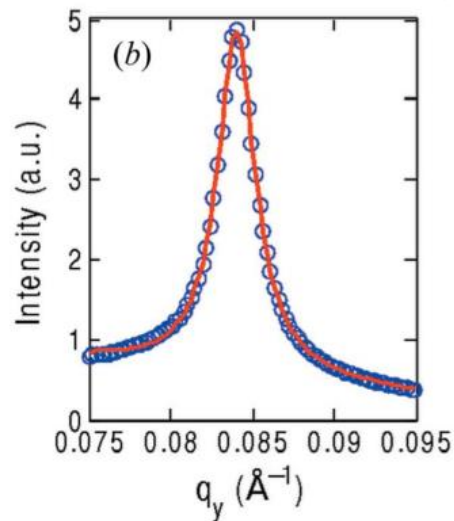
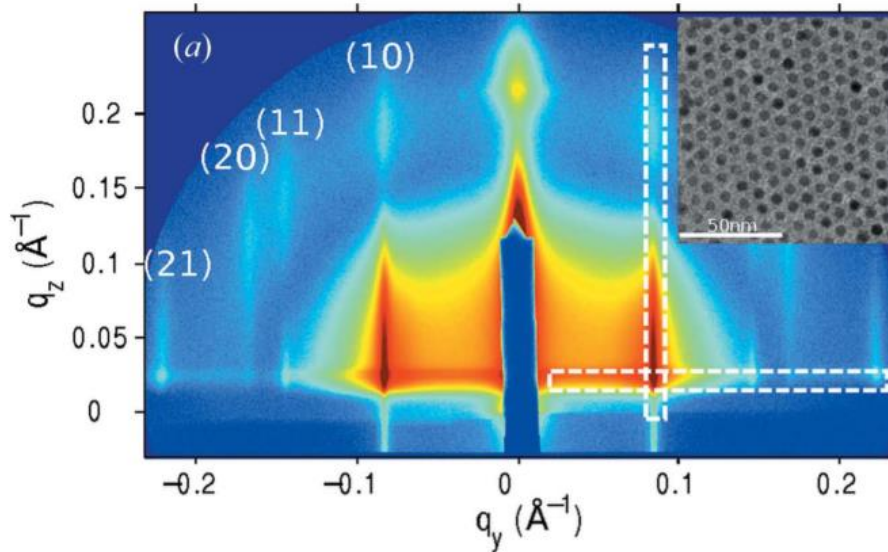
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<http://www.insp.jussieu.fr/oxydes/IsGISAXS/isgisaxs.htm#Introduction>

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GISAXS

Spherical gold nanoparticles in silicon



Horizontal
line profile:

- ✓ Lattice parameter
- ✓ Coherent domain size

Vertical
line profile:

- ✓ Nanoparticle size
- ✓ Polydispersity



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In situ GISAXS

Gold film growth on conducting polymer

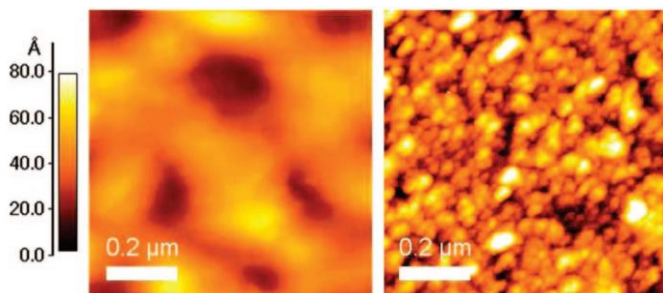
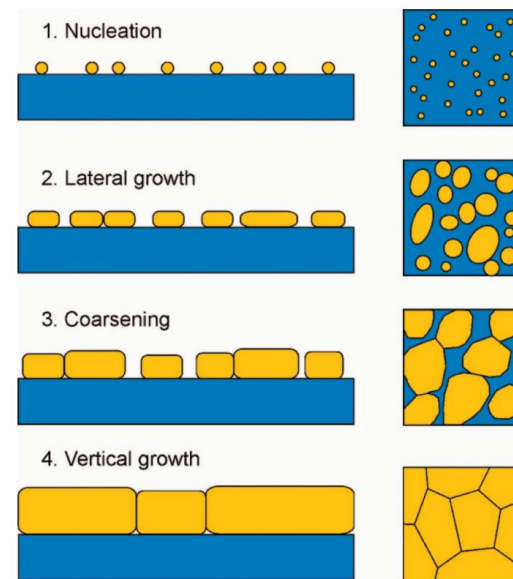
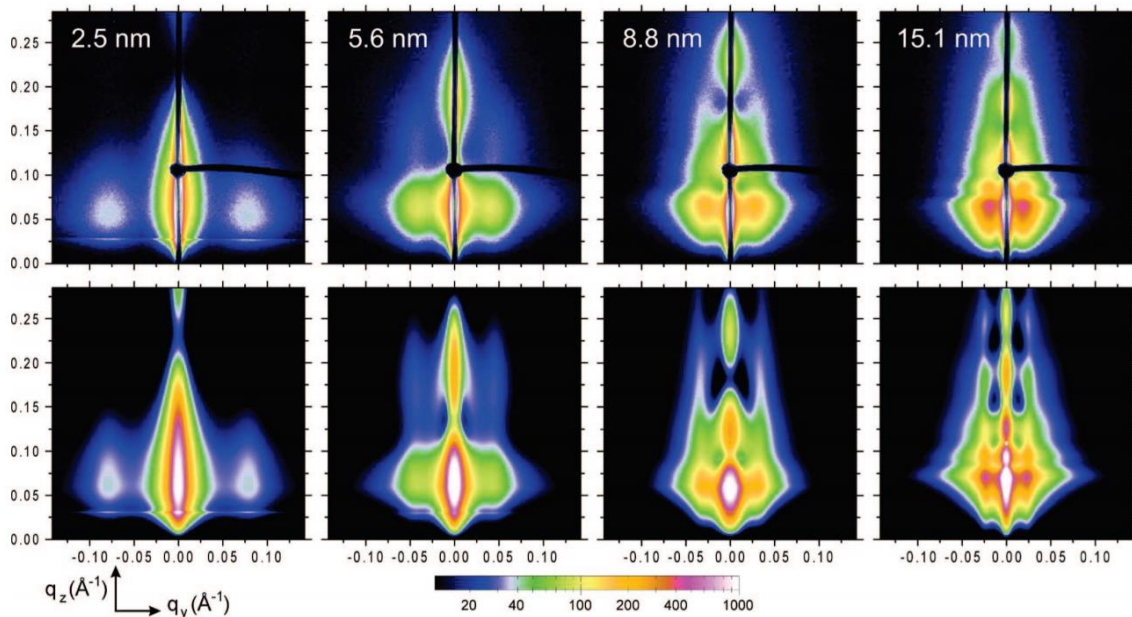


Table 1. Morphological Parameters Extracted from Simulation of the Data by Use of a Model Consisting of Parallelepiped and Spheroid Particle Geometries To Describe the Cluster Shape^a

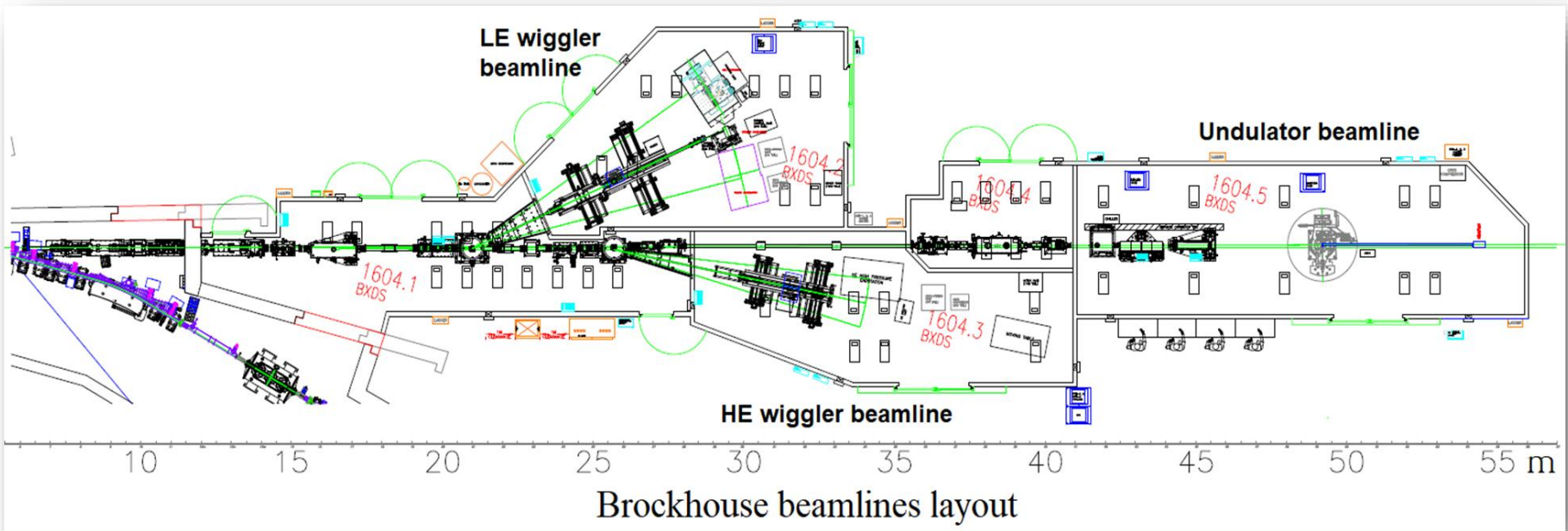
t (min)	d_0 (nm)	d (nm)	r_p (nm)	σ_p (nm)	h_p (nm)	r_s (nm)	σ_s (nm)	h_s (nm)	D (nm)	ω (nm)
9	3.9	2.5	4.8	20.0	3.6	4.8	11.0	4.3	11.8	3.8
19	8.2	5.6	9.1	22.5	6.1	9.1	5.3	6.8	19.0	7.2
29	12.5	8.8	13.5	17.6	8.8	13.5	14.9	9.9	27.0	10.2
49	21.1	15.1	20.0	36.0	15.2	20.0	18.0	16.4	40.0	15.2



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Thin film characterization at the Brockhouse sector



Beamlines energy range

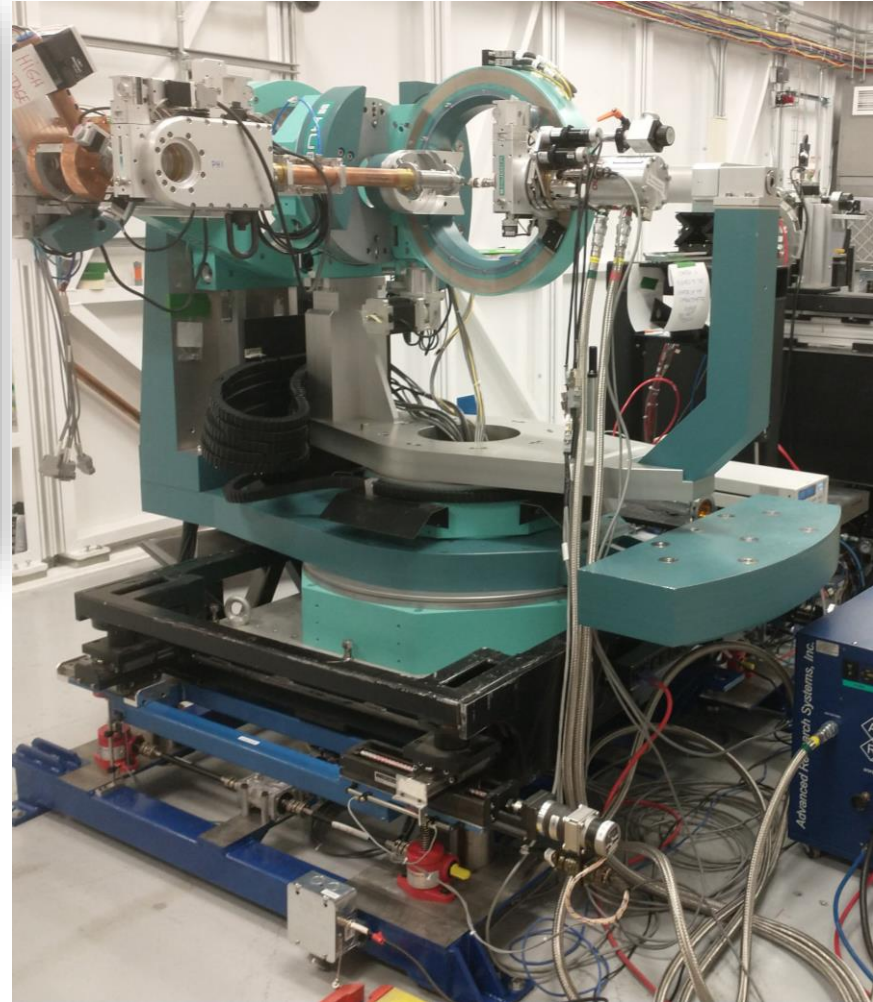
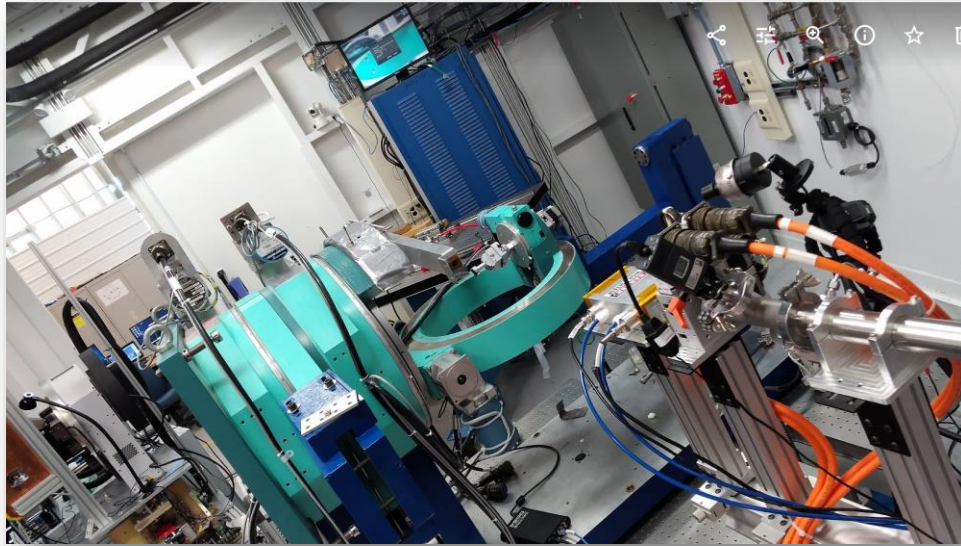
Lower energy wiggler beamline: 7 – 22 keV

Undulator beamline: 5 – 24 keV

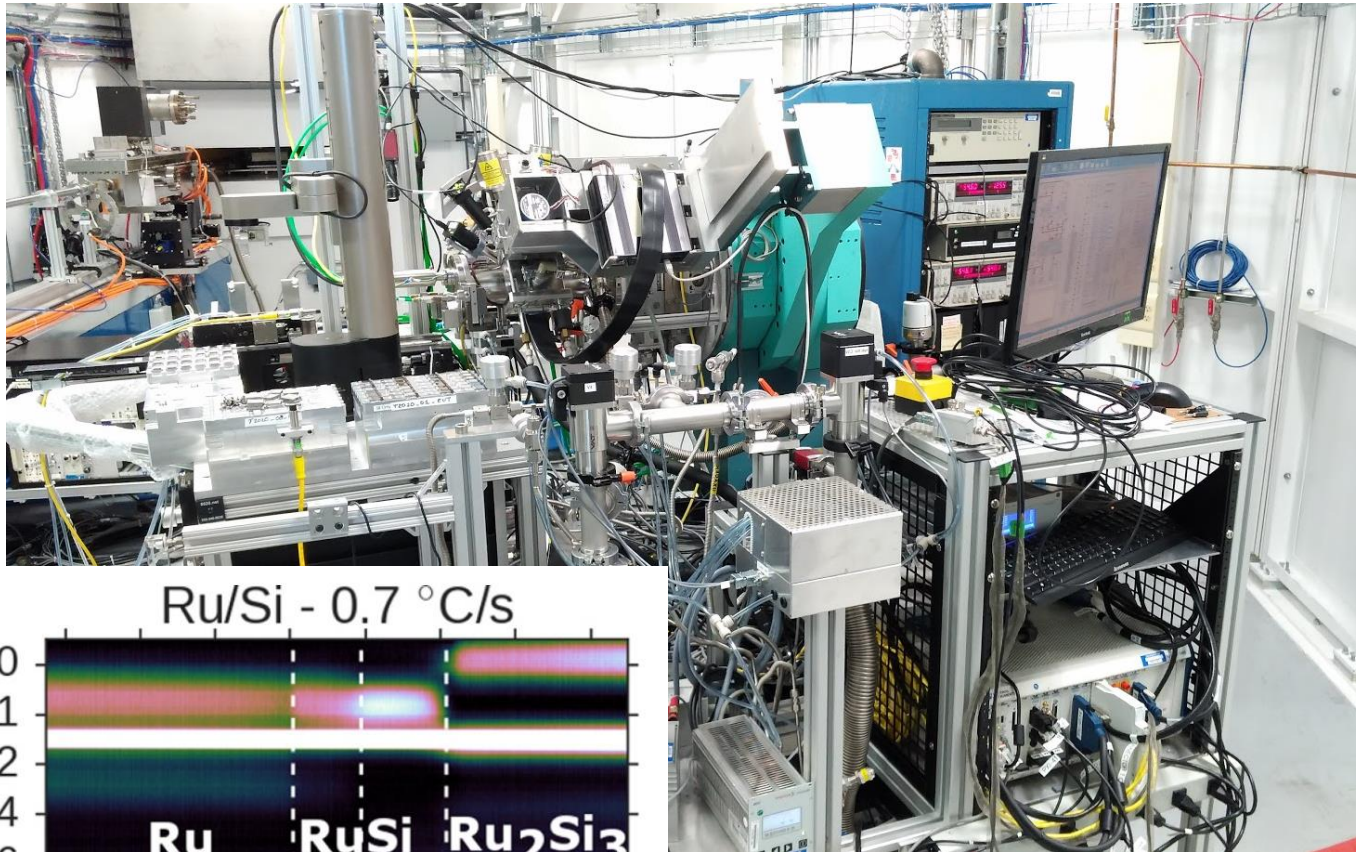
Higher Energy wiggler beamline: 20 – 95 keV



Thin film characterization at the Brockhouse sector



Thin film characterization at the Brockhouse sector



IBM in-situ station

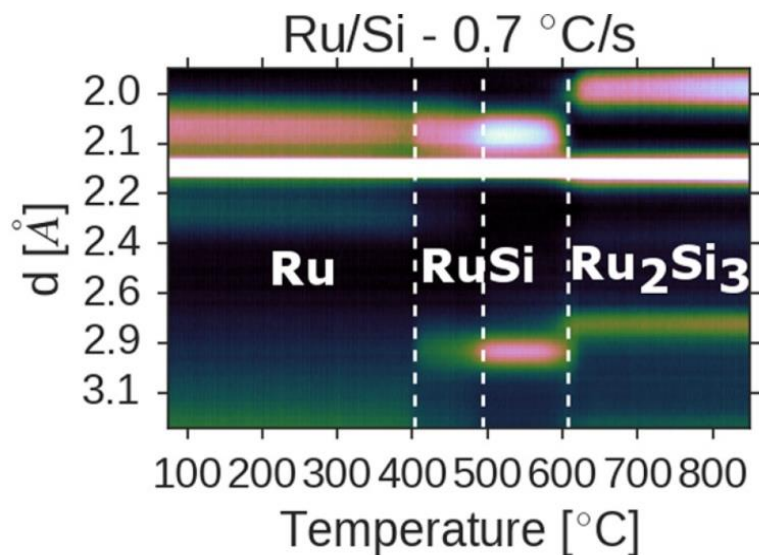
XRD

RTA up to 1000 °C

H₂ or N₂ ultrahigh purity atmosphere

Resistance probe

Roughness probe



BXDS – Brockhouse X-ray Diffraction and Scattering for materials science

brockhouse.lightsource.ca



**Brockhouse Diffraction
Sector Beamlines**

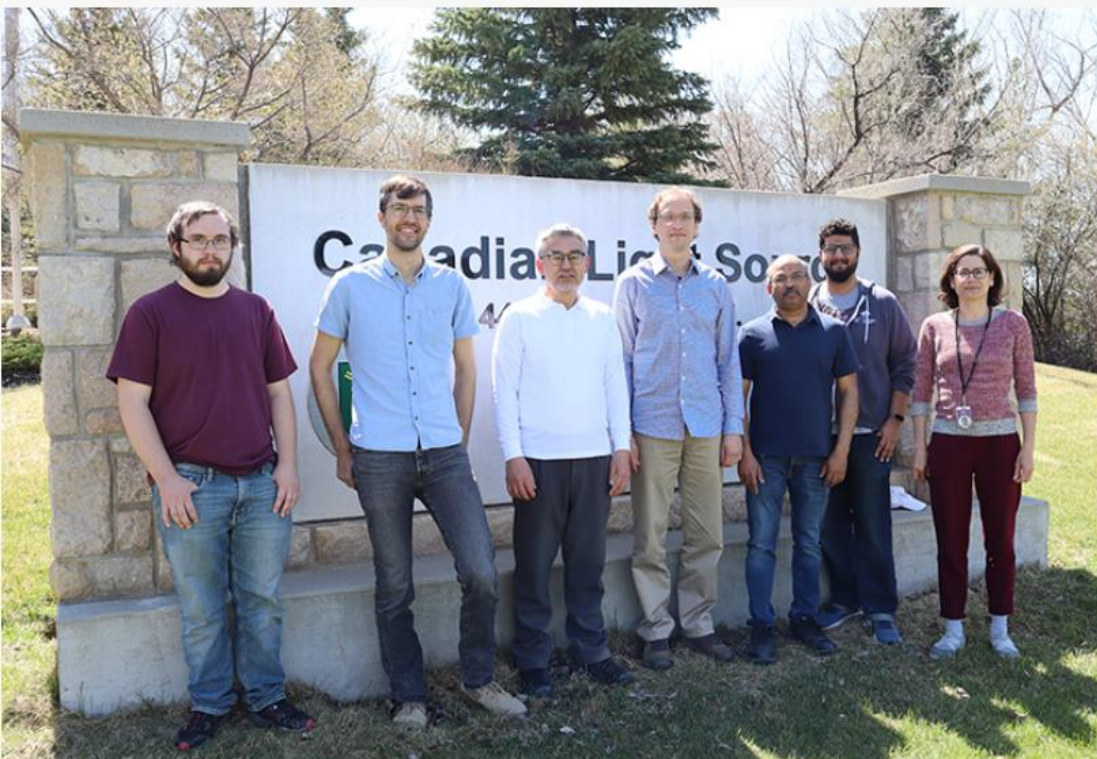
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Welcome to the Brockhouse homepage. We provide a wide range of complementary diffraction and scattering techniques to fully characterize your materials.

High resolution powder diffraction

Pair distribution function (PDF)

High energy diffraction for in-situ studies

Reciprocal space mapping

Small/wide angle X-ray scattering (SAXS/WAXS)

High pressure crystallography

X-ray reflectivity

Grazing incidence diffraction (GID)

Anomalous diffraction and magnetic diffraction



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Conclusions

If you have a sample... → measure XRD!

If it is a very thin film... → try one of the techniques with grazing incidence geometry

They can yield information about:

- ✓ Structure / texture / stress
- ✓ Defects
- ✓ Thickness
- ✓ Roughness
- ✓ Composition, interdiffusion, gradients
- ✓ Size, morphology, ordering
- ✓ How does it perform under real working conditions?
→ Come to a synchrotron and perform in-situ experiments!



Further reading

- Thin Film Analysis by X-Ray Scattering, by Mario Birkholz, 2006
- Surface Science Techniques
 - Chapter 6: Grazing incidence X-Ray diffraction by Osami Sakata and Masashi Nakamura
 - Chapter 7: X-Ray Reflectivity by Gibaud, Chebil and Beuvier
- Renaud, G., et al. (2009). "Probing surface and interface morphology with Grazing Incidence Small Angle X-Ray Scattering." Surface Science Reports **64(8)**: 255-380



Acknowledgments



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