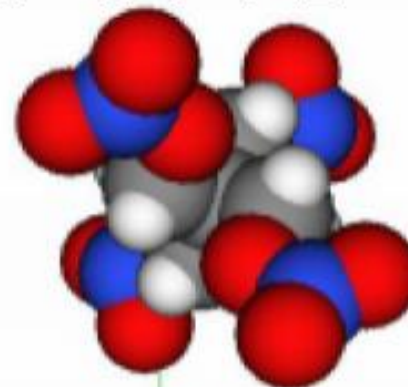


# GSAS-II SPECIAL TOPICS

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Saskatoon  
June, 2024

# GSAS-2



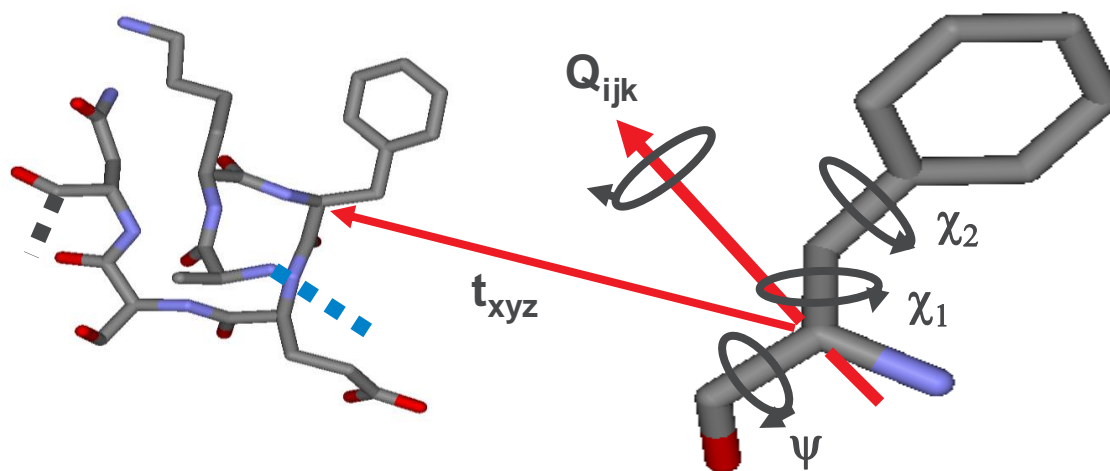
# CONSTRAINTS & RESTRAINTS

# CONSTRAINTS & RESTRAINTS - “WHAT TO DO WHEN YOU HAVE TOO MANY PARAMETERS & NOT ENOUGH DATA”

Constraints – reduce no. of parameters

Derivative vector After constraints  $\frac{\partial F}{\partial v_i} = R_{il} U_{lk} S_{kj} \frac{\partial F}{\partial p_j}$  Derivative vector Before constraints

Rectangular matrices Rigid body User Symmetry



# QUATERNIONS – SIR WILLIAM ROWAN HAMILTON 1843



## Broome Bridge, Dublin

Here as he walked by  
on the 16th of October 1843  
Sir William Rowan Hamilton  
in a flash of genius discovered  
the fundamental formula for  
quaternion multiplication  
 $i^2 = j^2 = k^2 = ijk = -1$   
& cut it on a stone of this bridge.



"Quaternions came from Hamilton after his really good work had been done; and, though beautifully ingenious, have been an unmixed evil to those who have touched them in any way, including Clerk Maxwell." — Lord Kelvin, 1892.

**NB: W.R. Hamilton is the  
H in  $H\Psi = E\Psi$**

# $Q_{IJK}$ – QUATERNION TO REPRESENT ROTATIONS IN GSAS-II

- In GSAS-II defined as:  $Q_{ijk} = r+ai+bj+ck$  – 4D complex number – 1 real + 3 imaginary components
- Normalization:  $r^2+a^2+b^2+c^2 = 1$
- Rotation vector:  $v = a_x+b_y+c_z$ ;  $u = (a_x+b_y+c_z)/\sin(\alpha/2)$
- Rotation angle:  $r^2 = \cos^2(\alpha/2)$ ;  $a^2+b^2+c^2 = \sin^2(\alpha/2)$
- Quaternion product:  $Q_{ab} = Q_a * Q_b \neq Q_b * Q_a$
- Quaternion vector transformation:  $v' = QvQ^{-1}$
- Uses: RB rotations, structure drawings, etc.
- No gimbel lock as with Eulerian angles @  $\chi = 0$

# FULL MINIMIZATION FUNCTION + RESTRAINTS: ADDITIONAL “DATA”

Least-squares – nonlinear; transcendental functions

$$\begin{aligned} M = & f_Y \sum w_i (Y_{oi} - Y_{ci})^2 && \text{Powder profile (Rietveld)/Single crystal } F_{hkl} \\ & + f_a \sum w_i (a_{oi} - a_{ci})^2 && \text{Bond angles} \\ & + f_d \sum w_i (d_{oi} - d_{ci})^2 && \text{Bond distances} \\ & + f_t \sum w_i (-t_{ci})^4 && \text{Torsion angle pseudopotentials} \\ & + f_p \sum w_i (-p_{ci})^2 && \text{Plane RMS displacements} \\ & + f_v \sum w_i (v_{oi} - v_{ci})^4 && \text{van der Waals distances (if } v_{oi} < v_{ci}) \\ & + f_h \sum w_i (h_{oi} - h_{ci})^2 && \text{Hydrogen bonds} \\ & + f_x \sum w_i (x_{oi} - x_{ci})^2 && \text{Chiral volumes} \\ & + f_R \sum w_i (-R_{ci})^4 && \text{“}\phi/\psi\text{” pseudopotential} \end{aligned}$$

**NB: May be 1,000’s of these terms for e.g. proteins**

# RMCPROFILE IN GSAS-II

# RMCPROFILE “BIG BOX” SIMULATION

## GSAS-II interface development goals

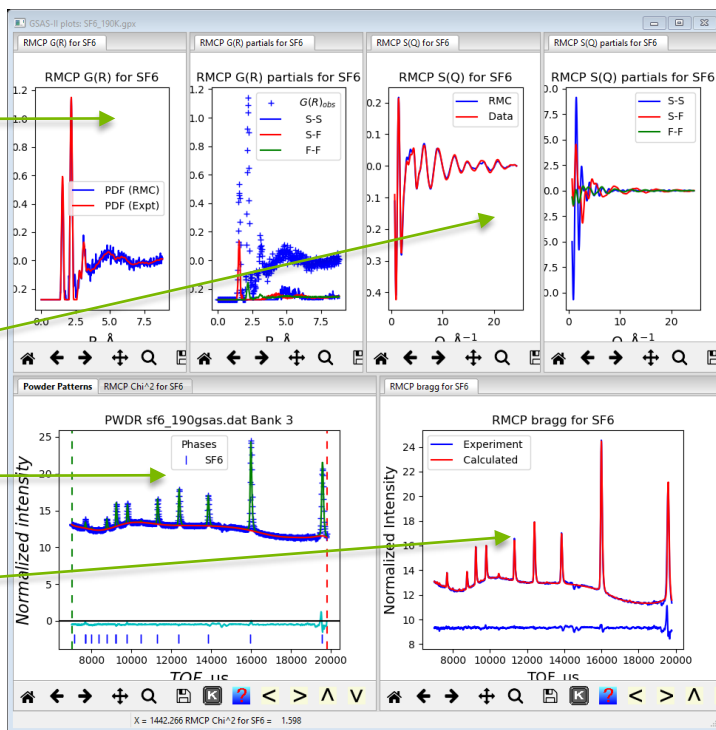
- Provide GUI interface to setup of RMCPProfile - save setup controls for reuse
- Initiate independent RMCPProfile execution – may run for hours
- Allow graphical display on intermediate results

G(R) & partials

S(Q) & partials

RR result

PWDR simulation



Project: SF6\_190K.gpx

Metadata item: phase: BCC

Metadata item: comment: test

Metadata item: source: GEM ISIS

Total running time (min): 10. Save interval time (min): 1.

Lattice multipliers; if changed will force reset of atom positions:  
 X-axis: 3 Y-axis: 3 Z-axis: 3

NB: be sure to set cations first & anions last in atom ordering

Set atom ordering: S F

Set max shift: 0.05 0.1

Add Atom swap probabilities:

Enter constraints & restraints:  
 Set minimum & maximum distances for:

	S-S	S-F	F-F
Hard min:	4.	1.37	2.
Search from:	0.	1.37	2.
to:	0.	1.8	2.5

Use bond valence sum restraints for (set to 0 for non-bonded ones):

Add Fixed coordination number restraint:

Add Average coordination number restraint:

Potential temperature (K): 190.

Add A-B stretch potential restraints, search range (%): 30.

	Atom-A	Atom-B	AB dist	potential
Delete	S	F	1.564	2.
Delete	F	F	2.2	2.

Add A-B-C angle potential restraints, search range (%): 10.

Select data:  
 Select one histogram for Bragg processing:  
 PWDR sf6\_190gsas.dat Bank 3 Weight 0.1

Use size broadening?  Use mustrain broadening?

Select data for processing:

	Format	Weight	Name
Select	RMC	0.05	Neutron real space data; G(r): sf6_190k_gr.dat
Select	RMC	0.01	Neutron reciprocal space data; F(Q): sf6_190k_fq.dat
Select			Neutron reciprocal space data; S(Q):
Select			X-ray real space data; G(r):

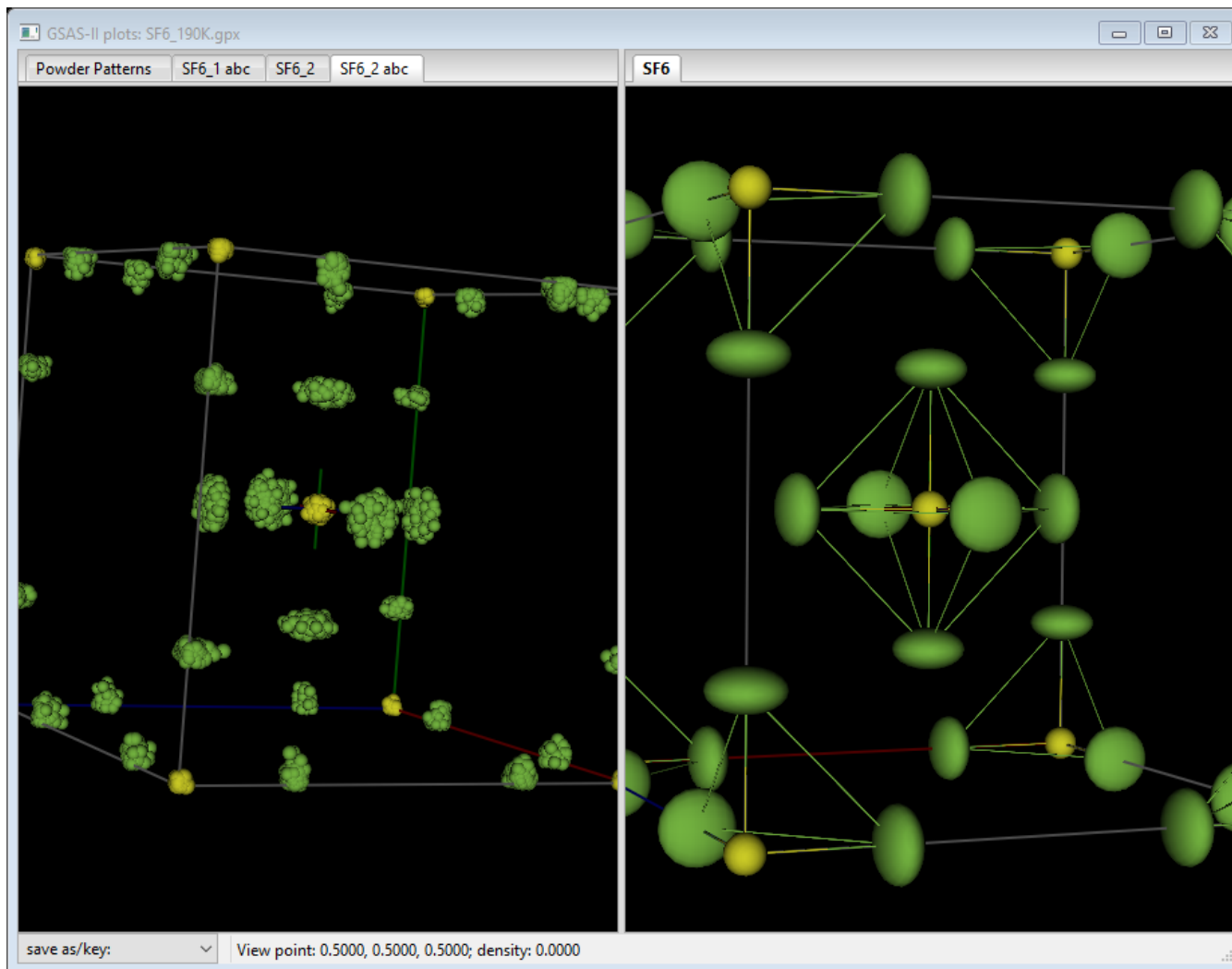


# RMCPROFILE RESULT FOR SF<sub>6</sub>

10x10x10 unit cell box – transform back to original

See disordered atom distribution

– compare to Rietveld  $U_{\text{aniso}}$  for F atom

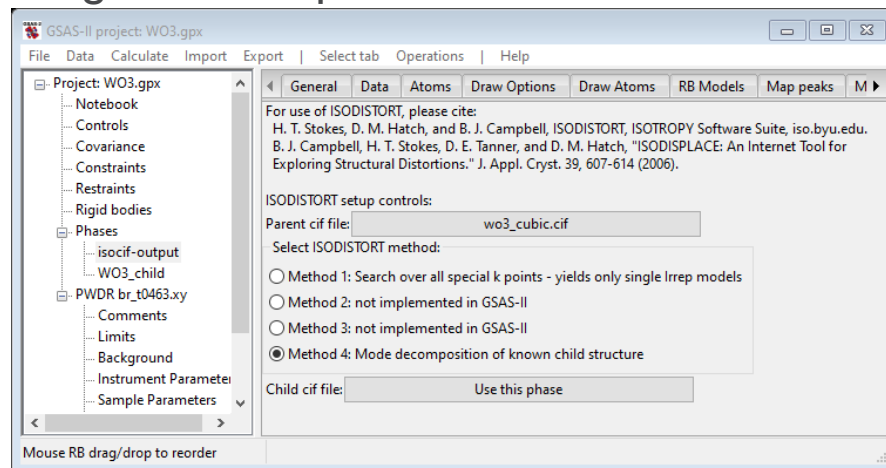
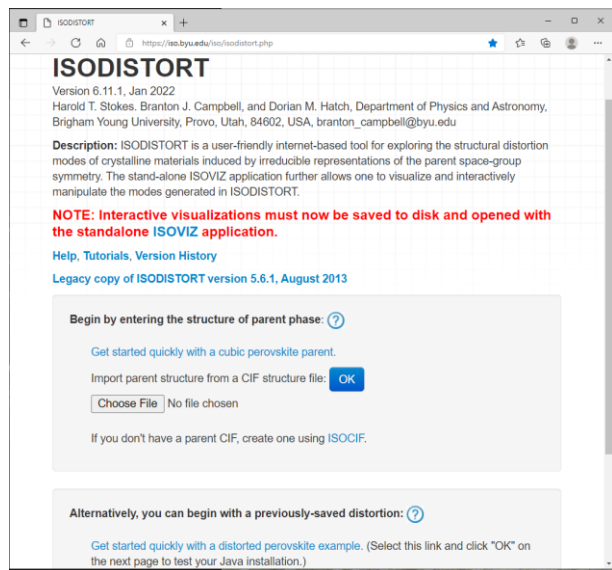


# PDFFIT & ISODISTORT

# ISODISTORT

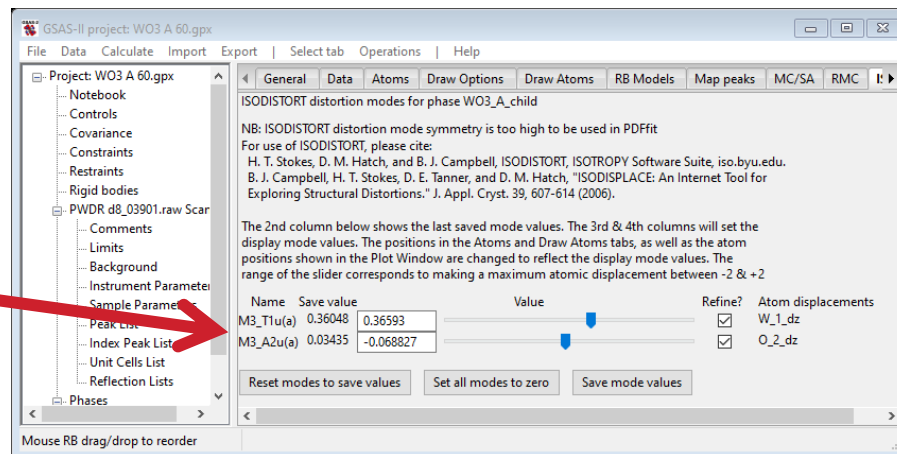
## Implementation in GSAS-II

ISODISTORT: Web based tool for discerning mode displacements of atoms from an idealized parent structure



- New implementation – direct interaction between GSAS-II & ISODISTORT; simplified operation

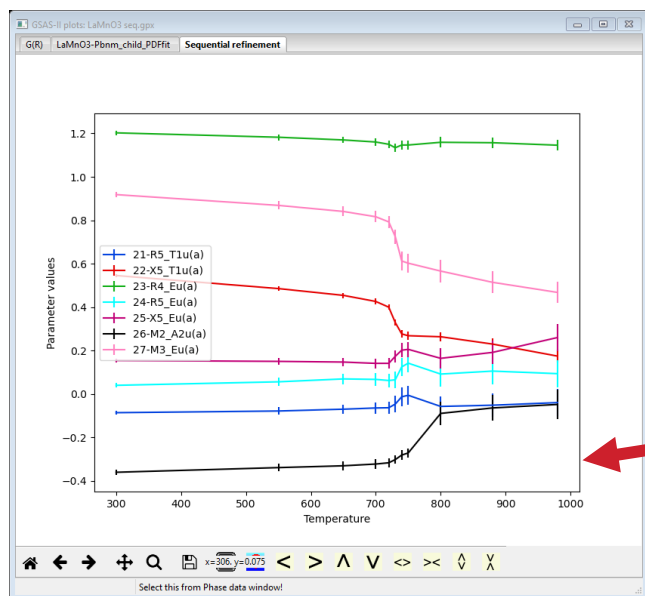
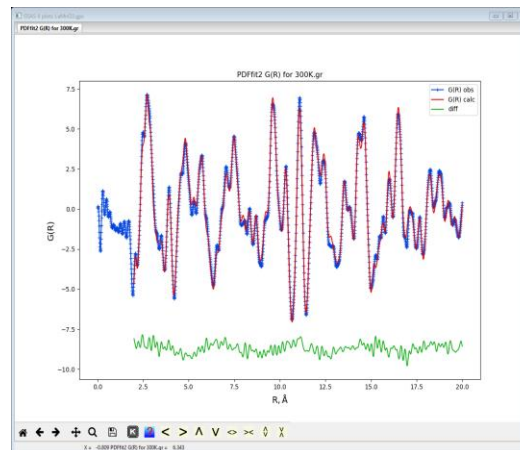
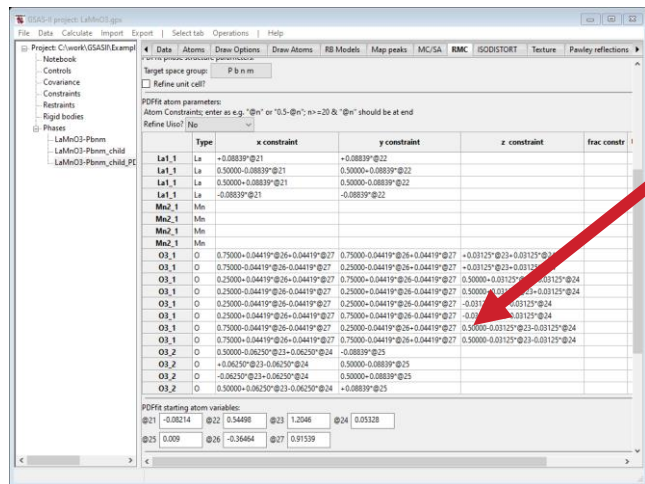
- To use: a multistep process → a cif file with modes; can be imported into GSAS-II with new variables for the modes.



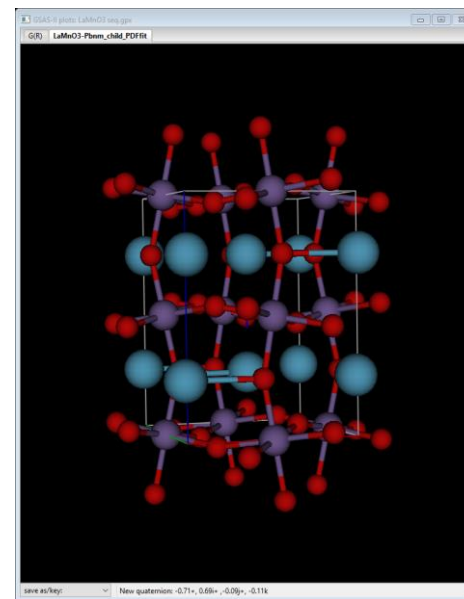
# PDFFIT2 = "PDFfit" IN GSAS-II

## "Small Box" modelling of pair distribution functions

Use ISODISTORT – create the atom position constraints in new interface to PDFfit2



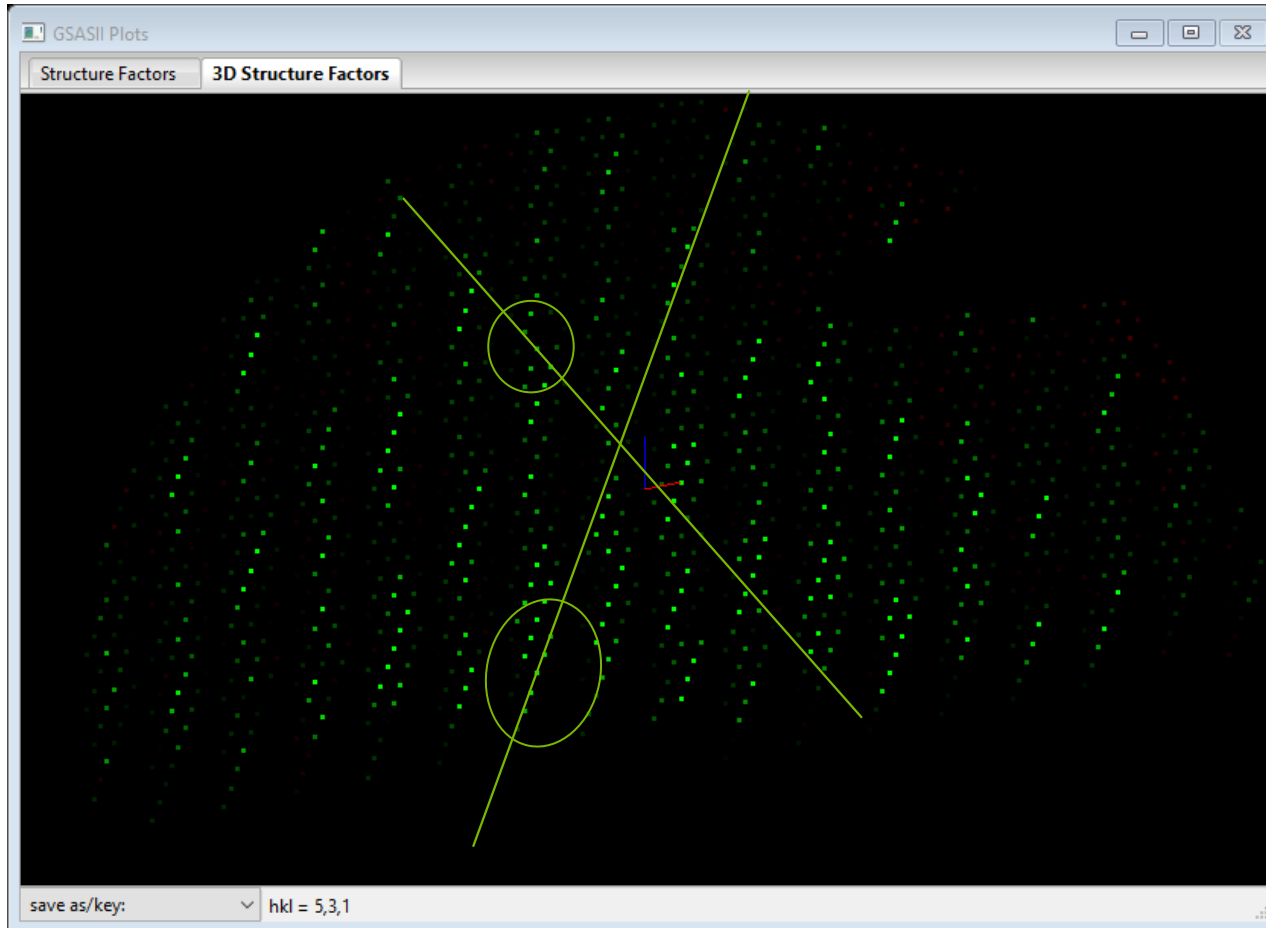
Parameters: mode displacements (Å)  
Can be fit for sequence of T



# INCOMMENSURATE STRUCTURES IN GSAS-II

# INCOMMENSURATE STRUCTURES N GSAS-II

Book: “Incommensurate Crystallography” S. van Smaalen



$$H=G+mq$$

G: substructure hkl  
m: +/- small integers  
q: modulation vector

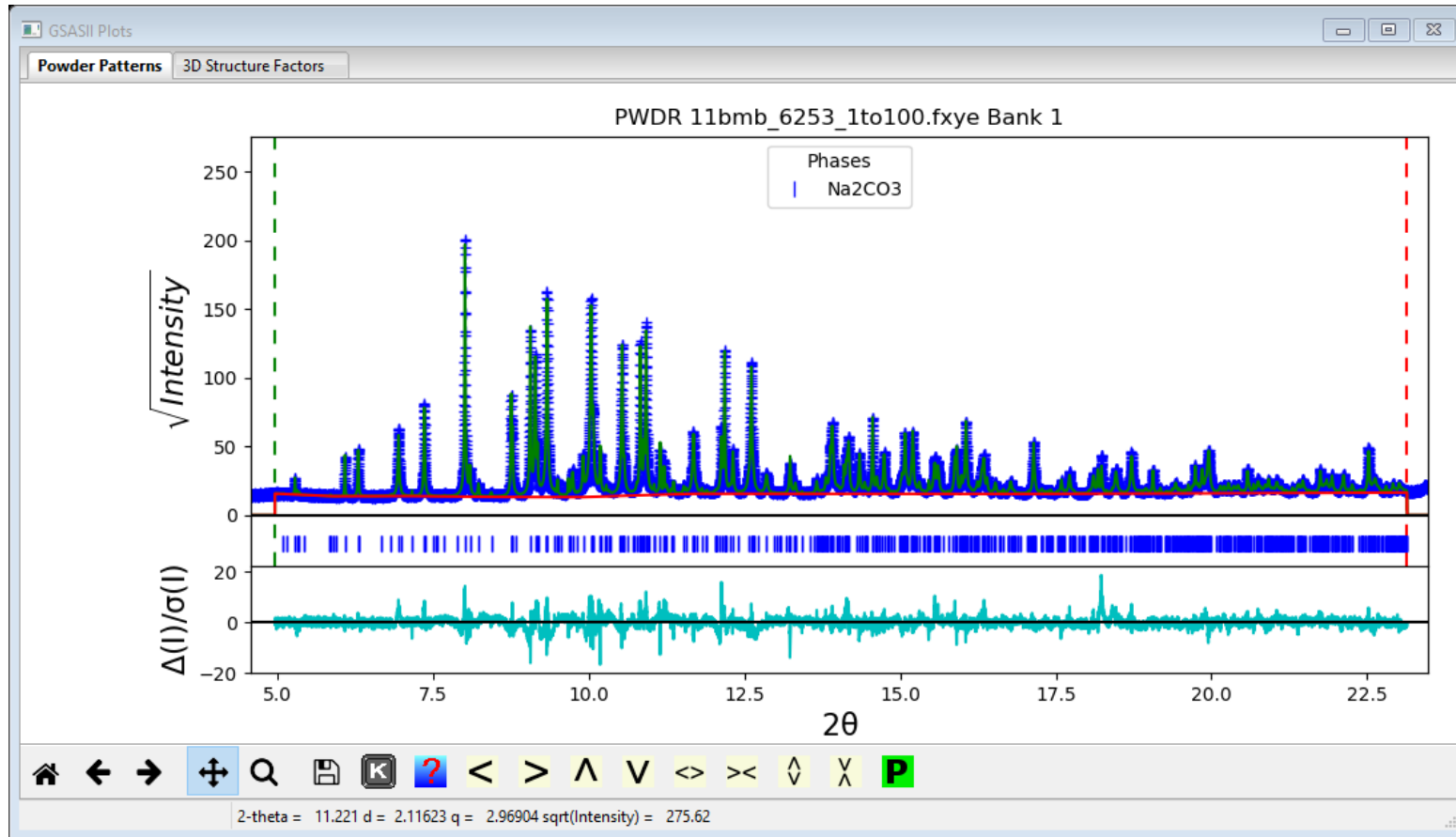
For Na<sub>2</sub>CO<sub>3</sub>  
q= 0.183,0,.319

Each reflection: hklm  
m=0 sublattice  
m≠0 superlattice

Na<sub>2</sub>CO<sub>3</sub> – single crystal X-ray data – h0l zone → rows of spots don't line up

# POWDER DIFFRACTION

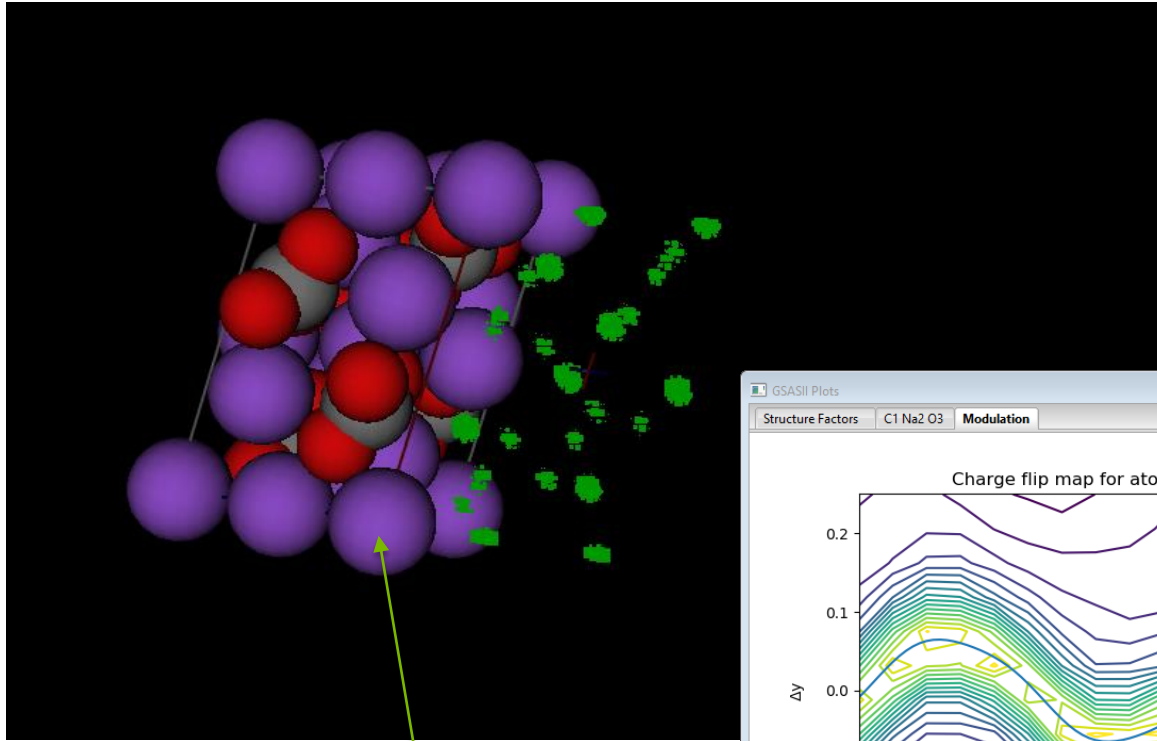
$\text{Na}_2\text{CO}_3$  – 11BM @ APS room temp.



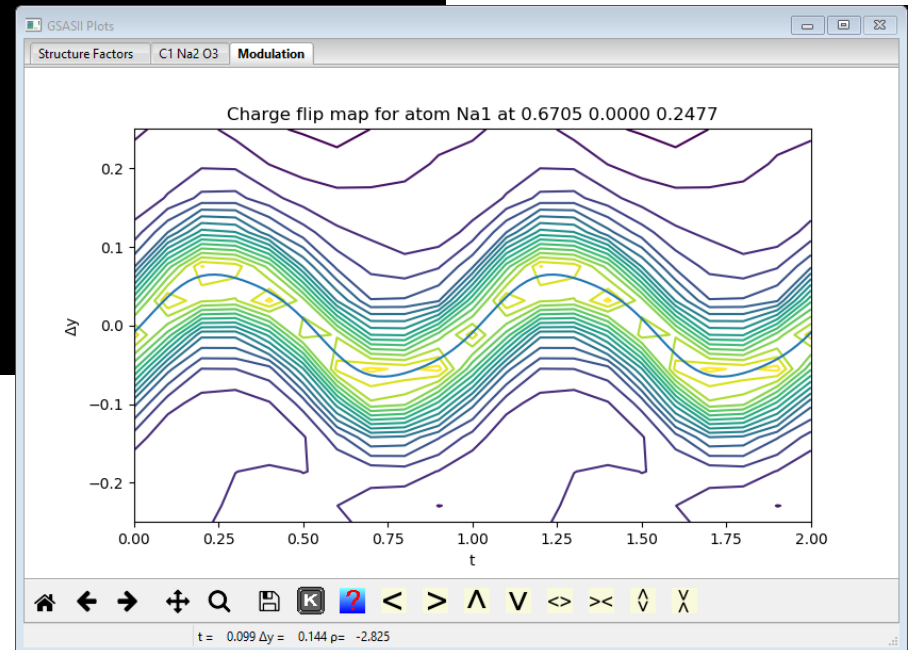
Includes  $m=-2,-1,1,2$  superlattice reflections; Rietveld refinement includes 1<sup>st</sup> & 2<sup>nd</sup> order harmonics on position depending on atom

# INCOMMENSURATE STRUCTURE SOLUTION

4D charge flipping; single crystal & powders (e.g. Pawley refinement)



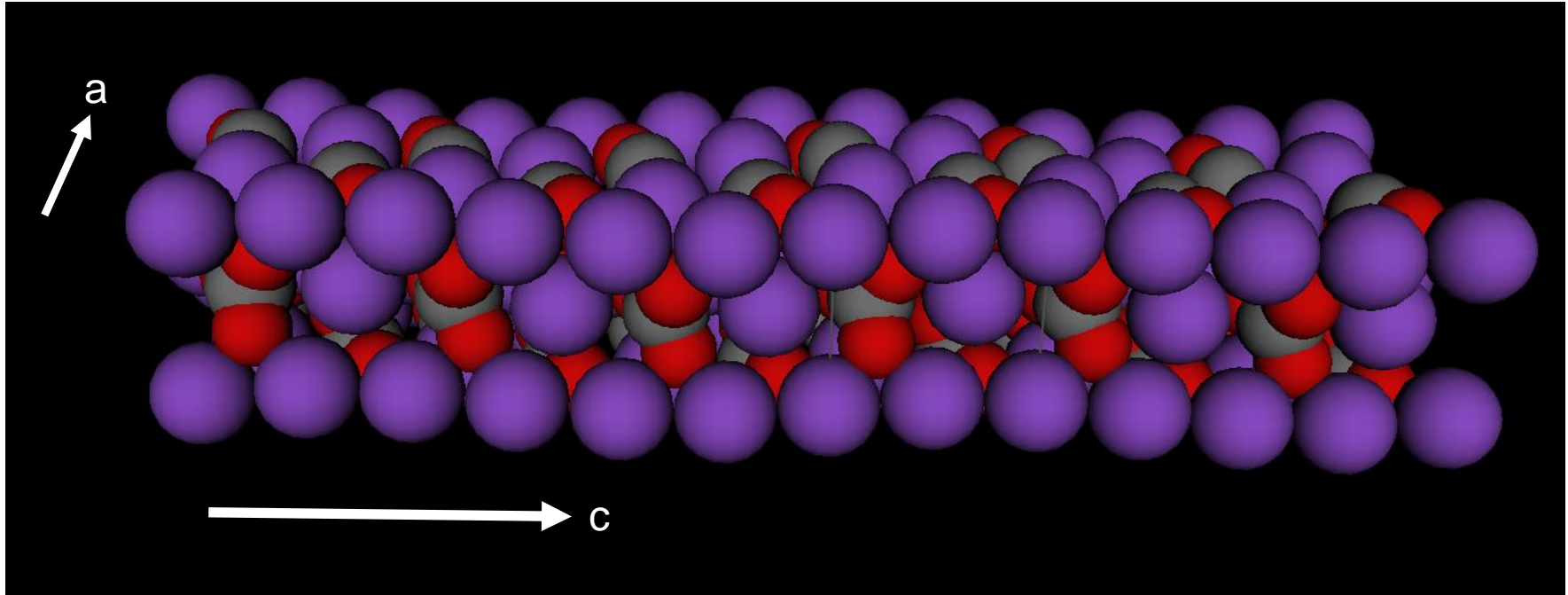
Modulation of atom positions (Na1-y)  
Fit function – fourier series in tau





# LATTICE MODULATION

## $\text{Na}_2\text{CO}_3$ – single crystal data



Coordinated wave motion – Na lattice y motion/  $\text{CO}_3$  rocking motion

Recall  $q = 0.183, 0, 319$  so period  $\sim 6-7$  on x &  $\sim 3$  on z

Possible modulations: positions, thermal parameters, site fractions  
(& magnetic moments)

# INCOMMENSURATE STRUCTURES

## Symmetry symbols – interpreted by GSAS-II (not lookup)

- Space group + super symmetry symbol

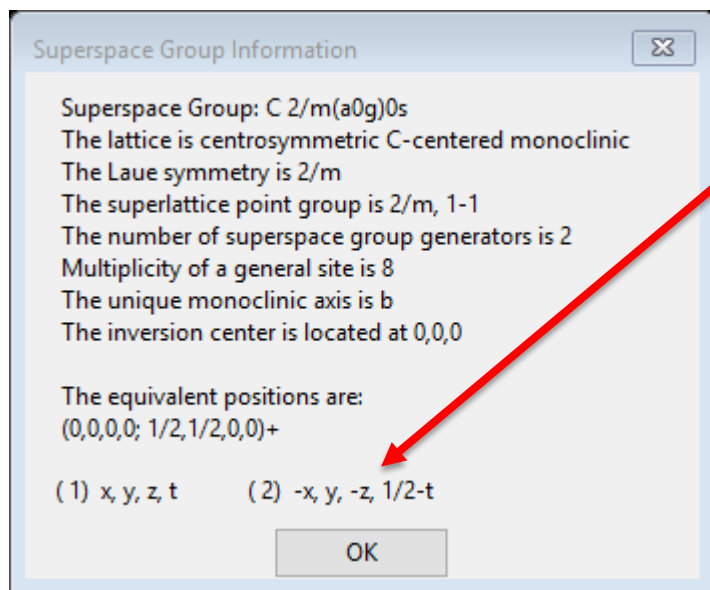
e.g.  $\text{Na}_2\text{CO}_3 - \text{C}2/m(\alpha 0\gamma)0s$

Space group

Modulation vector

Translation component

Operators: conventional space group & 4<sup>th</sup> dim component



Possible modulation vectors:  
e.g.  $\alpha\beta\gamma$ ,  $\alpha 0\gamma$ ,  $0\beta 0$ ,  $\alpha^{1/2}\gamma$ ,  $1/2\beta 0$

Translations:  $0,s,t,q,h$

1-4 of these

Depend on space group

GSAS-II shows legal choices

# MODULATION MODELS

## Position, thermal motion, site fraction & magnetic moment

- Position: on x,y,z
  - Fourier series sin & cos – symmetry allowed choices
  - Zigzag, sawtooth & block – just 1, add Fourier for more terms



- Thermal motion:
  - Fourier series
- Site fraction:
  - Fourier series
  - Crenel – like block but 0/1 (not +/- x)
- Magnetic moment
  - Fourier (odd terms only – generally just 1)

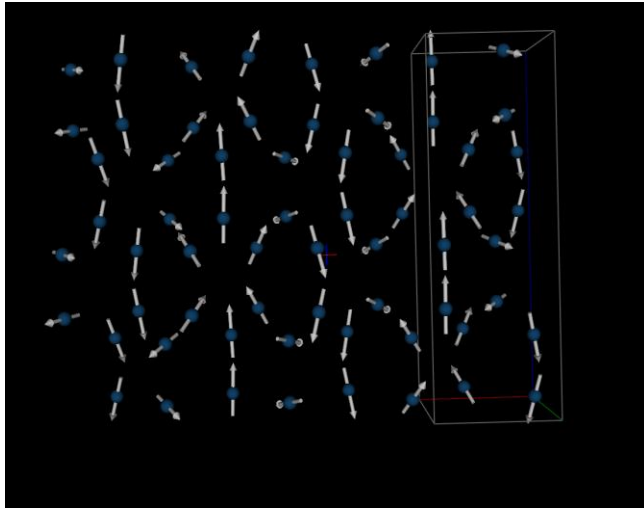
# INCOMMENSURATE STRUCTURES

## Cases not allowed in GSAS-II

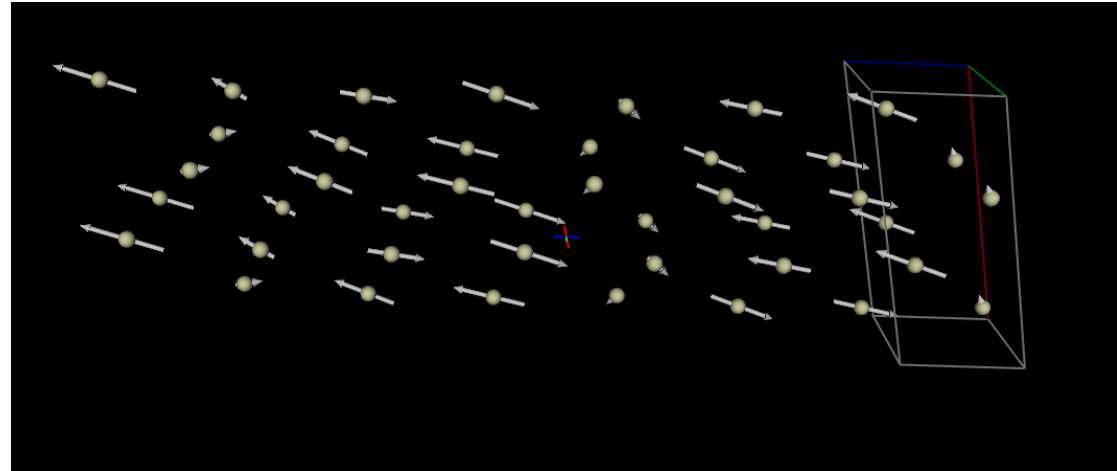
- 3+2 & 3+3 not allowed in GSAS-II
  - Too complex to deal with easily
  - 3-D 230 SG
  - For 3+1: 4,783 possible SG
  - For 3+2: 222,018 possible SG
  - For 3+3: 28,927,922 possible SG
  - But only a handful found – not worth the hassle
- Ad hoc centering not allowed
  - ‘X’ space groups – all have equivalent legal ones with transformation
- Other odd cases found in cif files not allowed
  - e.g. R-centered monoclinic

# MAGNETIC INCOMMENSURATE STRUCTURES

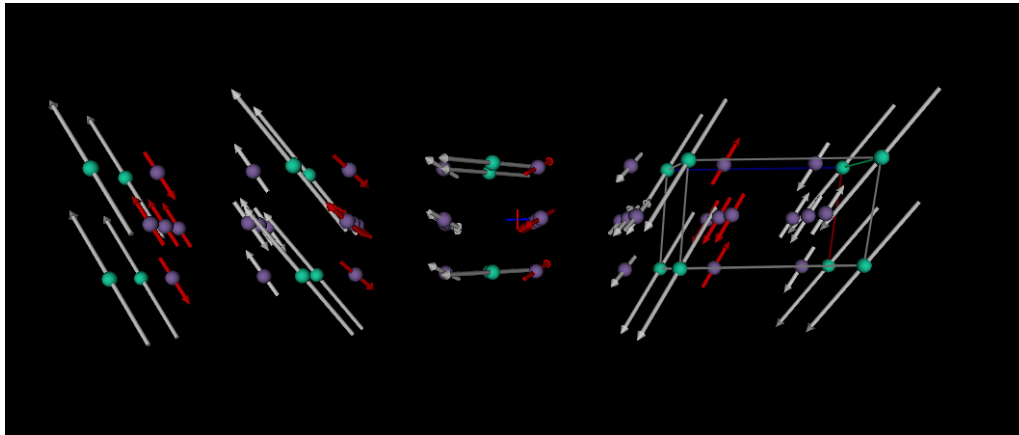
Some examples:



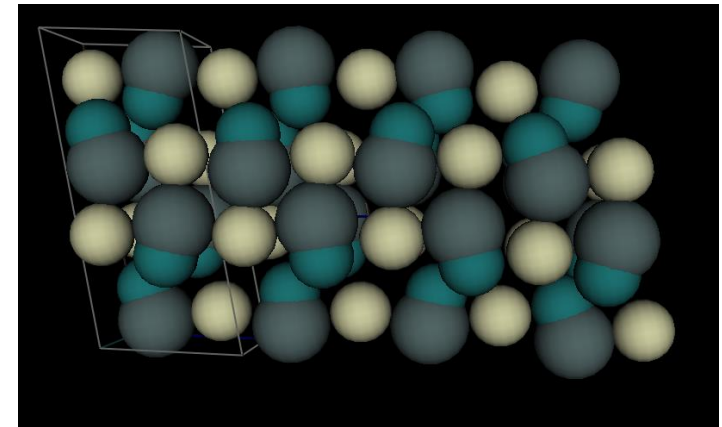
$\beta\text{-Li}_2\text{IrO}_3$



CeRuSn – Ce moment



$\text{DyMn}_6\text{Ge}_6$  – residual moment



CeRuSn –  
structure modulation

# CURRENT STATUS

## GSAS-II & incommensurate/magnetic structures – still to be done!

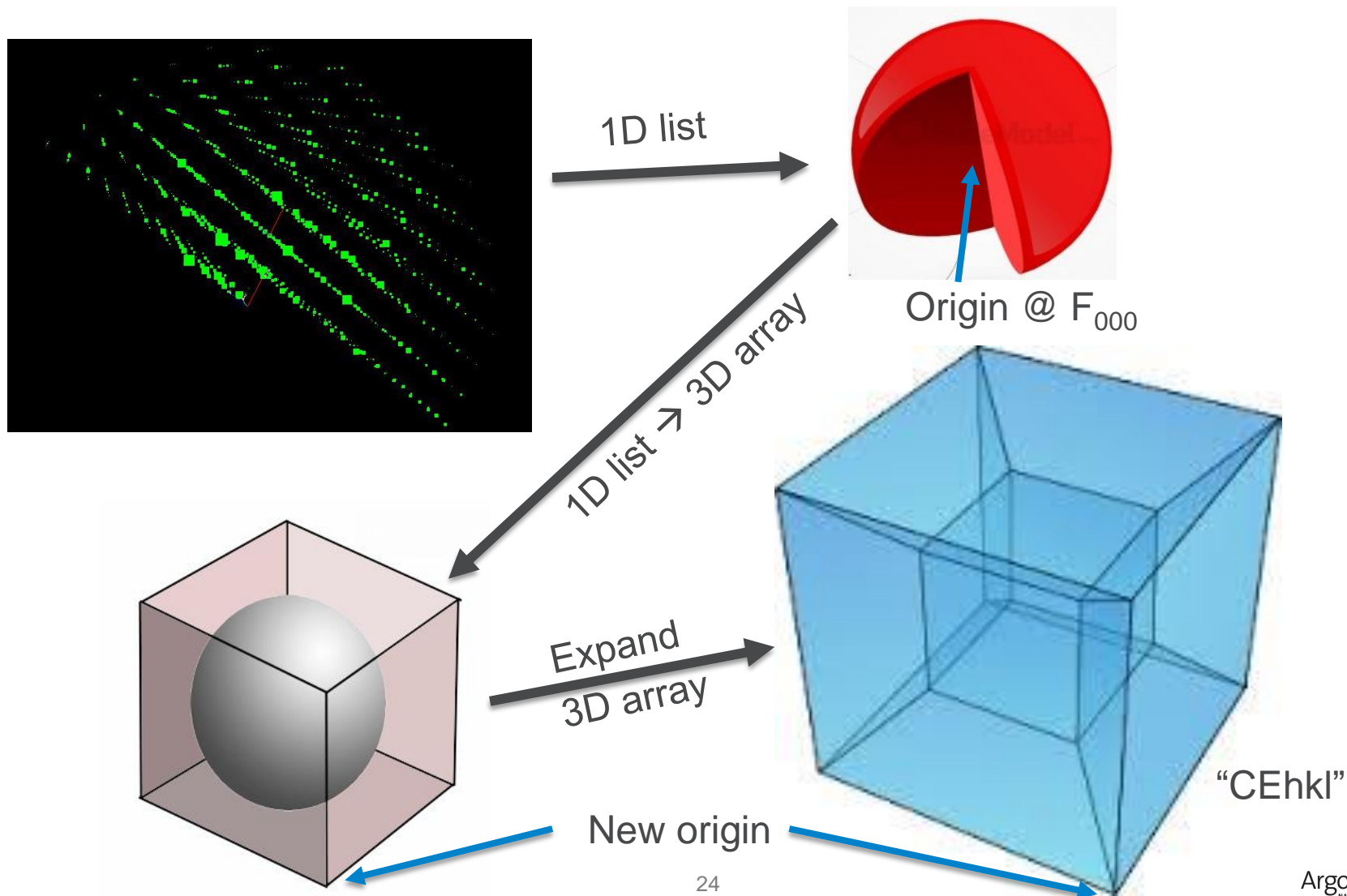
- Incommensurate magnetic structures
  - Structure factor & derivatives math & refinement
  - Site symmetry rules for allowed Fourier coefficients
- Incommensurate structures
  - Certain high symmetry site symmetry rules (in tetragonal, trigonal & hexagonal)
  - Refinement of non Fourier functions (derivative issues)
  - Cif file output?
- Magnetic structures
  - Structure solving aids i.e. selection of magnetic cell & space group from observed data
  - Output mcif files TBD
  - 1<sup>st</sup> tutorial now available – Simple Magnetic Structures

# CHARGE FLIPPING

# CHARGE FLIPPING

The algorithm set up:

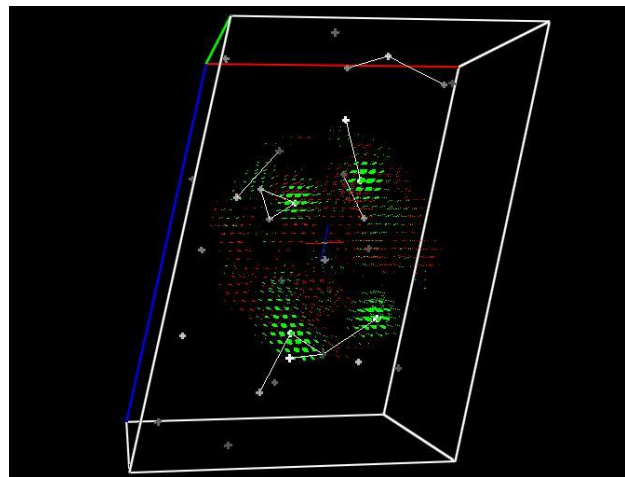
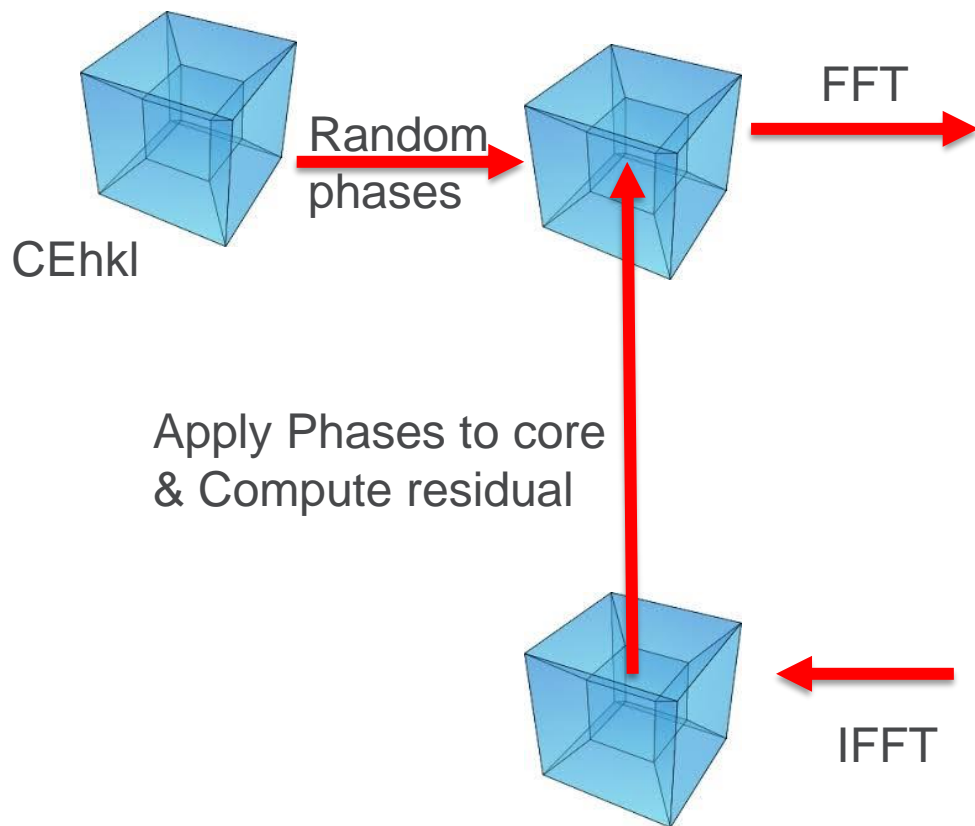
~1Å unique reflections → sphere → box → 0.5Å box



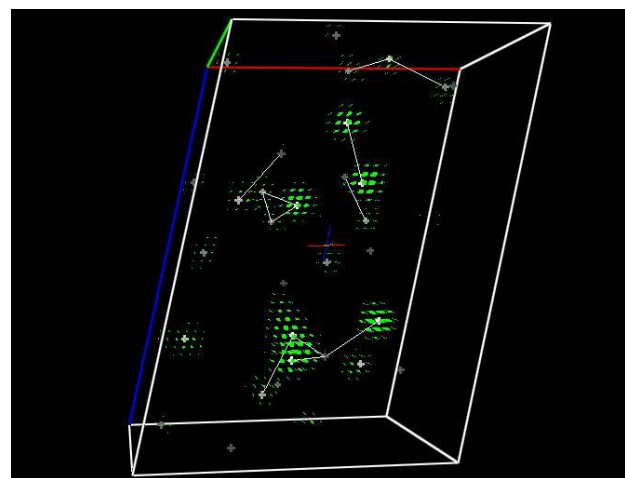


# CHARGE FLIPPING

## The Algorithm



Charge Flip



# CHARGE FLIPPING – 3D & 4D

## Python loop – all double precision; start random phases for CEhkl

```
CErho = np.real(fft.fftn(fft.fftshift(CEhkl)))*(1.+0j)           #fft Fhkl → ρ(xyz)
CEsig = np.std(CErho)                                           #get σ(ρ)
CFrho = np.where(np.real(CErho) >= flipData['k-factor']*CEsig,CErho,-CErho) #CF ρ → ρ'
CFrho = np.where(np.real(CErho) <= flipData['k-Max']*CEsig,CFrho,-CFrho) #U atom CF!
CFhkl = fft.ifftshift(fft.ifftn(CFrho))                          #fft ρ'(xyz) → F'(hkl)
CFhkl = np.where(CFhkl,CFhkl,1.0)                                #avoid divide by zero
phase = CFhkl/np.absolute(CFhkl)                                #get φ(hkl) from F'
CEhkl = np.absolute(CEhkl)*phase                                #apply φ to F
Ncyc += 1                                                        #count tries
sumCF = np.sum(ma.array(np.absolute(CFhkl),mask=Emask))          #Σ F
DEhkl = np.absolute(np.absolute(Ehkl)/sumE-np.absolute(CFhkl)/sumCF) #Σ |DF|
Rcf = min(100.,np.sum(ma.array(DEhkl,mask=Emask)*100.))         #residual
```

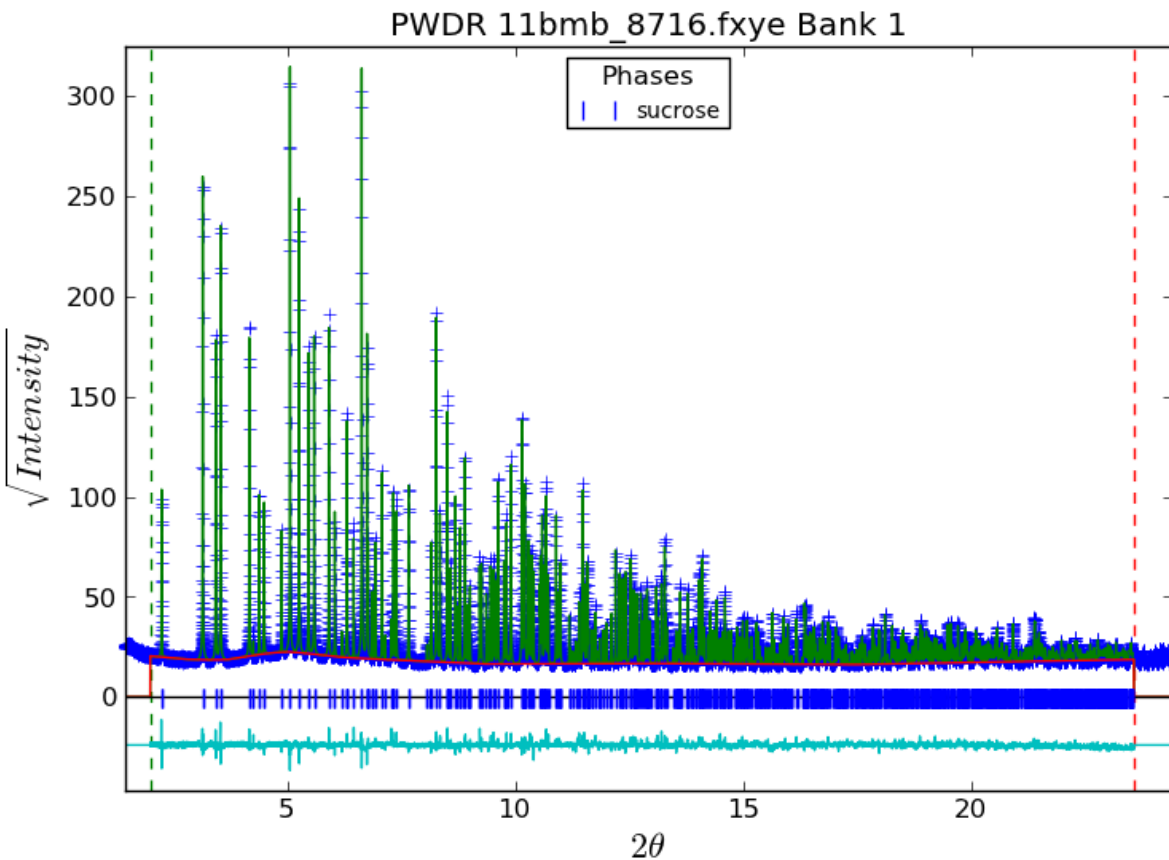
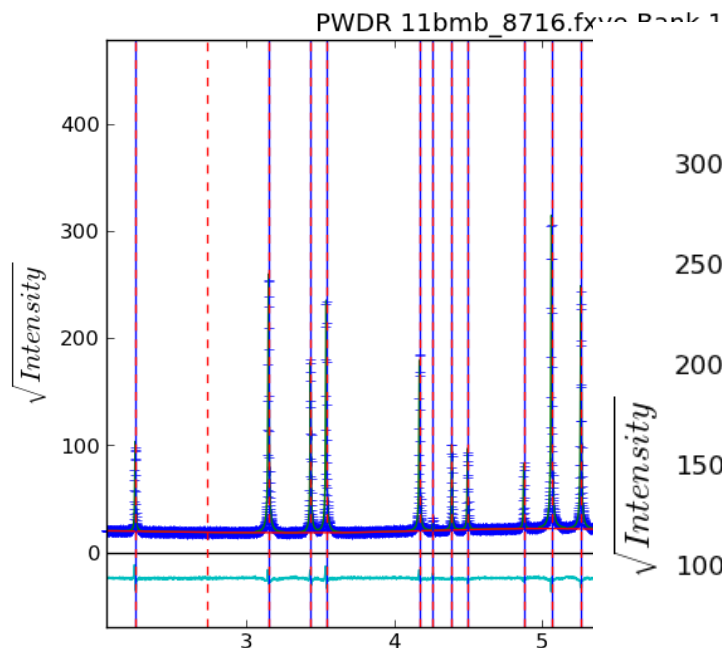
After user break:

Repeat 1<sup>st</sup> line to get result map

Find origin; search for peaks & display result

# EXAMPLE – SUCROSE POWDER

11BM @ APS - 1<sup>st</sup> steps – peak fitting/indexing/Pawley refinement



Unit Cells List

Cell Index/Refine Help

Indexing controls:

Max Nc/Nobs 4 Start Volume 25  Use M20/(X20+1)?

Select Bravais Lattices for indexing:

Cubic-F  Cubic-I  Cubic-P  Trigonal-R  Trigonal/Hexago

Orthorhombic-F  Orthorhombic-I  Orthorhombic-C  Orthorhombic-P  Monoclinic-C

Cell Test Refinement:

Bravais lattice P2/m Space group P 21 Zero offset 0.0007  Refine?

Unit cell: a = 7.71525 b = 8.66389 c = 10.80965

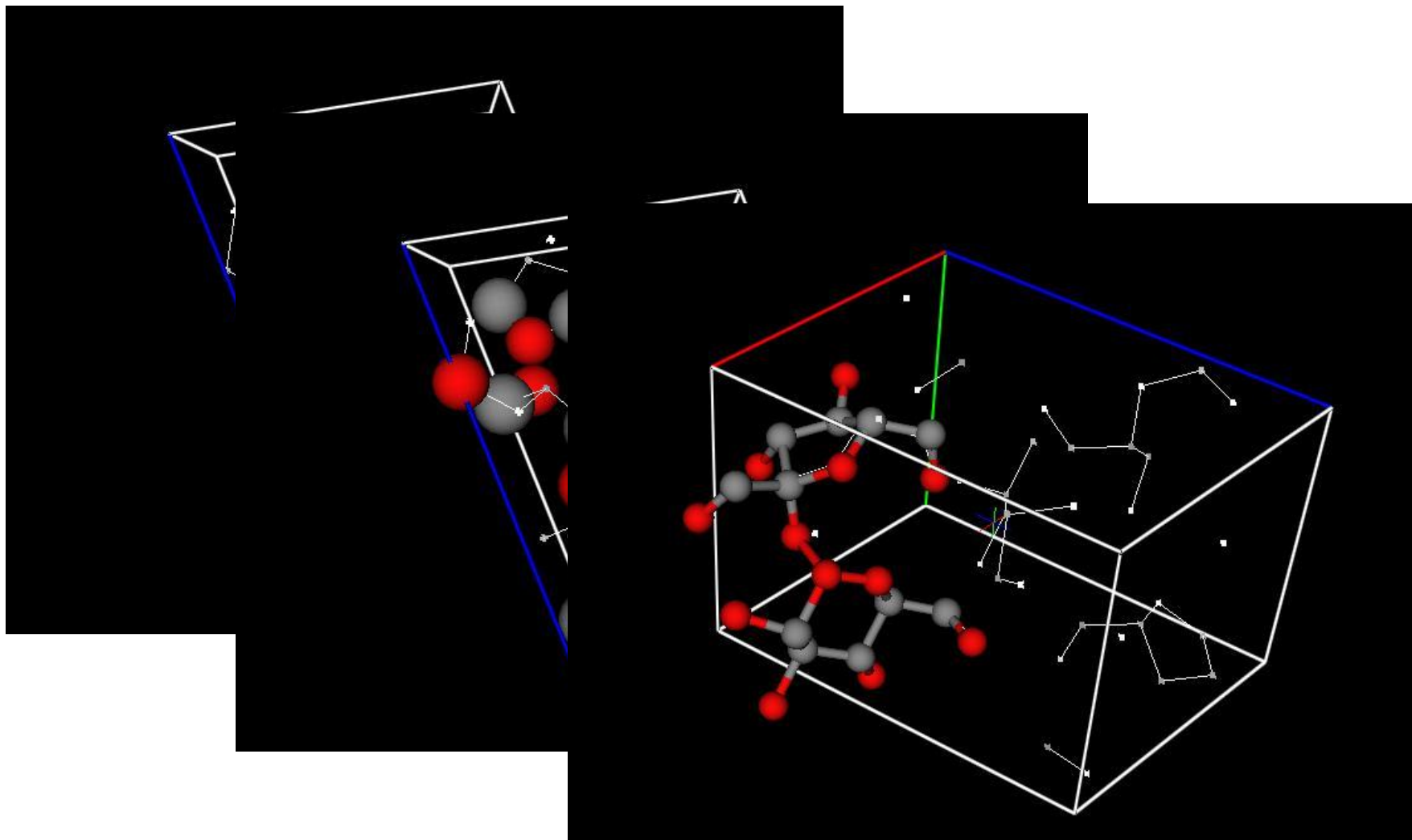
Indexing Result:

M20	X20	use	Bravais	a	b	c	alpha	beta	gamma	Volume	Keep
1312.84	0	<input checked="" type="checkbox"/>	P2/m	7.71525	8.66389	10.80965	90.000	102.983	90.000	704.09	<input type="checkbox"/>
907.76	0	<input type="checkbox"/>	P2/m	7.71412	8.66281	10.80843	90.000	102.982	90.000	703.82	<input type="checkbox"/>
15.05	0	<input type="checkbox"/>	P2/m	7.69200	8.66511	10.79433	90.000	102.664	90.000	701.96	<input type="checkbox"/>
2.38	1	<input type="checkbox"/>	P2/m	6.78185	10.56374	15.20797	90.000	98.621	90.000	1077.21	<input type="checkbox"/>
2.38	1	<input type="checkbox"/>	P2/m	6.78185	10.56374	15.20797	90.000	98.621	90.000	1077.21	<input type="checkbox"/>

781 unique hkl's  
CF with 61440

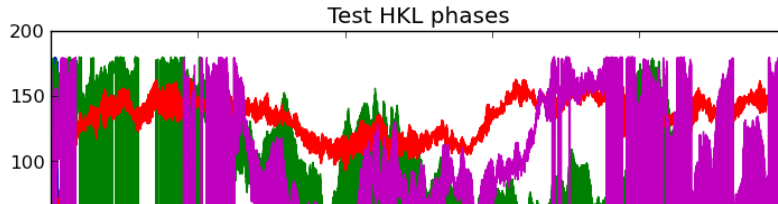
# CHARGE FLIPPING SOLUTION

Residual ~45% → ~17% & 46 peaks in cell (NB: sucrose  $C_{12}H_{22}O_{11}$ )  
Map peaks – unique set & select – identify atoms – make molecule

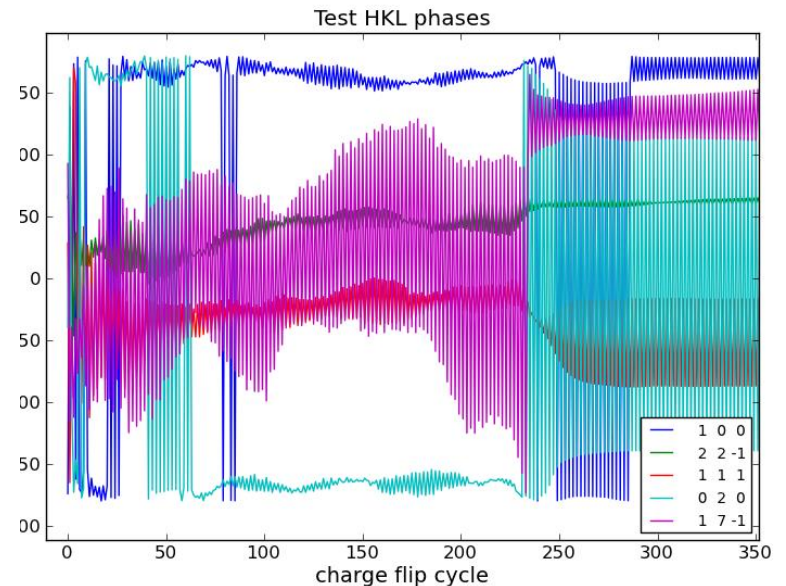
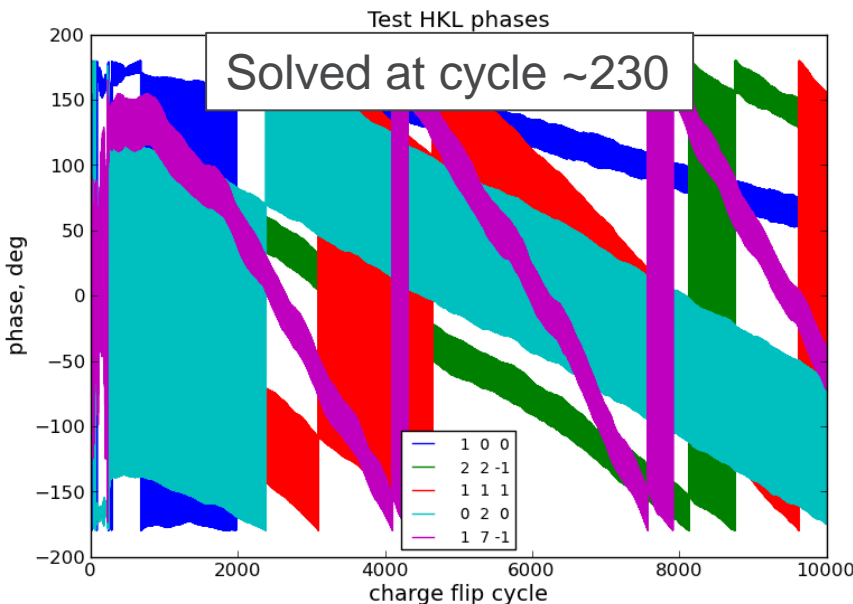
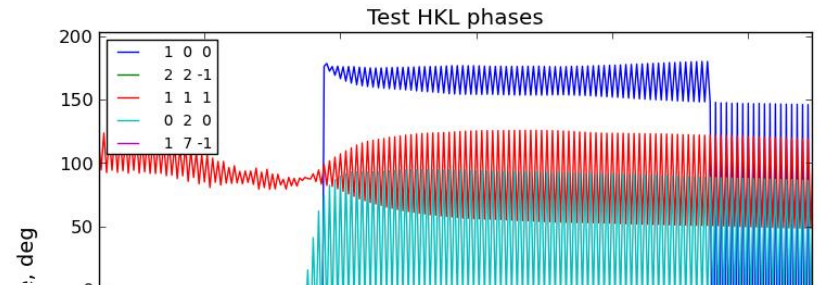
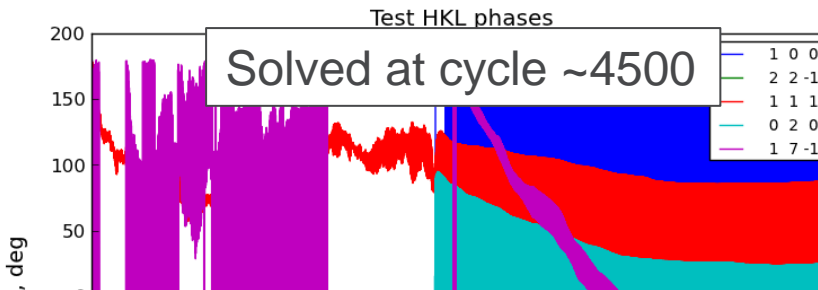


# CHARGE FLIPPING – PHASES?

Track phases of 5 reflections – 10000 CF cycles



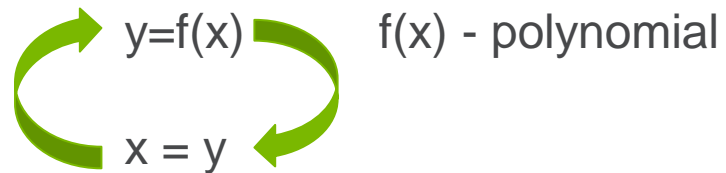
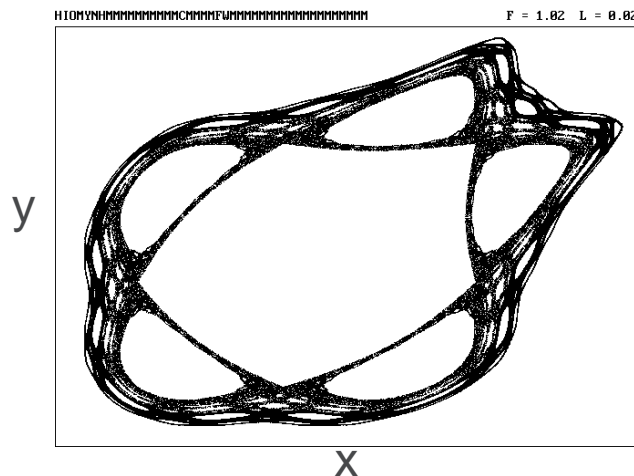
Not solved in 10000 cycles



# CHARGE FLIPPING – CHAOS MATHEMATICS?

Strange attractors? Cantor dust? Butterfly effect? Basin of attraction?

- Cyclic algorithm – successive iteration – stable solution (apparently?)
- Chaotic phase behavior – but deterministic (Butterfly effect?)
- Hyper dimensionality ~7680-D for sucrose example (NB: no symmetry used)
- Infinite phase possibilities >> Infinite phase sets for recognizable atoms (Cantor dust?)



- Phase oscillation & drift – “symplectic” or “non-symplectic” strange attractors?
- Is there a “basin of attraction”?
- Does this really matter?

(picture from “Strange Attractors: Creating Patterns in Chaos” by J. C. Sprott)

**THANK YOU**